

# Log Adjunction: effectiveness and positivity

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## 1 Introduction

This is a first instalment of much larger work about relations between birational geometry and moduli of triples. The extraction of work is mainly related to Theorem 6. It is a weak version of Kawamata's Conjecture 1 and an important technical step toward semiamplicity of moduli part of adjunction. To prove Theorem 6, we use relative analogues of b-representations. The proof here is rather complete except for b-free property used in Corollary 1. We assume also the LMMP and the semiamplicity (abundance) conjecture. For the former, it is sufficient [BCHM]. The latter is not crucial for b-representations, because nonabundance gives empty representations. This will be cleared up in a final version of the preprint.

The preprint will be periodically renewed on <http://www.math.jhu.edu/~shokurov/adj.pdf>. A final version will appear again on arXive.

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## 2 Adjunction

**Proposition-Definition 1** (Maximal log pair). *Let  $(X_\eta, B_{X_\eta})$  be a generic wlc pair with a boundary  $B_{X_\eta}$ . Then there exists a maximal complete wlc pair*

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$(X_m/Z_m, B_m)$ , which is birationally equivalent to  $(X_\eta, B_{X_\eta})$ , that is, there exists a flop

$$(X_\eta, B_{X_\eta}) \dashrightarrow (X_{\eta_m, m}, B_{X_{\eta_m, m}}),$$

where  $\eta_m$  is a generic point of  $Z_m$  and the flop induces an isomorphism  $\eta \cong \eta_m$ . The maximal property means an inequality  $\mathcal{B}_m^{\text{mod}} \geq \mathcal{B}'^{\text{mod}}$  for any complete wlc pair  $(X'/Z', B')$ , which is birationally equivalent to  $(X_\eta, B_{X_\eta})$ . For a maximal pair it is not necessary that  $(X_m, B_m)$  is lc and  $B_m$  is a boundary, but  $(X_m, B_m)$  is a log pair and  $K_{X_m} + B_m$  is nef over  $Z_m$ . However, always there exists a wlc maximal pair  $(X_m/Z_m, B_m)$ .

If  $(X/Z, D)$  is an (irreducible) pair, which is generically a wlc pair, then its maximal pair is a maximal complete wlc pair of  $(X_\eta, D_{X_\eta})$ , where  $\eta$  is a generic point of  $Z$ , in particular,  $D_{X_\eta}$  is a boundary. In this situation we denote a maximal moduli part of adjunction by  $\mathcal{D}^{\text{mod}}$ .

*Proof.* Immediate by the existence of a complete tdlt family for  $(X_\eta, B_{X_\eta})$  and by Proposition 1.  $\square$

*Examples 1.* (1) (0-mappings.) Let  $(X/Z, D)$  be a complete (irreducible) log pair such that

the generic fiber is a 0-pair, possibly, not geometrically irreducible, but  $D$  is a boundary generically over  $Z$ ; and

$$K + D \equiv 0/Z.$$

The complete property is global, that is,  $X$  and  $Z$  are complete. Then  $(X/Z, D)$  is maximal itself [PSh].

In particular, if  $f: X \rightarrow Z$  is a contraction, it is a 0-contraction and the maximal (upper) moduli part of adjunction is  $\mathbb{R}$ -linear equivalent to a pulling back of low moduli part of adjunction (see Corollary 1):

$$\mathcal{D}^{\text{mod}} \sim_{\mathbb{R}} f^* \mathcal{D}_{\text{mod}}.$$

(2) (Maximality over curve; cf. a canonical moduli part in Proposition 1.) Let  $(X/C, B^{\text{log}})$  be a complete tlc pair such that

$C$  is a nonsingular complete curve,

a generic fiber is wlc, and

$$K + B^{\text{log}} \text{ is nef over } C.$$

Then the pair is maximal. The tlc in this situation means that  $B^{\log} = B + \sum D_i$  is a boundary such that the vertical part of  $B^{\log}$  is a sum  $\sum D_i$  of reductions of fibers  $D_i = (f^*p_i)_{\text{red}}, p_i \in C$ , the vertical sum includes all degenerations and  $(X, B^{\log})$  is wlc. The inclusion of degenerations means that, if  $p \in C \setminus \{p_i\}$ , then  $(X, B^{\log} + f^*p)$  is also wlc. In particular, the fiber  $f^*p$  is reduced. The log structure of  $(X/C, B^{\log})$  is given on  $X$  by the reduced horizontal divisors and reduction of vertical degenerations  $D_i$  of  $f$ , and on  $C$  by the (critical) points  $p_i = f(D_i) \in C$ . A maximal (upper) moduli part  $\mathcal{B}^{\text{mm}}$  of adjunction for  $(X/C, B^{\log})$  or for  $(X/C, B)$  is stabilized over  $X$  and is  $\overline{K + B^{\log} - f^*(K_C + \sum p_i)}$ . Indeed,  $B_{\text{div}}^{\log} = \sum p_i$ .

Of course, we can add to  $B^{\log}$  some nondegenerate fibers  $f^*p$  as above. This does not change  $\mathcal{B}^{\text{mm}}$ . Moreover, if  $D = B^{\log} + f^*A$ , where  $A$  is any divisor on  $C$ , then  $(X/C, D)$  is also maximal and has the same moduli part as for  $(X/C, B^{\log})$ . So,

$$\mathcal{D}^{\text{mm}} = \overline{D^{\text{mm}}} = \mathcal{B}^{\text{mm}} = \overline{K + B^{\log} - f^*(K_C + \sum p_i)}.$$

Note that  $K + B^{\log} - f^*(K_C + \sum p_i)$  is a divisor of a (log) canonical  $\mathbb{R}$ -sheaf  $\omega_{X/C}^1[B]$ , an adjoint log sheaf, where  $B = B^{\log h} = D^h$  is the horizontal part of  $B^{\log}, D$ . If the fibers of  $f$  are reduced, then  $\omega_{X/C} = \omega_{X/C}^1$ .

If  $X$  is also a complete nonsingular curve, then  $X \rightarrow C$  is a finite morphism of curves,  $B = 0$ , and  $D, B^{\log} = \sum q_{j,i}$  are vertical. In this situation,  $\mathcal{D}^{\text{mm}} = D^{\text{mm}} \sim 0$ ,  $B^{\log} = \sum q_{j,i}, q_{j,i} \in X$ , with  $\sum_j q_{j,i} = D_i$ , and the above equation

$$K + \sum q_{j,i} - f^*(K_C + \sum p_i) \sim 0$$

is the Hurwitz formula. The points  $p_i \in C$  should include all critical ones.

**Corollary 1.** *Let  $(X/Z, D)$  be a complete (irreducible) log pair such that*

*$f: X \rightarrow Z$  is a contraction,*

*the generic fiber is a 0-pair, and*

$$K + D \equiv 0/Z.$$

*Then  $(X/Z, D)$  is maximal and*

$$\mathcal{D}^{\text{mm}} = \mathcal{D}^{\text{mod}} \sim_{\mathbb{R}} f^* \mathcal{D}_{\text{mod}}.$$

*Moreover, there exists an effective low moduli part  $\mathcal{D}_{\text{mod}}$  such that*

the moduli parts  $\mathcal{D}^{\text{mod}} = f^*\mathcal{D}_{\text{mod}}, D^{\text{mod}} = (\mathcal{D}^{\text{mod}})_X$ , and  $\mathcal{D}_{\text{mod}}, D_{\text{mod}} = (\mathcal{D}_{\text{mod}})_Z$  are also effective and flop invariant: for every flop  $g \in \text{Bir}(X \rightarrow Z/k, D)$ ,

$$g^*\mathcal{D}^{\text{mod}} = \mathcal{D}^{\text{mod}}, g^*D^{\text{mod}} = D^{\text{mod}} \text{ and } g_Z^*\mathcal{D}_{\text{mod}} = \mathcal{D}_{\text{mod}}, g_Z^*D_{\text{mod}} = D_{\text{mod}},$$

where  $g_Z: Z \dashrightarrow Z$  is a birational automorphism induced by  $g$ ;

if  $(X, D)$  is lc, klt, then  $(Z, D_Z)$  is lc, klt respectively, where  $D_Z = D_{\text{div}} + D_{\text{mod}}$ ;

if  $D$  is a effective, then the divisorial part  $D_{\text{div}}$ , the above moduli part  $D_{\text{mod}}$  on  $Z$  and  $D_Z$  are effective  $\mathbb{R}$ -divisors;

if  $D = B$  is a boundary, then the divisorial part  $B_{\text{div}} = D_{\text{div}}$ , the above moduli part  $B_{\text{mod}} = D_{\text{mod}}$  on  $Z$  and  $B_Z = B_{\text{div}} + B_{\text{mod}}$  are boundaries; and

if  $(X, Z)$  is a wlc (klt) pair, then the pair  $(Z, B_Z)$  is wlc (klt respectively).

**Proposition 1** (Canonical moduli part). *Let  $(X/Z, B)$  be a tlc wlc (irreducible) family with a horizontal boundary  $B$ . Then the pair is maximal, its maximal moduli part is stabilized over  $X$ , and any divisor  $M$  of  $\mathbb{R}$ -sheaf  $\omega_{X/Z}^1[B]$  is a divisor of the upper maximal moduli part of adjunction. In particular, it is the maximal moduli part for  $(X_\eta, B_\eta)$ , where  $\eta$  is a generic point of  $Z$ . More precisely,*

$$B^{\text{mm}} = B^{\text{mod}} \sim M = K_{X/Z}^{\text{log}} + B$$

and

$$\mathcal{B}_\eta^{\text{mm}} = \mathcal{B}_\eta^{\text{mod}} = \mathcal{B}^{\text{mm}} = \mathcal{B}^{\text{mod}} = \overline{B^{\text{mm}}} = \overline{B^{\text{mod}}} \sim \overline{M} = \mathcal{K}_{X/Z}^{\text{log}} + \overline{B}.$$

**Definition 1** (Canonical adjunction). A *canonical (upper) maximal moduli part of adjunction* is the  $\mathbb{R}$ -sheaf  $\omega_{X/Z}^1[B]$  of Proposition 1. It is a b-sheaf on  $(X_\eta, B_{X_\eta})$ . Such a b-sheaf is unique on  $X_\eta$  and some times we denote it by  $\mathcal{M}$ . By  $\mathcal{M}$  we denote also a b-divisor of the last b-sheaf. This divisor is defined up to linear equivalence and

$$\mathcal{M} = \overline{M},$$

where  $M$  is a divisor in Proposition 1.

Respectively, a *plane moduli part of adjunction*  $\mathcal{M}$  is either the  $\mathbb{R}$ -sheaf  $\omega_{X/Z}^1[B]$  up to an  $\mathbb{R}$ -isomorphism, or the  $\mathbb{R}$ -divisor  $\mathcal{M}$  up to  $\mathbb{R}$ -linear equivalence. To define a low moduli part of adjunction we need such a flexibility.

### 3 Mapping $p$

**Definition 2** (Equivalent 0-pairs). The *equivalence* of connected 0-pairs  $(X, B)$  is the minimal equivalence such that

- (1) component adjunction gives an equivalent 0-pair, that is, any component  $(X_i, B_i)$  of a normalization  $(X, B)^n = \coprod (X_i, B_i)$  is a 0-pair equivalent to a 0-pair  $(X, B)$  itself;
- (2) any flopped 0-pairs are equivalent, that is, if  $(X, B) \dashrightarrow (X', B_{X'})$  is a flop of pairs with boundaries and  $(X, B)$  is a 0-pair, then  $(X', B_{X'})$  is a 0-pair equivalent to  $(X, B)$ ;
- (3) divisorial adjunction gives an equivalent 0-pair, that is, if  $D \subset (X, B)$  is a divisorial lc center of a 0-pair  $(X, B)$ , then the adjoint pair  $(D, B_D)$  is a 0-pair equivalent to  $(X, B)$ ; and
- (4) field base change gives an equivalent 0-pair, that is, if  $(X, B)$  is a 0-pair over a field  $K/k$  and  $F/k$  is a field extension, then any connected component of pair  $(X, B) \otimes_k F$  is a 0-pair over  $F$  equivalent to  $(X, B)$  over  $K$ .

*Examples 2.* (1) (Log curves.) Let  $(C, B_C)$  be a 1-dimensional 0-pair, that is,  $C$  is a connected complete nodal curve and  $B_C$  is a boundary such that  $K_C + B_C \sim_{\mathbb{R}} 0$ . Such a pair  $(C, B_C)$  is equivalent to a 0-dimensional 0-pair  $(\text{pt.}, 0)$  if  $(C, B_C)$  is not klt, equivalently, it has a node or a nonsingular point  $p \in C$  with  $\text{mult}_p B_C = 1$ .

Two 1-dimensional klt 0-pairs  $(C, B_C)$  and  $(C', B_{C'})$  are equivalent if and only if they are log isomorphic.

(5) (Toric pairs.) Any complete toric variety  $X$  is naturally a 0-pair  $(X, D)$ , where  $D$  is its total invariant divisor. All those pairs are equivalent and they equivalent to  $(\text{pt.}, 0)$  of Example (1).

(6) (Kollár's sources [Kol11].) Let  $(X, \Delta)$  be a log pair,  $Z$  be its lc center, and  $\Delta \geq 0$  near a generic point of  $Z$ . Then one can associate to  $Z$  a class of 0-contractions  $(S/\tilde{Z}_S, \Delta_S)$ , a relative source. The class of pairs  $(S, \Delta_S)$  up to flops is denoted by  $\text{Scr}(Z, X, \Delta)$  and is called the source of  $Z$  in  $(X, \Delta)$ . An interest to the class is related to the divisorial part of adjunction (see for more details in [Kol11, Theorem 1]). On the other hand, the moduli part of adjunction is related to the equivalence class of generic pairs  $(S_\eta, B_{S_\eta})$  of relative sources, where  $\eta$  is a generic point of  $\tilde{Z}_S$  (see for Corollary 1). The

mapping of sources into equivalence classes is not injective, except for, the case with  $\eta = \widetilde{Z}_S = \text{pt.}$  and  $Z = \text{pt.}$ . The divisorial and moduli part in this case are 0 and  $\sim_{\mathbb{R}} 0$  respectively.

(7) (Characteristic.) Let  $k$  be a prime field and  $F/k$  is a field extension. Then by definition the  $F$ -point  $\text{pt.}_F = (\text{Spec } F, 0)$  is equivalent to  $\text{pt.} = (\text{Spec } k, 0)$ :  $\text{pt.}_F = \text{pt.} \otimes_k F$ . So, the equivalence class of  $\text{pt.}$  is the class of  $\text{pt.}_F$  for field extensions  $F/k$ . This class of fields is uniquely determined by  $\text{char } k$ .

**Proposition 2.** *Every equivalence class of 0-pairs has an (irreducible) projective klt representative  $(X, B)$ . Two klt 0-pairs over  $k$  are equivalent if and only if they are related by a generalized flop.*

**Lemma 1.** *Let  $(X, B), (X', B')$  be connected tdlt 0-pairs,  $(V, B_V), (V', B'_{V'})$  be irreducible adjoint lc centres  $V, V'$  of those pairs respectively such that there exists a flop*

$$(V, B_V) \dashrightarrow (V', B'_{V'}),$$

*and  $(W, B_W), (W', B'_{W'})$  be adjoint pairs of minimal lc centers  $W, W'$  of  $(X, B), (X', B')$  respectively. Then  $(W, B_W), (W', B'_{W'})$  are klt 0-pairs and are related by a (generalized) flop. In particular, the conclusion holds for adjoint pairs  $(W, B_W), (W', B'_{W'})$  of any two minimal lc centers  $W, W'$  of  $(X, B)$ .*

*Proof.* Immediate by Theorem 2 and Lemma 6. □

## 4 Toroidal geometry

**Proposition 3.** *Let  $X \subseteq \mathbb{P}(W^v)$  be a nondegenerate projective variety, and  $g$  be a semisimple operator on  $W$ . Suppose that  $X$  is invariant under the dual (contragredient) action of  $g^v$ . Then there exists an integral number  $n \neq 0$  and a point  $x \in X$  such that*

- (1)  $(g^v)^n$  invariant:  $(g^v)^n x = x$ , and, moreover,
- (2) if  $g$  is not torsion, then the point  $x$  has a nonzero coordinate  $w(x)$ , where  $w$  is a coordinate (linear) function, an eigenfunction under  $g$  with an eigenvalue, which is not a root of unity.

*Proof.* Take a basis  $w_1, \dots, w_d, d = \dim W$ , with eigenvectors  $w_i$  for  $g$  such that the eigenvalues  $e_1, \dots, e_l$  of  $w_1, \dots, w_l, 0 \leq l \leq d$ , respectively are non-roots of unity and the eigenvalues  $e_{l+1}, \dots, e_d$  of  $w_{l+1}, \dots, w_d$  respectively are roots of unity. (Actually, for the dual action  $g^v : w_i^v \mapsto e_i w_i^v$ , because the representation is commutative.)

If  $l = 0$ , take any  $x \in X$  and a uniform torsion  $n$  of all roots of unity  $e_i$ .

If  $l \geq 1$ , take a sufficiently general point  $x \in X$ , that is, all homogeneous coordinates  $x_i = w_i(x) \neq 0$ . Then the Zariski closure of the orbit  $(g^v)^m(x), m \in \mathbb{Z}$ , in  $\mathbb{P}(W^v)$  is the closure  $\bar{Y}$  of a subtoric orbit  $Y$  with respect to the coordinate system  $w_i^v$ , that is, the torus action by diagonal matrices in this basis. By construction  $Y \subseteq \bar{Y} \subseteq X$ . The group generated by  $g^v$  is dense in the subtorus  $T$  in the Zariski topology. Let  $T_1$  be the connected component of the unity 1. Then  $n = \#T/T_1$ , a torsion of the Abelian quotient  $T/T_1$ .

The subvariety  $\bar{Y}$  is toric with respect to  $T_1$ , and has a  $T_1$ -invariant point  $y$  with some homogeneous coordinate  $w_i(y) \neq 0, 1 \leq i \leq l$ , where  $T_1 = T_1^n = T^n$ . So it is  $(g^v)^n$ -invariant (1). (We identify the point  $y = (y_1 : \dots : y_d) \in X \subseteq \mathbb{P}(W^v)$  with a line  $k(y_1, \dots, y_d)$  in  $W^v$ .)

The subtorus  $T_1$  has the following parameterization:

$$k^{*d} \twoheadrightarrow T_1, (t_1, \dots, t_d) \mapsto \left( \prod_j t_j^{a_{j,i}}, a_{j,i} \in \mathbb{Z}, i, j = 1, \dots, d, \right)$$

with the weighted action:

$$(w_i^v) \mapsto \left( \prod_j t_j^{a_{j,i}} w_i^v \right).$$

(We can suppose that this is an action of the whole torus  $k^{*d}$ . Actually, under our assumptions, the action is smaller: all  $a_{j,i} = 0$  for  $i \geq l + 1$ .) The weight of vector  $w_i^v$  or of coordinate  $x_i$  is the vector  $(a_{1,i}, \dots, a_{d,i})$ . The weights are ordered lexicographically:  $(a_1, \dots, a_d) \geq (b_1, \dots, b_d)$  if  $a_1 > b_1$  or  $a_1 = b_1, a_2 > b_2$ , etc.

We can assume that the action is *positive*. This implies (2). The positivity means that the maximal weight vector  $(a_{j,i})$  is *positive*: all  $a_{j,i} \geq 0$  and some  $a_{j,i} > 0$ . Under our assumptions,  $i \leq l$ . If the action is not positive, then changing the action of  $t_j$  for some  $j$  by the inverse one  $t_j^{-1}$  (equivalently, change  $a_{j,i}$  on  $-a_{j,i}$ ), any action can be converted into a positive one. The positivity of action allows to get an invariant point with  $w_i(y) \neq 0, 1 \leq i \leq l$ , if  $w_i^v$  is maximal.

More precisely, a  $T_1$ -invariant vector  $y \in \overline{Y}$  can be constructed as follows. The vector  $y = (y_i)$  has the coordinates  $y_i = x_i$ , if  $w_i^v$  has the maximal weight and  $y_i = 0$  for the other coordinates. Then  $y \in \overline{Y}$  (the closure of orbit  $T_1x = T_1(x_i)$  of  $x$ ) and (1)  $T_1$ -invariant with (2)  $w_i(y) = x_i \neq 0$  for any maximal  $w_i^v$ .  $\square$

## 5 Isomorphisms and flops

**Lemma 2.** *Let  $(X, B)$  be a wlc klt pair, and  $\mathcal{D}$  be a  $b$ -polarization on  $X$ . The natural mapping*

$$\alpha_{\mathcal{D}}: \text{Bir}_0(X, B) = \text{Aut}_0(X, B) \rightarrow \text{b-Pic}_{\mathcal{D}} X, a \mapsto \text{class } \mathcal{O}_X(a^*\mathcal{D}),$$

*is an isogeny of Abelian varieties on the image. Thus  $\text{Aut}_0(X, B)$  is an Abelian variety.*

*The fiber  $G_{\mathcal{D}} = \alpha_{\mathcal{D}}^{-1}(\alpha_{\mathcal{D}}\mathcal{D}) \subseteq \text{Aut}_0(X, B)$  is a subgroup and coincide with the kernel of natural homomorphism*

$$\gamma_{\mathcal{D}}: \text{Aut}_0(X, B) \rightarrow \text{Pic}_0 X, a \mapsto \text{class } \mathcal{O}_X(a^*\mathcal{D} - \mathcal{D}).$$

*More precisely,*

$$G_{\mathcal{D}} = \ker \gamma_{\mathcal{D}} = \text{Aut}(X, B, |\mathcal{D}|) \cap \text{Aut}_0(X, B) \subseteq \text{Aut}_0(X, B)$$

*is a finite Abelian group and depend only on the algebraic (not numerical) equivalence class:*

$$\mathcal{D} \approx \mathcal{D}' \Rightarrow G_{\mathcal{D}} = G_{\mathcal{D}'}, A_{\mathcal{D}} = A_{\mathcal{D}'}.$$

*For a projective 0-pair  $(X, B)$ ,  $\alpha_{\mathcal{D}}$  is an isogeny onto. In general (proper) case  $\text{Aut}_0(X, B)$  should be replaced by  $\text{Bir}_0(X, B)$ .*

**Theorem 1.** *Let  $(X, B, H)$  be a klt wlc triple with a polarization  $H$ , an ample sheaf or an ample divisor up to algebraic or up to numerical equivalence. Then the group*

$$\text{Bir}(X, B, H) = \text{Aut}(X, B, H)$$

*is tame. More precisely, the group is algebraic of finite type and complete (almost Abelian).*



**Definition 3.** Let  $(X/T, B)$  be a connected family. The family is *moduli part trivial*, for short, *mp-trivial*, if its upper moduli part of adjunction  $\mathcal{M}$  behaves on  $X$  as on a trivial fibration:  $i(X, \mathcal{M}) = i(X/T, \mathcal{M})$  or, equivalently, there are rather general horizontal curves  $C \subseteq X$  over  $T$  such that  $(\mathcal{M}.C) = 0$ .

If  $(X/T, B)$  is a family of 0-pairs, then the mp-trivial property means that  $\mathcal{M} \sim_{\mathbb{R}} 0$ , as a b-divisor.

Respectively, the family *isotrivial*, if its rather general fibers are log isomorphic.

*Example 1.* Let  $(X/C, S + B)$  be a  $\mathbb{P}^1$ -fibration over a nonsingular curve  $C$  with a section  $S$  and a boundary  $B = \sum b_i D_i$ , where prime divisors  $D_i$  are horizontal. Suppose also that  $(X/C, S + B)$  is tlc, and  $(X_\eta, B_{X_\eta})$  is a 0-log pair. Then  $(X/C, S + B)$  is a maximal family of 0-pairs and its moduli part is trivial:  $\mathcal{M} \sim_{\mathbb{R}} 0$ . So, the family is mp-trivial. However, for rather general divisors  $D_i$ , it not isotrivial.

**Directed generic flop.** Let  $g: (X/T, B) \dashrightarrow (Y/S, B_Y)$  be a generic flop, that is,  $g \in \text{Bir}(X, B; Y, B_Y)$  is compatible with the relative structures. The latter means that  $g$  induces a birational transformation  $g_T: T \dashrightarrow S$  such that the flop is fiberwise with respect to  $g_T$ .

A *directed flop with respect to a b-polarization*  $\mathcal{D}$  and its decomposition  $g = g|_{Y,c}$ . It determines a b-polarization  $\mathcal{D} = g^*\mathcal{H}$  on  $X$ , where  $\mathcal{H} = \overline{H}$  is a b-divisor of polarization on  $Y/S$ . However,  $g_T, g_\eta$  and  $g_\theta$  are not uniquely determined by  $\mathcal{D}$ . The decomposition also depends on a polarization  $\mathcal{H}$ .

**Definition 4.** A generic flop  $g \in \text{Bir}(X \rightarrow T/k, B)$  is an *mp-autoflop*, if it transforms any fiber  $(X_t, B_{X_t})$  into a fiber  $(X_{t'}, B_{X_{t'}}), t' = g_T t$ , in a connected mp-trivial subfamily with  $(X_t, B_{X_t})$ .

Respectively, the flop is *almost autoflop*, if it transforms rather general fibers within isotrivial connected families: for rather general  $t$ , the fibers  $(X_t, B_{X_t}), (X_{t'}, B_{X_{t'}})$  are in a connected isotrivial subfamily.

The mp-autoflops form a normal subgroup  $\text{Bir}_{\text{mp}}(X \rightarrow T/k, B) \subseteq \text{Bir}(X \rightarrow T/k, B)$ . Respectively, the almost autoflops form a normal subgroup  $\text{Bir}_\diamond(X \rightarrow T/k, B) \subseteq \text{Bir}(X \rightarrow T/k, B)$ .

**Lemma 3.**  $\text{Bir}_\diamond(X \rightarrow T/k, B) \subseteq \text{Bir}_{\text{mp}}(X \rightarrow T/k, B)$ , if  $\mathcal{M}$  is semiample on  $X$ , and,  $=$  holds if the family  $(X/T, B)$  is a connected, generically klt, e.g., the family is a connected, generically klt, wlc maximal family.

*Proof.* Indeed, the isotrivial property implies that the upper moduli part exists and is mp-trivial on that family. Hence adjunction implies the inclusion. The converse does not hold in general (Example 1).

Now suppose that the upper moduli part  $\mathcal{M}$  exists, stabilized and semi-ample over  $X$ , e.g., this holds, if the family  $(X/T, B)$  is wlc maximal. (After a perturbation of  $B$ , one can suppose that  $B, \mathcal{M}$  is  $\mathbb{Q}$ -divisors.) For a rather divisible natural number  $m$ , the linear system  $|m\mathcal{M}|$  gives a contraction with mp-trivial fibers. In this situation,  $\text{Bir}_{\text{mp}}(X \rightarrow T/k, B)$  is exactly the kernel of b-representation:

$$\text{Bir}(X \rightarrow T/k, B) \rightarrow \text{Aut } H^0(X, m\mathcal{M}), g \mapsto g^*.$$

The kernel can be determined on finitely many rather general fibers of a morphism given by  $|m\mathcal{M}|$ . The fibers are mp-trivial by definition. Rather general fibers are klt and isotrivial (Viehweg-Ambro), if the family is generically klt [Am, Theorem 6.1].  $\square$

**Corollary 2.** *Let  $(X_\eta, B_{X_\eta})$  be a generic projective wlc klt pair. Then there are only finitely many generic log flops of  $(X_\eta \rightarrow \eta/k, B_{X_\eta})$  modulo almost autoflops, that is, the group*

$$\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) / \text{Bir}_\diamond(X_\eta \rightarrow \eta/k, B_{X_\eta})$$

*is finite.*

*Proof.* Immediate by Lemma 3 and Corollary 9.  $\square$

*Example 2.* [Mordell-Weil group.] Let  $S$  be a surface with a nonisotrivial pencil  $f$  of genus  $g \geq 1$  curves. We consider the pencil as a rational contraction  $f: S \dashrightarrow C$  onto a curve  $C$ . Then, by Corollary 2, the group  $\text{Aut}(S/C)$  has finite index in the group  $\text{Aut}(S \dashrightarrow C/k)$  fixing the pencil. Moreover, we can replace  $\text{Aut}(S/C)$  by  $\text{Bir}(S/C)$ . Indeed, we can replace  $S/C$  by a genus  $g$  fibration, a minimal model over  $C$  with genus  $g$  fibers. By our assumption, generic isotrivial subfamilies of  $S/C$  are 0-dimensional (points) and thus

$$\text{Bir}_\diamond(S \rightarrow C/k) = \text{Aut}(S/C).$$

For  $g \geq 2$ , groups  $\text{Aut}(S/C)$  and  $\text{Aut}(S \dashrightarrow C/k)$  are finite. For  $g = 1$ ,  $\text{Aut}(S/C)$  is the Mordel-Weil group and can be infinite.

A first step to Corollary 2 is as follows.

**Lemma 4.** *Let  $(X_\eta, B_{X_\eta})$  be a generic projective klt 0-pair, geometrically irreducible, and  $(X_\eta, B_{X_\eta}) \rightarrow (\theta, \mathcal{M}_\theta)$  be an isotrivial contraction with a canonical polarization  $\mathcal{M}_\theta$ , the  $\mathbb{R}$ -direct image of a canonical sheaf moduli part of adjunction for  $(X_\eta, B_{X_\eta})$ . Then there exists a extension  $l \subset k$  of finite type of the prime subfield such that the natural homomorphism*

$$\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) / \mathrm{Bir}_\diamond(X_\eta \rightarrow \eta/k, B_{X_\eta}) \hookrightarrow \mathrm{Aut}(\theta/\bar{l}, \mathcal{M}_\theta), g \mapsto g_\theta,$$

*is injective and, for every generic flop  $g$ , there exists a finite subextension  $l_g/l$  in  $k$  of uniformly bounded degree such that  $g_\theta$  is defined over  $l_g$ .*

*Proof.* There exists a required  $l$  over which  $(X_\eta \rightarrow \eta, B_{X_\eta}), (X_\eta, B_{X_\eta}) \rightarrow (\theta, \mathcal{M}_\theta)$  and polarizations  $\mathcal{H}_\eta, \mathcal{M}_\theta$  are defined. Suppose also that  $\mathrm{b}\text{-Pic}^b X_\eta$  (the Picard group of bounded b-divisors in the sense of resolution) is defined over the same  $l$ . The bound  $\mathrm{Pic}^b$  means that we consider Cartier  $b$ -divisors which are stabilized over some partial (geometric) resolution over  $\eta$ . After a finite extension it can be given over  $l$ . It is sufficient to consider any minimal model (i.e., geometrically  $\mathbb{Q}$ -Cartier) which is defined for klt pairs or to take any log resolution. Each generic flop  $g \in \mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$  can be given by a geometric b-divisor  $\mathcal{D} \in \mathrm{b}\text{-Pic}^b X_\eta$ . Actually, it is sufficient such a divisor  $\mathcal{D}$  modulo algebraic equivalence. (The algebraic equivalence of b-divisors is the same as for usual one, that is, modulo  $\mathrm{Pic}_0 X_\eta$  considered as a group scheme of divisors, fiberwise in the connected component of 0. We use here the rationality of klt singularities.) Indeed, if  $g: X_\eta \dashrightarrow X_\eta/k$  such a flop then  $\mathcal{D} = g^* \mathcal{H}_\eta$ . Since polarization is defined modulo the numerical equivalence, we can take  $\mathcal{D}$  modulo algebraic equivalence as well. After an extension of  $l$  it has a representative in each geometrically algebraic equivalence class, that is, there exists a section  $\mathcal{D} \in \mathrm{b}\text{-Pic}^b X_\eta$  in each those class. (We treat  $\mathrm{b}\text{-Pic} X_\eta$  as a scheme over  $\eta$  and b-divisors  $\mathcal{D}$  as its sections over  $\eta$ .) This follows from the finite generatedness of geometric divisors modulo algebraic equivalence (the Neron-Severi group).

Next, we verify that each generic flop  $g$  can be defined over a finite extension  $l_g/l$  modulo almost flops over  $k$ . Taking a representative  $\mathcal{D}$  one can construct a flopped variety  $(X'_\eta, B_{X'_\eta}, \mathcal{H}')$  with a canonical flop over  $l$

$$c_{\mathcal{D}} = c: (X_\eta, B_{X_\eta}, \mathcal{D}) \dashrightarrow (X'_\eta, B_{X'_\eta}, \mathcal{H}'), c^* \mathcal{H}' = \mathcal{D}.$$

It is over  $\eta$ , that is, identical on  $\eta$ :  $c_{\eta, \mathcal{D}} = \mathrm{Id}_\eta$ . The autoflop  $g = g|_{X'_\eta} c$  is given by a composition with a log isomorphism (also a flop) of generic triples

$g|_{X'_\eta} : (X_\eta, B_{X_\eta}, \mathcal{H}_\eta) \leftarrow (X'_\eta, B_{X'_\eta}, \mathcal{H}')$ , which induces an automorphism  $g_\eta$  of  $\eta/k$ . In general, it does not preserve polarization and is not defined over  $l$ . However,  $\mathcal{H}' \approx g^*_{|X'_\eta} \mathcal{H}_\eta/\eta$  and Lemma 2 implies fiberwise linear equivalence:

$$\mathcal{H}'_t \approx (g^*_{|X'_\eta} \mathcal{H}_\eta)_t \Rightarrow \mathcal{H}'_t \sim (g^*_{|X'_\eta} \mathcal{H}_\eta)_t,$$

where  $\sim$  up to isomorphism, that is, there exists an autoflop  $h : (X'_t, B'_{X'_t}) \dashrightarrow (X'_t, B_{X'_t})$  with  $\mathcal{H}'_t \sim h^*((g^*_{|X'_\eta} \mathcal{H}_\eta)_t) = h^*g'^*_t(\mathcal{H}_\eta)_{g_\eta t}$ . So, the triples  $(X'_t, B_{X'_t}, \mathcal{H}'_t), (X_{g_\eta t}, B_{X_{g_\eta t}}, (\mathcal{H}_\eta)_{g_\eta t})$  are equivalent with polarizations up to  $\sim$ , where the automorphism  $g_\eta : \eta \rightarrow \eta$  is induced by  $g|_{X'_\eta}$  or by  $g$ . By the lemma  $\sim$  is equal to  $\approx$  up to isomorphism.

To construct required flops over  $l_g$  we use moduli  $\mathfrak{M}$  of triples for special fibers  $(X_t, B_t, \mathcal{H}_t)$  with polarization up to linear equivalence. Such moduli exist. By construction we have a unique morphism  $\mu = \mu' : \eta, \eta \rightarrow \mathfrak{M}$  corresponding to generic families  $(X_\eta, B_{X_\eta}, \mathcal{H}_\eta), (X'_\eta, B_{X'_\eta}, \mathcal{H}')$ . Indeed, any generic flop preserves isotrivial families of wlc klt pairs, and in our situation preserves fiberwise the polarization up to  $\sim$  as was explained above. After an extension of  $l$  we can suppose that  $\mathfrak{M}$  is also defined over  $l$ . The morphism  $\mu$  is defined over  $l$  too. By construction,  $\mu$  is defined over an algebraic closure  $\bar{l}$ . Let  $\sigma \in \text{Gal}(\bar{l}/l)$  be a Galois automorphism then  $\mu^\sigma = \mu$  and it is defined over  $l$ . Indeed,  $\mu^\sigma : \eta^\sigma = \eta \rightarrow \mathfrak{M}^\sigma = \mathfrak{M}$  is also universal because an isomorphism of triples preserving polarization gives (conjugation) isomorphism of those triples:  $\sigma : (X_t, B_t, \mathcal{H}_t) \cong (X_{t^\sigma}, B_{t^\sigma}, \mathcal{H}_{t^\sigma}) = (X_{t^\sigma}, B_{t^\sigma}, \mathcal{H}_{t^\sigma})$ . Then we use Hilbert 90.

By definition of generic flops there is a (birational) automorphism  $g_\eta : \eta \rightarrow \eta$ . Indeed, it is induced by the isomorphisms of families  $g|_{X'_\eta}$ . It is unique as  $g|_{X'_\eta}$  for the flop  $g$  but is not unique itself. However, for any other isomorphism as above  $(X_\eta, B_{X_\eta}, \mathcal{H}_\eta) \leftarrow (X'_\eta, B_{X'_\eta}, \mathcal{H}')$ , an induced isomorphism  $g'_\eta : \eta \rightarrow \eta$  is compatible with  $g_\eta$  over  $\mathfrak{M}$ :  $\mu g'_\eta = \mu g_\eta$ . Equivalently,  $g'_\eta g_\eta^{-1} \in \text{Aut}(\eta/\mathfrak{M})$ , that is, preserving triples with polarization up to the linear equivalence. By construction up to algebraic equivalence and by Lemma 2 up to linear one. Thus  $g_\eta = g'_\eta$  up to an automorphism of fibers of  $\eta/\mathfrak{M}$ . The isomorphism  $g_\eta$  is defined over  $k$  and in general the minimal field of definition for all  $g_\eta$  can have algebraic elements of unbounded degree and even infinite transcendent degree over  $l$ . The main finite indeterminacy is the same as for almost auto flops. To remove this, we push  $g_\eta$  to an automorphism  $g_\theta : \theta \rightarrow \theta = \theta'$  where  $\eta \rightarrow \theta = \eta \rightarrow \theta = \theta'$  is the universal morphisms with maximal connected fibers over  $\mathfrak{M}$  and  $\theta = \theta' \rightarrow \mathfrak{M}$  is finite, that is,  $\mu = \mu'$

can be universally and equally decomposed  $\eta \rightarrow \theta \rightarrow \mathfrak{M} = \eta \rightarrow \theta' \rightarrow \mathfrak{M}$ . (This decomposition can be obtained from a Stein one after a completion of fibers over  $\mathfrak{M}$ , that is, a completion of isotrivial families.) The isotrivial property is preserved for  $g_\eta$  because it induces a log isomorphism of fibers or, equivalently, a flop preserves isotrivial klt families. This holds fiberwise even for triples with polarization up to  $\sim$ . Thus this is a single canonical decomposition  $\eta \rightarrow \theta \rightarrow \mathfrak{M}$ . It is defined over the same field  $l$  (after a finite extension) independent of  $g$ . By construction each  $g$  preserves the canonical moduli part of adjunction:  $\omega_{X_\eta}^m[mB_{X_\eta}] = g^*\omega_{X_\eta}^m[mB_{X_\eta}] = \omega_{X'_\eta}^m[mB_{X'_\eta}]$  (the last identification by  $g|_{X'_\eta}^*: X_\eta \cong X'_\eta$ ). This action commutes with the direct image on  $\theta$ :  $\mathcal{M}_\theta^m = c_{\eta,*}\omega_{X_\eta}^m[mB_{X_\eta}] = c_{\eta,*}g^*\omega_{X_\eta}^m[mB_{X_\eta}] = g_\theta^*c_{\eta,*}\omega_{X_\eta}^m[mB_{X_\eta}] = g_\theta^*\mathcal{M}_\theta^m$ . This concludes a construction of a required injection:

$$\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})/\mathrm{Bir}_\diamond(X_\eta \rightarrow \eta/k, B_{X_\eta}) \hookrightarrow \mathrm{Aut}(\theta/k, \mathcal{M}_\theta), g \mapsto g_\theta.$$

The kernel of map  $g \mapsto g_\theta$  is  $\mathrm{Bir}_\diamond(X_\eta \rightarrow \eta/k, B_{X_\eta})$  by definition.

Finally, we verify that the image  $g_\theta$  belongs to  $\mathrm{Aut}(\theta/l_g, \mathcal{M}_\theta)$ , where  $l_g/l$  is a finite extension. Actually, it depends on a choice of  $\mathcal{D}$  but it is unique up to  $\approx$  for a given  $g$ :  $\mathcal{D} \approx g^*\mathcal{H}_\eta/\eta$ . Note that there are finitely many conjugated automorphisms  $g_\theta^\sigma$  of  $g_\eta$  over  $\bar{l}$ . More precisely,  $a_\theta = g_\theta^{-1}g_\theta^\sigma \in \mathrm{Aut}(\theta/\mathfrak{M})$  over  $\bar{l}$ . Indeed, the conjugated flop  $g' = g^\sigma$  is given by the same polarization as above. The divisors  $\mathcal{H}$ ,  $\mathcal{D}$  and  $\mathcal{H}'$  are defined over  $l$ . (However,  $g_\eta$  and  $g_\theta$  are not uniquely determined by these data.) By construction an automorphism  $a = g_\eta^\sigma g_\eta^{-1}$  induces the generic flop  $g^\sigma g^{-1}$  preserving fiberwise the triples of  $(X_\eta, B_{X_\eta}, \mathcal{H})$  over  $\mathfrak{M}$ , that is, in  $\mathrm{Aut}((X_\eta, B_{X_\eta}, \mathcal{H})/\mathfrak{M})$  over  $\bar{l}$ . The push down induces  $a_\theta \in \mathrm{Aut}(\theta/\mathfrak{M})$  over  $\bar{l}$ . The last group is finite of order  $\leq (\deg \theta/\mathfrak{M})!$ . Thus any  $g_\theta$  is defined over a uniformly bounded extension  $l_g$  of above  $l$  of the degree  $\leq (\deg \theta/\mathfrak{M})!$ . Each  $g_\theta$  can be defined over an extension  $l_g/l$  with injective group action  $\mathrm{Gal}(l_g/l)$  on the permutation group of all  $g_\theta^\sigma$ .  $\square$

## 6 Algebra and calculus of relative differentials

**Properties of the norm.** (1) For every  $\omega \in H^0(X, \omega_{X/T}^m[mB])$ ,  $\|g^*\omega\|_t = \|\omega\|_{gTt}$  where  $g$  is a generalized flop of  $(X/T, B)$  transforming  $X_t \dashrightarrow X_{gTt}$ . So,  $\|g^*\omega\| = \|\omega\|$ .

(2) Let  $\omega \in H^0(X, \omega_{X/T}^m[mB])$  be an eigenvector for the induced linear operator  $g^*$  with an eigenvalue  $\lambda \in \mathbb{C}$ , that is,  $g^*\omega = \lambda\omega$ . Then  $\|g^*\omega\| = |\lambda|^2\|\omega\|$ .

(3) For every  $\omega \in H^0(X, \omega_{X/T}^m[mB])$ , the function  $\|\omega\|_t$  is continuous in the complex (classical) topology on  $T$  including the value  $+\infty$ .

**Lemma 5.** *Let  $f: (X, B + D_1 + D_2) \dashrightarrow T$  be a rational conic bundle with two sections and a vertical (sub)boundary  $B$ . Then, for any rational  $m$ -differential  $\omega$ , that is regular on the generic fiber,*

$$c^*(\omega|_{D_2}) = (-1)^m \omega|_{D_1},$$

where  $c: (D_1, B_{D_1}) \dashrightarrow (D_2, B_{D_2})$  is a birational transformation given by the conic bundle structure.

Moreover, if  $f$  is a 0-contraction, then  $c$  is a flop and  $c^*$  preserves (as canonical isomorphism on) the regular  $m$ -differentials of pairs.

### Restrictions to lc centers under generic flop.

$$(g^*\omega)|_{Y_t} = g^*_{|_{Y_t}}(\omega|_{Y_s}). \quad (4)$$

**Proposition 4.** *Let  $(X/T, B)$  be a projective tdlc family of 0-pairs with the generic connected klt fiber, horizontal  $B$  and irreducible  $T$ , and  $g \in \text{Bir}(X \rightarrow T/k, B)$  be a generic flop. Then for any  $t \in T$  there exists  $s \in T$  such that, for any minimal lc centers  $(Y_t, B_{Y_t}), (Y_s, B_{Y_s})$  of  $(X_t, B_{Y_t}), (X_s, B_{Y_s})$  (even on blowups) respectively there exists a log flop  $g_{Y_t}: (Y_t, B_{Y_t}) \dashrightarrow (Y_s, B_{Y_s})$ , which satisfies*

$$|_{Y_t} g^* = (g_{Y_t})^* |_{Y_s}.$$

If  $(X_t, B_{X_t}), (X_s, B_{X_s})$  belong to an mp-trivial subfamily, then, for any even natural number  $m$ ,

$$|_{Y_t} g^* = (g_{Y_t})^* c^* |_{Y_t}: H^0(X, \omega_{X/T}^m[mB]) \rightarrow H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]).$$

where  $c^*: H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]) \rightarrow H^0(Y_s, \omega_{Y_s}^m[mB_{Y_s}])$  is the canonical identification.

*Proof.* We use induction on  $\dim T$ . If  $\dim T = 0$ , then by our assumptions  $s = t, X = X_s = X_t = Y_s = Y_t, |_{Y_t} = \text{Id}_X, g_{Y_t} = g, c^* = \text{Id}_{H^0(X, \omega_{X/T}^m[mB])}$  and

$$|_{Y_t} g^* = (g_{Y_t})^* |_{Y_s} = (g_{Y_t})^* c^* |_{Y_t} = g^*: H^0(X, \omega_{X/T}^m[mB]) \rightarrow H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]).$$

Now suppose that  $\dim T \geq 1$ .

Construction of  $g_{Y_t}$ . Take a rather general curve  $C \subseteq T$  through  $t$ . Such a curve means a birational on image morphism  $h: C \ni o \rightarrow T$  with  $h(o) = s$ . Birationally,  $C = h(C), g(C) = g_T h(C)$ . This gives a curve  $g(C)$  with the morphism  $gh: C \ni o \rightarrow T$  and  $s = hg(o)$ . Moreover, the birational map  $g_T|_C: C \dashrightarrow g(C)$  induces (restriction) a flop of two tdlf families of 0-pairs over  $C$ :

$$g|_{X_C}: (X_C/C \ni o, B_{X_C}) \dashrightarrow (X'/C \ni o, B_{X'}).$$

The first family is pulling back for  $h$ , the second one for  $gh$ . Actually, both families are normal tdlf over  $C$ . By construction  $g|_{X_C}$  is birational and by Lemma 11 is a flop.

Suppose first that  $\dim T = 1$  and  $T = C, t = o, X_C = X, B_{X_C} = B$ . Add vertical boundaries  $X_o, X'_o$ . Take any minimal lc centers  $Y_t \subset (X_o, B_{X_o}) = (X_t, B_{X_t}), Y_s \subset (X'_o, B_{X'_o}) = (X_s, B_{X_s})$ . Even we can suppose that they are centers on a tdlf blowup of fibers over  $o$ . So, we replace both families by such blowups. We can suppose also that  $g$  is defined in a minimal lc center  $Y'_t \subset (X_o, B_{X_o}) = (X_t, B_{X_t})$ . Then by Lemma 11  $g(Y'_t) = Y'_s \subset (X'_o, B_{X'_o}) = (X_s, B_{X_s})$  is also a minimal lc center and  $g$  gives the flop

$$g|_{Y'_t}: (Y'_t, B_{Y'_t}) \dashrightarrow (Y'_s, B_{Y'_s}).$$

Let

$$c_t: (Y'_t, B_{Y'_t}) \dashrightarrow (Y_t, B_{Y_t}), c_s: (Y'_s, B_{Y'_s}) \dashrightarrow (Y_s, B_{Y_s})$$

be canonical flops between minimal lc centers. Then  $g_{Y_t} = c_s g|_{Y'_t} c_t^{-1}$ .

The real induction is for  $\dim T \geq 2$ . For any minimal lc centers  $Y_t \subset (X_o, B_{X_o}) = (X_t, B_{X_t}), Y_s \subset (X'_o, B_{X'_o}) = (X_s, B_{X_s})$ , even on tdlf resolutions, we construct minimal lc centers  $Y'_t \subset (X_o, B_{X_o}) = (X_t, B_{X_t}), g(Y'_t) = Y'_s \subset (X'_o, B_{X'_o}) = (X_s, B_{X_s})$  with a flop given  $g|_{Y'_t}$  by a restriction. Indeed, after a log resolution of the base extending  $\Delta_T$ , we can suppose that  $C$  is non-singular and intersects transversally the log structure. Then  $t = o$  and we can canonically identify the fiber over  $o$  with the fiber over  $s$  before the resolution. Take a nonsingular divisor  $D \subset X$  extending the log structure  $\Delta_T$  on  $T$  and passing through  $C$ . The mapping  $g_T$  in general is not a log flop with respect to the log structure. Nonetheless, after adding to  $D + \Delta_T$  the preimage  $g_T^{-1} \Delta_T$  and adding to  $\Delta_T$  the image  $g_T(D + \Delta_T)$ , we can convert the birational automorphism  $g_T$  into a regular flop (usually, not an autoflop)

$g_T: (T, \Delta_T) \rightarrow (T', \Delta_{T'})$  using a log resolution of the log map (torification). So,  $D$  after those modifications is still a nonsingular divisor of  $\Delta_T$ ,  $C \subset D$  and  $D' = g_T D$  is also a nonsingular divisor of  $\Delta_{T'}$ . Then by Lemma 11 we constructed a log flop

$$g|_{X_D}: (X_D/D, B_{X_D}) \dashrightarrow (X_{D'}/D', B_{X_{D'}}).$$

Moreover,  $g|_{D|C}$  is the above flop over  $C \rightarrow C' = g_T C$ . By induction we constructed required centers  $Y'_t$  and  $Y'_s$  and a flop  $g_{Y'_t} = c_s g|_{Y'_t} c_t^{-1}$  as above.

Restrictions to lc centers under generic flop, (4), implies a similar relation for  $g_{Y'_t}$ :

$$|_{Y'_t} g^* = (c_t^{-1})^* |_{Y'_t} g^* = (c_t^{-1})^* (g|_{Y'_s})^* |_{Y'_s} = (c_t^{-1})^* (g|_{Y'_s})^* c_s^* |_{Y_s} = (g_{Y'_t})^* |_{Y_s}.$$

If  $(X_t, B_{X_t}), (X_s, B_{X_s})$  belong to an mp-trivial subfamily, then  $|_{Y_s} = c^* |_{Y_t}$  by Lemma 10 and the required relation holds:

$$|_{Y'_t} g^* = (g_{Y'_t})^* |_{Y_s} = (g_{Y'_t})^* c^* |_{Y_t}.$$

□

## 7 Interlacing

*Example 3* (Rational lc conic bundle structure). Let  $X = C \times \mathbb{P}^1$ ,  $B = D_1 + D_2$ ,  $D_i = C \times y_i$ ,  $i = 1, 2$ , where  $C$  is a nonsingular curve and  $y_1, y_2 \in \mathbb{P}^1$  are two distinct points. Then the conic bundle  $f: C \times \mathbb{P}^1 \rightarrow C$ ,  $(x, y) \mapsto x$ , has two horizontal sections  $D_i$  in the reduced boundary  $B$  and  $K + B \equiv 0/C$ . A proper rational conic bundle structure  $X \dashrightarrow C'$  with horizontal  $B$  and  $(K + B.F) = 0$  for generic fiber  $F$  the conic bundle is unique and coincide with the above one. By a proper rational conic bundle on  $X$  we mean a rational conic bundle corresponding to an imbedded family of rational curves [pencil] without fixed points. In the surface case such a conic bundle is [always] a regular pencil. If the genus of  $C$  is  $\geq 1$  then the uniqueness follows from rationality of  $F$ . Otherwise by adjunction  $0 = (K + B.F) = (f^* K_C.F) = (K_C.f(F))$  implies that  $F$  is again vertical.

However for  $C = \mathbb{P}^1$  there are infinitely many rational (nonproper) conic bundles on  $X$  such that  $B$  is horizontal on their regular model and consists of



two sections. For instance this holds for general pencil  $P \subset |x \times \mathbb{P}^1 + \mathbb{P}^1 \times y|$  of conics through two generic points of  $X$ . (This pencil is proper after a blowup of two fixed points.)

**Proposition 5.** *Let  $(X, B + D)$  be a projective plt wlc pair and  $D$  be the reduced part of  $B + D$  with 2 components. Then there exists birationally at most one proper rational conic bundle structure on  $X$  such that  $D$  is the double section and  $B$  does not intersect the generic fiber  $F$  of conic bundle, that is,  $(K + B + D.F) = (K + D.F) = 0$ . More precisely, the conic bundle structure is birationally independent of a plt wlc model of  $(X, B + D)$ .*

*Proof.* A rational conic bundle of  $X$  is a rational contraction  $X \dashrightarrow T$  such that its generic fiber  $F$  is a rational curve. The conic bundle is proper if it is regular near  $F$ , that is, the generic fiber is a free curve. Suppose that  $(K + B + D.F) = (K + D.F) = 0$ . Equivalently,  $(K.F) = -2, (D.F) = 2, (B.F) = 0$ . The last condition means that  $\text{Supp } B \cap F = \emptyset$ . We prove that such a conic bundle is birationally unique, that is, the generic fiber  $F$  is unique. Note also that if such a conic bundle structure is proper on some plt wlc model of  $(X, B + D)$ , then it will be proper on  $X$  after a crepant blowup (flop). Thus it is sufficient to establish the uniqueness on one fixed model  $(X, B + D)$ .

Step 1. Reduction to the case of a 0-pair  $(X, B + D)$ . Let  $(X, B + D) \rightarrow X_{\text{lcm}}$  be an Iitaka contraction. Then the generic fiber  $F$  is contractible to a point on  $X_{\text{lcm}}$ . Thus the uniqueness of conic bundle is sufficient to establish on the generic log fiber  $(X_\eta, B_{X_\eta} + D_\eta)$  where  $\eta \in X_{\text{lcm}}$  is the generic point. By construction  $(X_\eta, B_{X_\eta} + D_\eta)$  is a 0-pair.

Step 2. Reduction to the case when  $B = 0$ . Use the LMMP for  $(X, (1 + \varepsilon)B + D), 0 < \varepsilon \ll 1$ . Since the Kodaira dimension of  $(X, (1 + \varepsilon)B + D) \geq 0$ , the LMMP terminates with a wlc model. Note also that the LMMP requires an appropriate initial model with  $\mathbb{R}$ -Cartier  $B$ . Such a model can be constructed as a small modification of  $(X, B + D)$ , a  $\mathbb{Q}$ -factorialization of  $B$ . Any small modification of  $X$  is a flop of  $(X, B + D)$  and does not touch any generic fiber  $F$ . For sufficiently small  $\varepsilon$ ,  $(X, (1 + \varepsilon)B + D)$  is also plt. The divisorial contractions of the LMMP does not touch  $F$  because they are negative with respect to  $B$  and their exceptional locus lies in  $\text{Supp } B$ . For wlc  $(X, (1 + \varepsilon)B + D)$ ,  $B$  is semiample. Let  $(X, (1 + \varepsilon)B + D) \rightarrow X_{\text{lcm}}$  be the corresponding Iitaka contraction. Then as in Step 1  $F$  is contractible to a point by the contraction and it is sufficient to verify the uniqueness for the generic log fiber  $(X_\eta, B_{X_\eta} + D_\eta) = (X_\eta, D_\eta)$ . By construction  $B_{X_\eta} = 0$ .

Step 3. Reduction to the case when  $\text{Diff}_D 0 = 0$ . Use the canonical covering (fliz) of  $(X, D)$ . Indeed, the canonical covering makes  $(X, D)$  a log Gorenstein 0-pair, that is,  $K + D \sim 0$ . Thus  $(D, \text{Diff}_D 0)$  is also a log Gorenstein 0-pair. The plt property of  $(X, D)$  gives the klt property of  $(D, \text{Diff}_D 0)$ , and the canonical one in the Gorenstein case. Hence  $\text{Diff}_D 0 = 0$ .

Note also that every proper rational conic bundle gives a similar bundle on the covering. Indeed, every proper rational fibration induces the proper rational fibration on the covering. The latter fibration is the rational contraction for a Stein decomposition of composition of the covering with former contraction. The generic fiber  $F$  on  $X$  is  $\mathbb{P}^1$  with a transversal double section  $D$ . The divisorial ramification of the canonical covering is only in  $D$ . Thus the conic bundle fibration goes into a conic bundle with the double section induced by  $D$ . Each section  $D_i$  goes into a section of the fibration after covering.

The mapping of fibrations for coverings is monomorphic.

Step 4. Final. Since  $\text{Diff}_D 0 = 0$ , then  $D$  is rationally disconnected (separably in the positive characteristic), that is, two generic points of  $D$  are not connected by a rational curve on  $D$ . The same holds for each component  $D_i, i = 1, 2$ , of  $D$ . So, the base  $T$  of any rational contraction  $X \dashrightarrow T$  with rational sections  $D_i$  is also rationally disconnected. The base  $T$  is birationally isomorphic to each of  $D_i$ . Thus a rational proper conic bundle on  $X$  is a rational contraction given by the rational connectedness.  $\square$

The rational disconnectedness of  $D$  was important in the last step of proof.

*Example 4.* Let  $X = \mathbb{P}^1 \times \mathbb{P}^1$  and  $D \in |-K|$  be a smooth anticanonical curve. Then  $X$  has two conic bundle fibrations with the double section  $D$ . Actually, for any double covering  $D \rightarrow \mathbb{P}^1$ , there are a wlc model of  $(X, D)$  and a conic bundle inducing this double covering.

**Theorem 2.** *Let  $(X, B + D)$  be a plt pair with a plt wlc model  $(Y, B_Y + D_Y)/X_{\text{lcm}}$  and  $D$  be the reduced part of  $B + D$ .*

*If  $D$  is not vertical over  $X_{\text{lcm}}$  then there exists such a (projective plt) wlc model  $(Y, B_Y + D_Y)/X_{\text{lcm}}$  that  $X \dashrightarrow Y$  is a 1-modification and the model has a Mori log contraction  $(Y, B_Y/T)/X_{\text{lcm}}$  with  $K_Y + B_Y + D_Y \equiv 0/T/X_{\text{lcm}}$ . In this case  $D_Y$  has at most 2 irreducible components and each component of  $D_Y$  is horizontal with respect to  $Y \rightarrow X_{\text{lcm}}$ .*

*In the case with 2 horizontal components  $D_1 = D_{1,Y}, D_2 = D_{2,Y}$  of  $D_Y$  over  $X_{\text{lcm}}$ , the Mori log contraction  $Y \rightarrow T$  is a conic bundle. The divisors*

$D_1, D_2$  are rational sections of the conic bundle. Such a conic bundle structure  $Y \rightarrow T$  is birationally unique for  $(X, B + D)$ . More precisely, the conic bundle structure is independent on a plt wlc model  $(Y, B_Y + D_Y)$ .

In the case with 2 horizontal components, if  $(X, B + D)$  is wlc itself, then  $D_Y$  is the birational transformation of  $D$  the conic bundle gives a generalized canonical log flop  $c: (D_1, B_{D_1}) \dashrightarrow (D_2, B_{D_2})$  as the composition

$$(D_1, B_{D_1}) \hookrightarrow (X, B + D) \dashrightarrow (Y, B_Y + D_Y) \twoheadrightarrow (T, (B + D)_T) \longleftarrow (Y, B_Y + D_Y) \\ \longleftarrow (X, B + D) \hookrightarrow (D_2, B_{D_2}),$$

where  $(B + D)_T = B_{\text{div}}$  is the divisorial part of adjunction with respect to the conic bundle.

The canonical property in addition to uniqueness means that the restrictions of differentials (Poincare residues) (super)commutes with the flop:

$$c^*|_{D_2} = (-1)^m|_{D_1}: H^0(X, \omega^m[mB]) = H^0(Y, \omega_Y^m[mB_Y]) \rightarrow H^0(D_1, \omega_{D_1}^m[mB_{D_1}]).$$

The induced standard structure is preserved under the flop.

If  $B + D = B_{\text{st}} + B_c + D$  is the standard structure with  $\mathbb{Q}$ -mobile  $B_c$  the flop preserves the induced standard structure.

*Proof.* By the LMMP a wlc model  $(Y, B_Y + D_Y)/X_{\text{lcm}}$  exists exactly when the Kodaira dimension of  $(X, B + D)$  is  $\geq 0$ . In particular, this will be a generalized flop if  $(X, B + D)$  is wlc. One can suppose that  $X \dashrightarrow Y$  is 1-modification. Indeed, to apply the LMMP we need a projective lc model, e.g., a log resolution with boundary multiplicities 1 in exceptional divisors. By the plt property all such divisors will be contracted and  $(Y, B_Y + D_Y)$  will be plt.

If  $D$  is not vertical over  $X_{\text{lcm}}$  then one can apply the LMMP to  $(Y/X_{\text{lcm}}, B_Y)$  assuming that  $D_Y$  is  $\mathbb{Q}$ -factorial. (The latter needs a small  $\mathbb{Q}$ -factorialization.) This gives a required Mori log contraction  $(Y, B_Y/T/X_{\text{lcm}})$  such that  $K_Y + B_Y + D_Y \equiv 0/T/X_{\text{lcm}}$ . The generic log fiber  $(Y_\eta, B_{X_\eta} + D_\eta)$  of  $Y/T$  has dimension  $\geq 1$  and is a plt 0-pair. Thus  $D_Y$  has at most 2 irreducible components and each component of  $D_Y$  is horizontal with respect to  $Y \rightarrow X_{\text{lcm}}$ .

By the plt property of  $(Y, B_Y + D_Y)$ ,  $D_Y$  is the birational transformation of  $D$  if  $(X, B + D)$  is wlc.

In the case with 2 horizontal components  $D_1 = D_{1,Y}, D_2 = D_{2,Y}$  of  $D_Y$  over  $X_{\text{lcm}}$ , the Mori log contraction  $Y \rightarrow T$  is a conic bundle. The divisors  $D_1, D_2$  are rational sections of the conic bundle. Such a conic bundle structure  $Y \rightarrow T$  is birationally unique for  $(X, B + D)$ .

If  $(X, B + D)$  is wlc then the conic bundle gives a generalized log flop  $(D_1, B_{D_1}) \dashrightarrow (D_2, B_{D_2})$  as the composition

$$(D_1, B_{D_1}) \hookrightarrow (X, B + D) \dashrightarrow (Y, B_Y + D_Y) \twoheadrightarrow (T, (B + D)_T) \longleftarrow (Y, B_Y + D_Y) \\ \longleftarrow (X, B + D) \hookrightarrow (D_2, B_{D_2}),$$

where  $(B + D)_T = B_{\text{div}}$  is the divisorial part of adjunction for the conic bundle. The moduli part of adjunction is trivial.

The induced standard structure is preserved under the flop.

If  $B + D = B_{\text{st}} + B_c + D$  is the standard structure with  $\mathbb{Q}$ -mobile  $B_c$  the flop preserves the induced standard structure as for b-divisors. If one would like to have the last property for divisors, then one needs to assume that  $B_c \equiv 0/T$  and is a divisor. This holds after a (possibly not small) modification of the conic bundle over  $T$ .

The statement and formula, which relates restrictions with the canonical flop follows from Lemma 5, because restrictions preserve log regular  $m$ -differentials.

Finally, the uniqueness of flop follows from Proposition 5. Indeed, after a crepant blowup of divisors on  $(Y, B_Y + D_Y)$  with log discrepancies  $\leq 1$  one can assume that a fixed rational conic bundle structure on another wlc model of  $(X, B + D)$  with horizontal  $D$  is proper on  $Y$ .  $\square$

A typical example of an interlaced triple comes from a tdlt triple.

*Example 5* (Triple of minimal lc centers). Let  $(X, B)$  be a tdlt pair and  $(Y, B_Y) = (X, B)_{\text{mlcc}}$  be its pair of minimal lc centers. Then  $(Y, B_Y)$  is also tdlt, respectively, wlc, standard etc, if so does  $(X, B)$ .

For (projective) wlc  $(X, B)$ , there is an interlacing on  $(Y, B_Y)$ . The vertexes of  $\Gamma$  are irreducible components  $Y_i$  of  $Y$ . An edge between  $Y_i, Y_j$  is an invariant (with respect to the log structure) closed irreducible subvariety  $Z \subseteq X$ , a flopping center, such that

$$Y_i, Y_j \subset Z \text{ are invariant divisors and}$$

there exists a rational 0-contraction of  $(Z, B_Z)$  with horizontal divisors  $Y_i, Y_j$ . Equivalently, there is a free curve  $C \subseteq Z$  (the generic fiber of 0-contraction) such that  $(K_Z + B_Z.C) = 0$  and  $(Y_i, C), (Y_j, C) > 0$ .

Indeed, in this situation there exists a generalized log flop  $(Y_i, B_{i,Y}) \dashrightarrow (Y_j, B_{j,Y})$  by Theorem 2.

Note that, for  $i = j$ , one can get sometimes an autoflop  $(Y_i, B_{i,Y}) \dashrightarrow (Y_i, B_{i,Y})$ , an involution in  $\text{Bir}(Y_i, B_{i,Y})$ . Unfortunately, it is not unique by Example 4 and so is not very useful in general. So, we agree that, for  $i = j$ , a flopping center has a single invariant divisor  $Y_i = Y_j$  and the flop is identical.

**Lemma 6.** *Let  $(X/T, B)$  be a tdlt 0-contraction with a boundary  $B$ . Then any two minimal lc center over  $T$  can be connected by flopping centers over  $T$ .*

*Proof.* The proof is similar to the case over a point:  $T = \text{pt.}$ . Let  $Y, Y'$  be two minimal lc center over  $T$ . We will find a chain of flopping centers  $C_1, \dots, C_n$  on  $X$  such that  $Y \subset C_1, \dots, Y' \subset C_n$ . As usually, a chain means that  $C_i$  intersects  $C_{i+1}$  for  $1 \leq i \leq n - 1$ .

Step 1. We can suppose that  $X$  is irreducible. Otherwise, take the normalization  $(X^n, B^n) = \coprod (X_i, B_i)$ . Note that  $X_i$  is possible not geometrically irreducible and not connected (fiberwise) over  $T$ . Nonetheless, since  $X/T$  is contraction, the fibers are connected. Thus there is chain of components  $X_i$ :

$$X_1 \supset Y_1 \subset X_2 \supset \dots \subset X_{n-1} \supset Y_{n-1} \subset X_n$$

with common minimal lc centers  $Y_i$  for each pair  $(X_i, B_i), (X_{i+1}, B_{i+1}), 1 \leq i \leq n - 1$ . Hence it is sufficient to find a chain of flopping centers for each pair of minimal centers  $Y_{i-1}, Y_i \subset X_i, 1 \leq i \leq n$ , where  $Y_0 = Y$  and  $Y_n = Y'$ . So, we replace  $(X/T, B)$  by  $(X_i/T_i, B_i)$ , where  $X_i \rightarrow T_i$  a 0-contraction given by a Stein decomposition. It is tdlt.

Step 2. Dimensional induction. The case  $\dim X/T = 0$  is empty. The case  $\dim X/T = 1$  is flopping. Indeed, the generic fiber is a (geometrically) irreducible curve with at most two minimal centers. If there are no centers, then the statement is empty. If there are centers, then  $X$  itself is flopping. A chain is trivial:  $C_1 = X$ .

If  $\dim X/T \geq 2$  and there are minimal lc centers  $Y, Y'$ , then two situations are possible:

- (1) the generic fiber  $(X_\eta, B_{X_\eta})$  is nonklt, but plt, or
- (2) all proper lc centers over  $T$  are lc connected, that is, their union is connected over  $T$ .

In (1), the chain is trivial as above:  $C_1 = X$ . In (2), we apply induction to the tdlt pair  $(Y/T, B_Y)$ , where  $Y$  is the union of all invariant divisors over  $T$ . Note that the lc centers for a tdlt pair are invariant subvarieties.  $\square$

**Corollary 3.** *Let  $(X, B)$  be a connected projective tdlc 0-pair. Then  $(X, B)_{\text{mlcc}}$  is a connected interlaced 0-pair.*

*Proof.* Immediate by Theorem 2, Example 5 and Lemma 6 over a point:  $T = \text{pt.}$   $\square$

By the uniqueness or a canonical construction of flops in Theorem 2, we can make interlacing for families.

**Corollary 4.** *Let  $(X/T, B)$  be a family connected projective tdlc 0-pairs. Then, for any generic point  $\eta$  of  $T$ ,  $(X/T, B)_{\text{mlcc}}$  is a connected interlaced family of tdlc 0-pairs.*

*Proof.* Immediate by Theorem 2, Example 5 and Lemma 6.

By general properties of tdlc families,  $(X/T, B)_{\text{mlcc}} = (X_{\text{mlcc}}/T, B_{X_{\text{mlcc}}})$  is a tdlc family of 0-pairs. Irreducible components  $Y \subseteq X_{\text{mlcc}}$  are not necessarily geometrically irreducible or/and connected (fiberwise) over  $T$ . However, they are connected by flopping centers according to Lemma 6. On the other hand, each flopping center, with a geometrically reducible lc center  $Y$  over  $T$ , determines a rational conic bundle and flop of centers by Theorem 2. (Actually,  $Y$  can be irreducible, but with two components in generic geometric fibers.) If  $Y$  is geometrically irreducible, then the flop is identical on  $Y$ . For this constructions, it is sufficient to consider the generic fiber  $(X_\eta, B_{X_\eta})$ . To apply the theorem, take an algebraic closure of  $\eta$ . By the uniqueness required flops are defined over  $\eta$  and by definition over  $T$ .  $\square$

*Example 6* (Blowup of an lc center). Let  $f: (\tilde{X}, B_{\tilde{X}}) \rightarrow (X, B)$  be a crepant extraction (flop) of an lc center  $f(D)$  with a prime divisor  $D \subset X$ . We suppose that  $f(D)$  is a real lc center, that is  $B \geq 0$  and  $(X, B)$  is lc near the generic point of  $f(D)$ . So,  $B$  is a boundary near the center. By definition  $D$  is a reduced divisor in  $B_{\tilde{X}}$  and  $(D, B_D)$  is lc with a boundary  $B_D$  (generically) over the center. The mapping  $f|_D: (D, B_D) \rightarrow f(D)$  is a 0-contraction. So, any two minimal lc center of  $(D, B_D)$  are related by a flop according to Corollary 4 and to the connectedness of lc locus. Such a minimal center always exists, possibly,  $D$  itself. If  $(X, B)$  is tdlc along  $f(D)$ , then, for any minimal lc center  $Y \subseteq (D, B_D)$  over  $f(D)$ , the restriction

$$f|_Y: (Y, B_Y) \rightarrow (f(Y), B_{f(Y)})$$

is birational and a flop.

However, if  $(X, B)$  is slc along  $f(D)$  and  $f$  is a normalization with a blowup, then  $f|_Y$  can be a fliz, if it is generically finite, e.g., 2-to-1 for osculation in divisors of slc  $(X, B)$ .

## 8 Relative b-representation

This section gives results which are relative analogues and generalizations of a well-known finiteness of representation of flops on differentials (Nakamura, Ueno, Sakai, Fujino, etc) [NU] [S] [U] [FC] (the last preprint has historic remarks on the question).

**Definition 5.** A linear representation  $G \rightarrow \text{Aut } V$  is *finite*, if so does its image. An *order* of the representation is the order of its image. The same works for projective representations  $G \rightarrow \mathbb{P}(V)$ .

Even for sheaves  $\omega_{X/T}^m[mB]$  and  $\mathcal{O}_X(mM)$ , which are isomorphic, the representation of flops on  $H^0(X, \omega_{X/T}^m[mB])$  is typically different from that of on  $H^0(X, m\mathcal{M})$ . For example, if  $X$  is a K3 surface then the representation of the automorphisms of  $X$  on  $H^0(X, \mathcal{O}_X)$  is trivial, and  $\omega_X \cong \mathcal{O}_X$ , but the representation on  $H^0(X, \omega_X)$  can be nontrivial. In this situation, the automorphisms with trivial action on  $H^0(X, \omega_X)$  are known as symplectic. In general, the difference between representations on global sections for isomorphic invariant invertible sheaves is in scalar matrices. So, the projective representations of isomorphic invariant invertible sheaves or of invariant up to linear equivalence divisors coincide. Thus in the proof of Corollary 9 it does not matter a choice of a moduli part of adjunction  $\mathcal{M}$  as a sheaf or as a divisor. It is important only the flop invariance of  $\mathcal{M}$ . But in Theorem 4 the canonical choice is an important assumption.

*Example 7* (Toric representation). Take a log pair  $(\mathbb{P}^1, 0 + \infty)$ . This is a toric variety with an action  $tx, t \in T^1 = k^*$ , where  $x$  is a nonhomogeneous coordinate. For the sheaf  $\mathcal{O}_{\mathbb{P}^1}(n(\infty - 0))$ , the representation of  $T$  is  $t^n x^n$ ,  $H^0(\mathbb{P}^1, n(\infty - 0)) = kx^n$  has weight  $n$ . The sheaf  $\mathcal{O}_X$  with  $n = 0$  has trivial representation and is isomorphic canonically to  $\omega_{\mathbb{P}^1}(0 + \infty)$ ,  $1 \mapsto dx/x$ .

Similarly, it is easy to construct for any rank 1 invariant sheaf an isomorphic invariant sheaf with infinite representation on its global section if the group of its isomorphisms is infinite and if there exists a nonconstant rational function with the invariant divisor. However those log canonical

divisors and functions exist only on nonklt pairs. So, for the klt pairs, any scalar representation is finite, and the finiteness of a linear representation of an invertible sheaf is the property of all class of isomorphic sheaves.

**Lemma 7.** *Let  $\mathcal{D}_1, \mathcal{D}_2$  be two b-divisors, which are effective up to linear equivalence and invariant up to linear equivalence with respect to action of a group  $G \subseteq \text{Bir}(X)$  of birational automorphisms.*

(1) *Then  $\mathcal{D}_1 + \mathcal{D}_2$  is also invariant up to linear equivalence and the finiteness of projective representation of  $G$  on  $\mathbb{P}(H^0(X, \mathcal{D}_1 + \mathcal{D}_2))$  implies the same for representations of  $G$  on  $\mathbb{P}(H^0(X, \mathcal{D}_1)), \mathbb{P}(H^0(X, \mathcal{D}_2))$ . Moreover, the orders of both representations are bounded by and divide the order of representation on  $\mathbb{P}(H^0(X, \mathcal{D}_1 + \mathcal{D}_2))$ .*

(2) *The converse holds if sections for  $\mathcal{D}_1$  and  $\mathcal{D}_2$  generate the sections of  $\mathcal{D}_1 + \mathcal{D}_2$ , that is, the surjectivity*

$$H^0(X, \mathcal{D}_1) \otimes H^0(X, \mathcal{D}_2) \twoheadrightarrow H^0(X, \mathcal{D}_1 + \mathcal{D}_2), s_1 \otimes s_2 \mapsto s_1 s_2,$$

*holds. The order of representation on  $\mathbb{P}(H^0(X, \mathcal{D}_1 + \mathcal{D}_2))$  is bounded by and divide the product of orders of representations on  $\mathbb{P}(H^0(X, \mathcal{D}_1)), \mathbb{P}(H^0(X, \mathcal{D}_2))$ .*

(3) *For a natural number  $m > 0$ , if sections for  $\mathcal{D}_1$  generate the sections for  $m\mathcal{D}_1$ , then the representations on  $\mathbb{P}(H^0(X, \mathcal{D}_1)), \mathbb{P}(H^0(X, m\mathcal{D}_1))$  have isomorphic images and the same orders.*

(4) *If the divisors  $\mathcal{D}_1, \mathcal{D}_2$  are invariant with respect to  $G$ , then (2) holds for linear representations. If  $\mathcal{D}_1$  is invariant, then in (3) the image of representation on  $H^0(X, m\mathcal{D}_1)$  is a quotient of the image for  $H^0(X, \mathcal{D}_1)$  and the order of the former representation divides the order of the latter one.*

(5) *The statements (1-4) also holds for  $G$ -invariant b-sheaves instead of b-divisors, even  $G$ -invariant up to isomorphism for the projective representations.*

In general (1) does not hold for linear representations.

*Example 8.* For any natural number  $n > 0$ , the representations of  $k^*$  on  $H^0(\mathbb{P}^1, n(\infty - 0))$  and on  $H^0(\mathbb{P}^1, -n(0 - \infty))$  (see Example 7) are infinite, but the representation on their product  $H^0(X, 0(\infty - 0))$  is trivial.

**Lemma 8.** *Let  $G \subseteq \text{Bir}(X)$  be a group of birational automorphisms, and  $\mathcal{M}, \mathcal{D}$  be two b-divisor on  $X$  such that*

(1)  *$\mathcal{M}, \mathcal{D}$  are invariant up to linear equivalence with respect to  $G$ ,*



(2)  $\mathcal{M}$  is semi-ample, and

(3)  $\mathcal{D} \equiv r\mathcal{M}$  for some real number  $r \geq 0$ .

Then the finiteness of representation of  $G$  on  $\mathbb{P}(H^0(X, m\mathcal{M}))$  for sufficiently large natural numbers  $m$  implies the finiteness of representation on  $\mathbb{P}(H^0(X, \mathcal{D}))$ . A bound for the last representation is the same as for  $\mathbb{P}(H^0(X, m\mathcal{M}))$ .

**Lemma 9.** *Let  $(X/T, B)$  be a tdt family of connected 0-pairs, and  $Y \subseteq X_{\text{mlcc}}$  be a component of its minimal lc center over  $T$ . Then, for any natural number  $m$ , the Poincare residue gives a canonical inclusion*

$$H^0(X, \omega_{X/T}^m[mB]) \subseteq H^0(Y, \omega_{Y/T}^m[mB_Y]), \omega \mapsto \omega|_Y = \text{res}_Y \omega.$$

**Lemma 10.** *Let  $(X/T, B)$  be a connected [tdt] mp-trivial reduced family of connected tdt 0-pairs with a horizontal boundary  $B$  and  $m$  is a natural number such that  $\mathcal{M} \sim_m 0$  and  $m$  is even. Then for any  $t, s \in T$  there exist canonical identifications given restrictions and residues:*

$$\begin{aligned} H^0(X, \omega_{X/T}^m[mB]) &= H^0(X_t, \omega_{X_t}^m[mB_{X_t}]) = H^0(X_s, \omega_{X_s}^m[mB_{X_s}]) = \\ &H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]) = H^0(Y_s, \omega_{Y_s}^m[mB_{Y_s}]), \end{aligned}$$

where  $Y_t, Y_s$  are the minimal lc centers or even the minimal lc centers for tdt blowups. If  $c^* : H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]) \rightarrow H^0(Y_s, \omega_{Y_s}^m[mB_{Y_s}])$  denotes the last canonical identification, then  $c^*|_{Y_t} = |_{Y_s}$ .

**Lemma 11.** *Let  $g : (X, B) \dashrightarrow (Y, B_Y)$  be a flop of tdt pairs, and  $Z \subseteq X$  be a lc center of  $(X, B)$  such that  $g$  is defined in  $Z$ . Then  $g(Z) \subseteq Y$  is also a lc center of  $(Y, B_Y)$  and  $g|_Z : Z \dashrightarrow g(Z)$  is a rational contraction. Moreover, if  $g|_Z$  is birational, then it is a log flop*

$$g|_Z : (Z, B_Z) \dashrightarrow (g(Z), B_{g(Z)}).$$

In particular, if  $Z$  is a minimal lc center, then  $g(Z)$  is also minimal, and  $g|_Z$  is a log flop.

*Proof.* By definition, we can suppose that both varieties  $X, Y$  are irreducible. Otherwise, we take irreducible component of  $X$  containing  $Z$  and its image in  $Y$ . Here we use the tdt property (no osculation).

Also by definition any lc center is an image of a b-divisor  $D$  with the boundary multiplicity 1 with respect to  $(X, B)$ . Equivalently, there exists an extraction  $\tilde{X} \rightarrow X$  of  $D$ . Since the singularities are tdlt, we can make a flop, a crepant tdlt resolution (even very economical with one exceptional divisor  $D$ ). By construction  $D \subset \tilde{X}$  is a divisor with a contraction  $D \rightarrow Z$ .

To verify that  $g|_Z: Z \dashrightarrow g(Z)$  is a rational contraction, it is sufficient to verify that the composition  $D \rightarrow Z \dashrightarrow g(Z)$  is a rational contraction. Note for this that  $D \dashrightarrow g(Z)$  is lc center for  $(Y, B_Y)$ , because the composition  $(\tilde{X}, B_{\tilde{X}}) \rightarrow (X, B) \dashrightarrow (Y, B_Y)$  is also a flop of tdlt pairs. By the crepant property of flops, the composition maps  $D$  onto the lc center  $g(Z)$ . This is a rational contraction by the tdlt property of  $(Y, B_Y)$ , there exists an extraction of  $D$  in  $Y$  with contraction onto  $g(Z)$  as above.

The flopping property of birational  $g|_Z$  follows from the divisorial adjunction. For this we use a dimensional induction. It is the divisorial adjunction if  $Z$  is a divisor. If  $Z$  is not a divisor, then by the tdlt property there exists an invariant divisor  $W$  containing  $Z$ . If  $W \dashrightarrow g(W)$  is birational, then we can use induction. Otherwise we extract an invariant b-divisor  $\tilde{W} \subset \tilde{Y} \rightarrow Y$  such that  $W$  maps to  $\tilde{W}$ . Now the mapping of  $Z$  to  $\tilde{W}$  is not necessarily defined. If so, then we blow up  $Z$  in  $W$  and by Example 6 we can find flop of a lc center  $(\tilde{Z}, B_{\tilde{Z}}) \dashrightarrow (Z, B_Z)$  such that the mapping of  $\tilde{Z}$  to  $\tilde{W}$  is defined. The composition  $\tilde{Z} \dashrightarrow \tilde{W} \rightarrow Y$  maps  $\tilde{Z} \dashrightarrow g(Z) = \tilde{Z} \rightarrow Z \dashrightarrow g(Z)$  and gives a required flop by induction.

Finally, suppose that  $Z$  is a minimal lc center. Then the lc centers of contraction  $(D, B_D) \rightarrow Z$  are only horizontal (the connectedness of lc centers). And vice versa. By the tdlt property, any minimal lc center  $\tilde{Z}$  of  $(D, B_D)$  gives a (flop) birational mapping to  $Z$ . Thus  $g(Z)$  is minimal and  $Z \dashrightarrow g(Z)$  is birational.  $\square$

**Proposition 6.** *Let  $(X/T, B)$  be a tdlt family of connected 0-pairs, and  $Y \subseteq X_{\text{mlcc}}$  be an irreducible component of its minimal lc center over  $T$ . Then, for any natural number  $m$ , each representation linear transformation  $g^*$  on  $H^0(X, \omega_{X/T}^m[mB])$  can be extended to a representation linear transformation  $g_Y^*$  on  $H^0(Y, \omega_{Y/T}^m[mB_Y])$ . That is, for any  $g \in \text{Bir}(X \rightarrow T/k, B)$ , there exists  $g_Y \in \text{Bir}(Y \rightarrow T/k, B_Y)$  such that*

$$g^* = (g_Y^*)|_{H^0(X, \omega_{X/T}^m[mB])},$$

where  $g_Y^*$  is the representation of  $g_Y$  on  $H^0(Y, \omega_{Y/T}^m[mB_Y])$ .

*Proof.* An extension can be done under the canonical inclusion

$$V = H^0(X, \omega_{X/T}^m[mB]) \subseteq H^0(Y, \omega_{Y/T}^m[mB_Y]), \omega \mapsto \omega|_Y = \text{res}_Y \omega$$

of Lemma 9.

Step 1. If a flop  $g$  is defined in  $Y$ , then  $g(Y) \subseteq X_{\text{mlcc}}$  and is also an irreducible component. In the case  $g(Y) = Y$ ,  $g$  induces a generic flop  $g_Y = g|_Y$  on  $(Y, B_Y)$  by Lemma 11. In this case,  $(g_Y^*)|_V = |_Y g^*$  is a general invariance of the Poincare residue.

Step 2. More generally, if a flop  $g$  is defined in  $Y$ , but possibly  $g(Y) \neq Y$ , then  $g$  induces a log flop  $g|_Y: (Y, B_Y) \rightarrow (g(Y), B_{g(Y)})$  again by Lemma 11. By connectedness of fibers and Lemma 6, Theorem 2, there exists a chain  $C_1, \dots, C_n, n \geq 1$ , of flopping centers  $C_i$  on  $X$  such that  $Y \subset C_1, \dots, g(Y) \subset C_n$  and the chain of centers define a sequence of (canonical) flops  $Y = Y_0 \dashrightarrow Y_1 \dashrightarrow \dots \dashrightarrow Y_{n-1} \dashrightarrow g(Y) = Y_n$ . (According to our agreement,  $Y_0 = Y_1$  and/or  $Y_{n-1} = Y_n$ , if the flopping centers  $C_1$  and/or  $C_n$  have respectively a single minimal lc center.) They are flops with respect to adjoint boundaries  $(Y_i, B_{Y_i})$ . Their composition gives a flop  $c: (Y, B_Y) \dashrightarrow (g(Y), B_{g(Y)})$ , canonical with respect to differentials. The canonicity means that all such flops are identical on restricted sections for every *even*  $m$ . The flops agrees with restrictions (Poincare residues): for every  $c_i: (Y_i, B_{Y_i}) \dashrightarrow (Y_{i+1}, B_{Y_{i+1}})$ ,

$$c_i^*|_{Y_{i+1}} = |_{Y_i},$$

and the inclusion is given by the Poincare residue, the identifications = by canonical flops:

$$H^0(X, \omega_{X/T}^m[mB]) \subseteq H^0(Y, \omega_{Y/T}^m[mB_Y]) = H^0(Y_i, \omega_{Y_i}^m[mB_{Y_i}]) = H^0(g(Y), \omega_{g(Y)/T}^m[mB_{g(Y)}]).$$

(Usually,  $Y/T$  is not geometrically irreducible and the inclusion (Poincare residue) is proper.) Thus, for  $g_Y = c^{-1}(g|_Y): Y \rightarrow Y$ ,  $g_Y^*$  extends the representation of  $g$  from  $H^0(X, \omega_{X/T}^m[mB])$  to  $H^0(Y, \omega_{Y/T}^m[mB_Y])$ . Indeed, for any  $\omega \in V$ ,

$$(g_Y^*)(\omega|_Y) = (g|_Y)^*(c^{-1})^*(\omega|_Y) = (g|_Y)^*(\omega|_{g(Y)}) = (g^*\omega)|_Y.$$

Step 3. If a flop  $g$  is not defined in  $Y$  ( $Y$  is in indeterminacy locus), then we make a blowup  $(X', B_{X'}) \rightarrow (X, B)$  in  $Y$ . For tdlc families such

a blowup exists. However, in our situation, the problem is birational and it is sufficient to consider  $(X_\eta, B_{X_\eta})$ . In the generic case, the only problem is nonirreducibility of  $X_\eta$ . In this case, we replace  $X_\eta$  by its normalization with isomorphism of gluing divisors and identification of differentials along them. Then a blowup on any component should be done simultaneously in identified centers on both gluing divisors. We identify the blown up centers, in particular, their minimal lc centers. Take any minimal lc center  $Y'$  over  $Y$ . Then the blowup gives a canonical log flop  $c': (Y', B_{Y'}) \rightarrow (Y, B_Y)$ . The canonicity again means the same as above:

$$H^0(X', \omega_{X'/T}^m[mB_{X'}]) = H^0(X, \omega_{X/T}^m[mB]) \subseteq H^0(Y, \omega_{Y/T}^m[mB_Y]) = H^0(Y', \omega_{Y'/T}^m[mB_{Y'}]).$$

If  $g'$  is  $g$  on  $X'$ , and is defined in  $Y'$ , then put  $g_Y = c'c^{-1}(g'|_{Y'})c'^{-1}$ , where  $c: Y' \rightarrow g(Y')$  is now constructed for  $g', Y', (X', B_{X'})$  as above for  $g, Y, (X, B)$ . In this situation  $g_Y^*$  also extends the representation of  $g$  from  $H^0(X, \omega_{X/T}^m[mB]) = H^0(X', \omega_{X'/T}^m[mB_{X'}])$  to  $H^0(Y, \omega_{Y/T}^m[mB_Y]) = H^0(Y', \omega_{Y'/T}^m[mB_{Y'}])$ .

If  $g'$  is not defined in  $Y'$  we make the next blowup etc. Finally, we associate, to each flop  $g \in \text{Bir}(X \rightarrow T/k, B)$ , a flop  $g_Y \in \text{Bir}(Y \rightarrow T/k, B_Y)$  with the same (sub)representation:

$$g^* = (g_Y^*)|_{H^0(X, \omega_{X/T}^m[mB])} \text{ on } H^0(X, \omega_{X/T}^m[mB]) \subseteq H^0(Y, \omega_{Y/T}^m[mB_Y]).$$

□

A version of the Burnside theorem.

**Theorem 3.** *Let  $G \subseteq \text{Aut } V$  be a group of linear transformation of a finite dimensional linear space  $V$  over a field  $k$  such that*

- (1)  *$G$  is torsion, that is, for every  $g \in G$ , there exists a positive integral number  $m$  such that  $g^m = 1$ , and*
- (2) *finitely generated or*
- (3) *every element  $g \in G$  is defined over a field  $l_g$  which has a uniformly bounded degree over a field of pure transcendent extension over the prime subfield in  $k$ .*

*Then  $G$  is finite.*

For example, (3) holds if  $l_g$  has a uniformly bounded degree over a field  $l \subseteq k$  of finite type over the prime subfield in  $k$  which independent of  $g$ .

The following result is a special case of Corollary 6 below. Technically, this is the most crucial step.

**Theorem 4.** *Let  $(X_\eta, B_{X_\eta})$  be a generic wlc 0-pair, where  $X_\eta$  is geometrically irreducible. Then, for any natural number  $m$ , the canonical representation of generic log flops on differentials*

$$\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \mathrm{Aut} H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]), g \mapsto g^*,$$

*is finite. Moreover, the order of representation has a uniform bound, independent of  $m$ .*

*Proof.* Since the representation is independent of a wlc model of  $(X_\eta, B_{X_\eta})$ , we can suppose that the model  $(X_\eta, B_{X_\eta})$  is projective. To construct such a model one can use the LMMP. Below we use some other modifications of this model and even a completion over  $k$ .

We can suppose that  $B$  is  $\mathbb{Q}$ -divisor. If the letter does not hold then  $H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) = 0$  for every  $m \neq 0$  because  $(X_\eta, B_{X_\eta})$  is a 0-pair.

Step 1. By Lemmas 7 and 8, we can suppose that  $m$  is sufficiently divisible, and the finiteness needed only for *some* such  $m$ . It is enough to suppose that  $\omega_{X_\eta}^m[mB_{X_\eta}]$  is invertible, equivalently the divisor  $m\mathcal{M}$  is Cartier, where  $\mathcal{M}$  is a canonical upper moduli part of adjunction, and that  $H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])$  (this space of section of a b-sheaf is finite dimensional) generate the relative log canonical ring  $\mathcal{R}(\omega_{X_\eta}^m[mB_{X_\eta}])$ . Indeed, by Lemma 7, (5), the representation of  $\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$  on  $\mathcal{R}(\omega_{X_\eta}^m[mB_{X_\eta}])$  is finite. Thus it is finite in each degree  $l$ , that is, on each  $H^0(X_\eta, \omega_{X_\eta}^{lm}[lmB_{X_\eta}])$ . On the other hand, for every natural number  $n$ ,  $nm\mathcal{M}/m = n\mathcal{M}$ . Therefore, by Lemma 8, the projective representation on  $\mathbb{P}(H^0(X_\eta, \omega_{X_\eta}^n[nB_{X_\eta}]))$  is finite with the same bound as for the algebra. A difference with the linear representation on  $H^0(X_\eta, \omega_{X_\eta}^n[nB_{X_\eta}])$  is only in scalar matrices on rather general fibers  $(X_t, B_{X_t})$  (cf. Step 5 below). Thus it is the 0-dimensional version of the theorem:  $\eta = k$ . By Proposition 6, this case can be reduced to the same statement for a klt 0-pair  $(Y, B_Y)$ . Take a minimal lc center  $Y \subseteq X_{t, \mathrm{mlcc}}$ , assuming that  $X_t$  is tdlt. But the required result for the klt pairs is well-known (cf. Step 6 below). Note that after the reduction we need to consider all flops of  $(Y, B_Y)$  and their representations but with scalar restrictions on  $H^0(X_t, \omega_{X_t}^n(nB_{X_t}))$ . The final uniform bound is the maximum for two algebras  $\mathcal{R}(\omega_{X_\eta}^m[mB_{X_\eta}])$  and  $\bigoplus_{m \geq 0} H^0(Y, \omega_Y^m(mB_Y))$ .

We suppose also that  $m$  is *even* (see Step 2).

Additionally, we assume, that there exists a nonzero section  $\omega_0 \in H^0(X_\eta, \omega_{X_\eta}^n [nB_{X_\eta}])$  vanishing on the birational reduced b-divisor  $\mathcal{D}$  of  $\eta$ , which contains all centers in  $\bar{\eta}$  of degenerations of  $X_\eta$ . More precisely,  $\text{Supp } \mathcal{D}$  contains all special points  $t \in \bar{\eta}$  such that  $\iota(X_t, B_{X_t}) < \iota(X_\eta, B_{X_\eta})$ . Actually, it is sufficient for a subdivisor of  $\mathcal{D}$  related to  $\Delta$  in Step 4 below.

Step 2. We can suppose that  $(X_\eta, B_{X_\eta})$  is klt. Equivalently,  $\iota(X_\eta, B_{X_\eta}) = \dim X_\eta$ . By the LMMP we can suppose that  $(X_\eta, B_{X_\eta})$  is dlt. If  $(X_\eta, B_{X_\eta})$  is not klt then we consider the minimal lc center  $(X_{\eta, \text{mlcc}}, B_{\eta, \text{mlcc}})$  (a generic family of interlaced pairs). It can have disconnected fibers (geometrically not irreducible).

Fix an irreducible component  $Y \subseteq X_{\eta, \text{mlcc}}$ . Then Lemma 9 gives a canonical inclusion

$$H^0(X_\eta, \omega_{X_\eta}^m [mB_{X_\eta}]) \subseteq H^0(Y, \omega_Y^m [mB_Y]), \omega \mapsto \omega|_Y = \text{res}_Y \omega.$$

On the other hand, by Proposition 6, each representation linear transformation  $g^*$  of  $H^0(X_\eta, \omega_{X_\eta}^m [mB_{X_\eta}])$  can be extended to a representation linear transformation  $g_Y^*$  of  $H^0(Y, \omega_Y^m [mB_Y])$ . That is, for any  $g \in \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$ , there exists  $g_Y \in \text{Bir}(Y \rightarrow \eta/k, B_Y)$  such that

$$g^* = (g_Y^*)|_{H^0(X_\eta, \omega_{X_\eta}^m [mB_{X_\eta}])},$$

where  $g_Y^*$  is the representation of  $g_Y$  on  $H^0(Y, \omega_{Y/T}^m [mB_Y])$ .

Now take  $Y/\theta$  instead of  $Y/\eta$ , where  $Y \rightarrow \theta \rightarrow \eta$  is a Stein decomposition. Then  $Y$  is geometrically irreducible over  $\theta$  and  $\text{Bir}(Y \rightarrow \eta/k, B_Y) \subseteq \text{Bir}(Y \rightarrow \theta/k, B_Y)$ . So, it is sufficient to establish the finiteness of representation of  $\text{Bir}(Y \rightarrow \theta/k, B_Y)$  on  $H^0(Y, \omega_Y^m [mB_Y])$ . But  $(Y, B_Y)$  is klt by construction.

Step 3. Now  $(X_\eta, B_{X_\eta})$  is klt and, by Lemma 4, it is sufficient to verify that linear  $g^*$  is torsion for each  $g \in \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$ . Indeed, by the lemma

$$g^* = g'^* g_\theta^* \text{ on } H^0(X_\eta, \omega_{X_\eta}^m [mB_{X_\eta}]) = H^0(\theta, \mathcal{M}_\theta^m),$$

where  $g' \in \text{Bir}_\diamond(X_\eta \rightarrow \eta/k, B_{X_\eta})$ ,  $g_\theta \in \text{Aut}(\theta/\bar{l}, \mathcal{M}_\theta)$ ,  $\mathcal{M}_\theta = (\mathcal{M}_\theta^m)^{1/m}$  is a canonical  $\mathbb{Q}$ -sheaf on  $\theta$ , and  $\mathcal{M}_\theta^m$  is the direct image of  $\omega_{X_\eta}^m [mB_{X_\eta}]$  on  $\theta$ . Since  $B_{X_\eta}$  is a  $\mathbb{Q}$ -boundary, we can take a canonical  $\mathbb{Q}$ -sheaf  $\mathcal{M}_\theta$ . The equation for sections under the direct image holds, if  $m$  is sufficiently divisible, e.g.,  $\mathcal{M}_\theta^m$  is an invertible sheaf. The last follows from above choice of  $m$ . It is well-known that  $g'^*$  is a bounded scalar torsion representation, a representation on

an isotrivial family (see the proof of Corollary 8 and Step 6 below). Thus, for every torsion  $g^*$ ,  $g_\theta^*$  is also torsion. By Lemma 4 every  $g_\theta$  and  $g_\theta^*$  are defined over  $l_g$  with a uniformly bounded degree over a field of finite type  $l$  over the prime subfield in  $k$ . Hence the representation  $g_\theta^*$  satisfies (1) and (3) of Theorem 3 and is finite by the theorem. This implies also the finiteness of  $g^*$  because the scalar part  $g'^*$  is finite. Actually, for sufficiently divisible  $m$ ,  $g'^*$  is identical and  $g^* = g_\theta^*$ .

Step 4. We can suppose now that  $(X_\eta, B_{X_\eta})$  is klt, equivalently,  $\iota(X_\eta, B_{X_\eta}) = \dim X_\eta$ , and  $g$  is a generic flop. We need to establish that  $g^*$  is torsion for the linear representation. In this step we verify semisimplicity of  $g^*$ , that is,  $g^*$  diagonalizable. Moreover,  $g^*$  is unitary: the eigenvalues  $e_i$  of  $g^*$  have norm 1. It is sufficient to establish on a subspace of bounded forms

$$W = \{\omega \in H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) \mid \|\omega\| < +\infty\} \subseteq H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]).$$

This is a birational concept:  $\|\omega\| = \sup_{t \in T} \|\omega\|_t$ , the fiberwise norm. A pedestrian and more algebraic explanation as follows. For good properties of  $\|\omega\|_t$  on a completion of  $\eta$ , we use a (flat) maximal wlc  $(X/T, B)$  with tdlc singularities such that  $\eta$  is the generic point of  $T$  and  $(X_\eta, B_{X_\eta})$  is as above. Such a model exists. We can suppose also that  $B$  is horizontal over  $T$ , equivalently,  $B_{\text{div}} = 0$ . Then  $\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) = \text{Bir}(X \rightarrow T/k, B)$ . Usually, the induced morphism  $g_T: T \dashrightarrow T$  is birational. In particular,  $t \mapsto t' = g_T t$  and fiberwise flops  $g|_{X_t}: (X_t, B_{X_t}) \dashrightarrow (X_{t'}, B_{X_{t'}})$  are not always well-defined. They are defined for rather general points  $t$  (and so do powers  $g^d$  for very general points). The flop  $g$  permutes some vertical b-divisors, namely, multiple fibers and degenerate fibers, equivalently, the invariant divisors of log structure of  $T$ , over generic points of which fibers are not reduced or with degenerations (lc points). This transformation on  $X, T$  is really birational, that is, some of those invariant divisors are contracted some are extracted under  $g, g_T$  respectively. The moduli part of adjunction is stabilized over  $X$ :  $\mathcal{M} = \overline{M}$ , where  $M$  is an upper moduli part of adjunction for  $(X/T, B)$ , and semiample by dimensional induction. Moreover, under our assumptions  $m\mathcal{M}, m\overline{M}$  are Cartier and  $m\overline{M}$  is a divisor of the power sheaf  $\omega_{X_\eta}^m[mB_{X_\eta}] = \omega_{X/T}^m[mB]$  of the sheaf of moduli part of adjunction. Thus  $H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) = H^0(X, \omega_{X/T}^m[mB])$  with isomorphic representations.

We denote by

$$\varphi: X \rightarrow \mathbb{P}(H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])^v) = \mathbb{P}(H^0(X, \omega_{X/T}^m[mB])^v)$$

a contraction given by the linear system

$$\left| \omega_{X_\eta}^m[mB_{X_\eta}] \right| = \left| \omega_{X/T}^m[mB] \right|.$$

Let  $\Delta \subset T$  be the degeneration locus:

$$\Delta = \{t \in T \mid \iota(X_t, B_t) < \dim X_t = \dim X_\eta\}$$

parameterizes the nonklt fibers. By properties of norm,  $\|\omega\|_t$  is continuous always and bounded on  $T$ , if and only if  $\omega_t = 0$ , equivalently,  $\|\omega\|_t = 0$ , for all  $t \in \Delta$ . In the last situation  $\|\omega\| = \max_{t \in T} \|\omega\|_t$ . So,

$$W = H^0(X, X_{\Delta, \text{red}}, \omega_{X/T}^m[mB]) = \{\omega \in H^0(X, \omega_{X/T}^m[mB]) \mid \omega|_{X_{\Delta, \text{red}}} = 0\}.$$

By both definitions,  $W$  is invariant under  $g^*$ . The first definition uses the invariance of norm:  $\|g^*\omega\| = \|\omega\|$ . The second definition uses the invariance of degenerate fibers for flops. By properties of norm (1) and (3) the linear operators  $(g^*)^n$ ,  $n \in \mathbb{Z}$ , are uniformly bounded: for all integral numbers  $n \in \mathbb{Z}$  and all forms  $\omega \in W$  of length 1,  $\|(g^*)^n\omega\| = \|\omega\| = 1$ . Thus the operator  $g^*$  is diagonalizable and unitary on  $W$ .

Now we establish the semisimplicity and unitary properties of  $g^*$  on the whole space  $H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) = H^0(X, \omega_{X/T}^m[mB])$ . Take for this a  $g^*$ -semiinvariant form  $\omega_0 \in W$  and consider an equivariant imbedding of representation (cf. the proof of Lemma 8):  $g^*\omega_0 = e_0\omega_0$ ,  $e_0 \in k^*$ ,

$$H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) = H^0(X, \omega_{X/T}^m[mB]) \hookrightarrow H^0(X, \omega_{X/T}^{2m}[2mB]), \omega \mapsto \omega\omega_0.$$

Such a form  $\omega_0$  exists for sufficiently large  $m$  by semiampleness of moduli part because  $\varphi(X_{\Delta, \text{red}})$  is a proper subset of  $\varphi(X)$  [klt fibers are isotrivial families]. Actually, this restriction on  $m$  was already imposed in Step 1: the birational pre-image of  $\mathcal{D}$  on  $\theta$  contains all prime b-divisors over  $\Delta$ .

The image of the imbedding is a  $g^*$ -invariant subspace of bounded forms: for any  $t \in \Delta$  and any  $\omega \in H^0(X, \omega_{X/T}^m[mB])$ ,

$$g^*(\omega\omega_0) = e_0(g^*\omega)\omega_0 \text{ and } (\omega\omega_0)_t = \omega_t\omega_{t,0} = \omega_t 0 = 0.$$

Thus  $g^*$  is semisimple on  $H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])$  with all  $|e_i| = 1$ .

Step 5. Every  $g^*$  is torsion on  $W = H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) = H^0(X, \omega_{X/T}^m[mB])$ . As one can see in the proof below, we only need a completion along generic



curves. Again we use the regularization  $(X/T, B)$ . According to Step 4 we need to establish that each eigenvalue  $e_i$  is a root of unity. Let  $w_i \in W$  be the eigenvectors of  $g^*$ . By Step 4, they generate  $W$  and we can form a basis of those vectors  $w_1, \dots, w_d, d = \dim W$ . The dual vectors  $w_i^v$  form a basis of  $H^0(X, \omega_{X/T}^m[mB])^v$ . Suppose that  $w_1, \dots, w_l$  are all vectors  $w_i$  with nonroots  $e_1, \dots, e_l$ . We need to verify that  $l = 0$ .

If  $l \geq 1$ , by Proposition 3, we can find a point  $y \in \varphi(X)$  and an integral number  $n \neq 0$  such that

- (1)  $y$  has a nonzero coordinate  $w_i(y), 1 \leq i \leq l$ , and
- (2)  $(g^*)^n$ -invariant:  $(g^*)^n y = y$ .

Taking a power  $(g^*)^n = (g^n)^*$  instead of  $g^*$  we can suppose  $n = 1$ . Note that the eigenvalues of  $(g^*)^n$  are powers  $e_i^n$  and their property to be a root of unity independent of  $n$ . By construction  $\varphi(X)$  is invariant for  $g^*$  and nondegenerate. Now we verify that  $e_i$  is a root of unity, a contradiction.

Take now a point  $t$  and a fiber  $X_t$  over  $y$ . The invariance  $g^* y = y$  does not imply in general invariance of  $t$  and/or of  $X_t$  under  $g$ . But an invariance up to certain mp-trivial deformation. More precisely,  $(X_t, B_{X_t}), t \in S$ , belongs to the family  $(X_S/S, B_{X_S})$ , where  $S \subseteq T$  is a maximal connected subvariety such that  $\varphi(X_S) = y$ . For every two points  $t, s \in S$  and sufficiently divisible even  $m$  (as we assume, base point free  $m\mathcal{M}$ ), there exists a canonical identification of sections of their minimal lc centers:

$$H^0(X_{S,\text{red}}, \omega_{X_{S,\text{red}}/S}^m[mB_{X_{S,\text{red}}}] ) = H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]) = H^0(Y_s, \omega_{Y_s}^m[mB_{Y_s}]), X_{S,\text{red}} = \varphi^{-1}y,$$

where  $(Y_t, B_{Y_t}), (Y_s, B_{Y_s})$  are minimal lc centers of  $(X_t, B_{X_t}), (X_s, B_{X_s})$  respectively. We denote this identification by  $c^* : H^0(Y_t, \omega_{Y_t}^m[mB_{Y_t}]) \rightarrow H^0(Y_s, \omega_{Y_s}^m[mB_{Y_s}])$ . It is determined by the relation:  $c^*|_{Y_t} = |_{Y_s}$ . Actually, it is determined by the subfamily over  $S$ . Apply Lemma 10 to the reduced tdlit family  $(X_{S,\text{red}}/S, B_{X_{S,\text{red}}})$ . However, it is not very useful, when  $c^*$  does not correspond to a flop (cf. the paragraph after the next one of this step). In general,  $Y_t, Y_s$  and, moreover,  $X_t, X_s$  even are not birationally equivalent.

On the other hand, by Proposition 4, for any  $t \in S$  and any minimal lc center  $(Y_t, B_{Y_t})$ , there exists  $s \in S$  such that, for any minimal lc center  $(Y_s, B_{Y_s})$ , there exists a log flop  $g_{Y_t} : (Y_t, B_{Y_t}) \dashrightarrow (Y_s, B_{Y_s})$ . Then under the above identification

$$|_{Y_t} g^* = (g_{Y_t})^* c^* |_{Y_t}.$$

We need to present now  $c^*$  as a representation of a (canonical) flop  $c: (Y_s, B_{Y_s}) \dashrightarrow (Y_t, B_{Y_t})$ . This is a log isomorphism and this holds, e.g., if there exist  $Y_s, Y_t$  in the same isotrivial family for minimal lc centers without degenerations. The base  $S$  can be present as a finite disjoint union  $\coprod S_i$  of locally closed subsets such that, for every family  $(X_{S_i, \text{red}}/S_i, B_{X_{S_i, \text{red}}})$ , the family of its minimal lc centers is finite disjoint union of isotrivial families of klt 0-pairs without degenerations. The mp-trivial property of minimal lc centers follows by adjunction. Since they are klt families, they are isotrivial by (Viehweg-Ambro). For some curve  $C$  (a curve  $g^n(C)$ ) and some natural number  $N > 0$ ,  $g^N(C)$  gives a point  $s$  in same  $S_i$  as for  $t$  and, moreover,  $Y_s$  is the same family as  $Y_t$ . (Dirichlet principal.) Now replace  $g$  by  $g^N$ . Then  $s, t \in S_i$  and  $Y_s, Y_t$  in the same klt isotrivial family without degenerations. So,  $c^*$  correspond to a natural log isomorphism  $c: (Y_s, B_{Y_s}) \rightarrow (Y_t, B_{Y_t})$ . (After a finite covering an isotrivial family without degeneration became trivial.)

Now we take form the  $\omega_i = w_i$ . Then  $g^*\omega_i = e_i\omega_i$  and

$$(cg_{Y_t})^*(\omega_i|_{Y_t}) = (g_{Y_t})^*c^*(\omega_i|_{Y_t}) = |_{Y_t}(g^*\omega_i) = |_{Y_t}(e_i\omega_i) = e_i(\omega_i|_{Y_t}).$$

By construction  $\omega_i|_{Y_t} \neq 0$ , equivalently,  $\omega_i|_{X_{S, \text{red}}} \neq 0$ , and  $cg_{Y_t}$  a flop of  $(X_t, B_{X_t})$ . Hence  $e_i$  is a root of unity by the next Step 6, a contradiction.

Step 6.  $\dim T = 0$  and  $(X, B)$  is a klt 0-pair (cf. [FC, Theorem 3.9]). Subtracting  $B$  we can reduce the problem to that of in two situations

- (1) with  $B = 0$  and  $X$  is terminal, and
- (2)  $(X, \varepsilon B)$ ,  $0 < \varepsilon \ll 1$ , is a klt Fano variety.

(Here we use induction on the dimension of fibers.) The case (1) is well-known by [U, Proposition 14.4]: every  $e_i$  (actually single:  $d = 1$ ) is an algebraic integer and  $|e_i| = 1$ . So,  $e_i$  is a root of unity. In the case (2), the group  $\text{Bir}(X, B)$  is finite itself and every  $e_i$  is a root of unity (1-dimensional representation of a finite group).  $\square$

The next result is a little bit more general (cf. Corollary 6) but its proof uses more geometry: from isotrivial families to mp-trivial.

**Corollary 5.** *Let  $(X_\eta, B_{X_\eta})$  be a generic wlc pair, where  $X_\eta$  is geometrically irreducible. Then, for any natural number  $m$ , the canonical representation of generic log flops on differentials*

$$\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \text{Aut } H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]), g \mapsto g^*,$$

*is finite. Moreover, the order of representation has a uniform bound, independent of  $m$ .*

*Proof.* Step 1. Construction of a generic lcm pair  $(X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}})$ . The proof below uses the Iitaka contraction and the semiample conjecture in the dimension of generic fiber. However, it is possible to do without this assumption. E.g., if a nonvanishing for generic fiber does not hold, then  $H^0(X_{\eta}, m\mathcal{M}) = 0$  for all natural  $m$  and the projective representation is empty. The nonvanishing implies semiample by known results. It is much easier for 2 section:  $\dim H^0(X_{\eta}, m\mathcal{M}) \geq 2$  (Kawamata).

Take a fiberwise Iitaka contraction

$$I: (X_{\eta}, B_{X_{\eta}}) \rightarrow (X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}}),$$

where  $(X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}})$  is a generic lcm pair with geometrically irreducible  $X_{\eta, \text{lcm}}$  and with a boundary  $B_{X_{\eta, \text{lcm}}}$ . The boundary  $B_{X_{\eta, \text{lcm}}}$  is constructed by adjunction:  $B_{X_{\eta, \text{lcm}}} = D + M$  is a sum of the divisorial and a low moduli part of adjunction on  $X_{\eta, \text{lcm}}$ . The divisorial part of adjunction  $D$  is determined canonically.

Step 2. Let

$$G = \text{Bir}(X_{\eta} \rightarrow \eta/k, B_{X_{\eta}}) \cap \ker \rho_{\theta} \subseteq \text{Bir}(X_{\eta} \rightarrow \eta/k, B_{X_{\eta}})$$

be a subgroup preserving any canonical upper and any effective low moduli part of adjunction for  $(X_{\theta}, B_{X_{\theta}})$ , where  $\theta = X_{\eta, \text{lcm}}, X_{\theta} = X_{\eta}, B_{X_{\theta}} = B_{X_{\eta}}$  and

$$\rho_{\theta}: \text{Bir}(X_{\theta} \rightarrow \theta/k, B_{X_{\theta}}) \rightarrow \text{Aut } H^0(X_{\theta}, \omega_{X_{\theta}}^l[lB_{X_{\theta}}])$$

for sufficiently divisible  $l$ . The subgroup  $G$  has a finite index in  $\text{Bir}(X_{\eta} \rightarrow \eta/k, B_{X_{\eta}})$ . So, it is sufficient to establish the finiteness of representation

$$G \rightarrow \text{Aut } H^0(X_{\eta}, \omega_{X_{\eta}}^m[mB_{X_{\eta}}]), g \mapsto g^*.$$

More precisely, we suppose that  $G$  preserves all differentials  $\omega \in H^0(X_{\theta}, \omega_{X_{\theta}}^l[lB_{X_{\theta}}])$ : for any natural number  $l$  and any  $g \in G$ ,  $g^*\omega = \omega$ . By Theorem 4, the representation  $\rho_{\theta}$  is finite. Indeed, by construction  $I: (X_{\eta}, B_{X_{\eta}}) \rightarrow \theta$  is a 0-contraction and  $(X_{\theta}, B_{X_{\theta}})$  is a generic family of 0-pairs.

Note the  $G$ -invariance is an empty assumption unless  $B_{X_{\theta}}$  and  $B_{X_{\eta}}$  are  $\mathbb{Q}$ -divisors over  $\theta$ . Indeed, otherwise, for all  $l$ ,  $H^0(X_{\eta}, \omega_{X_{\eta}}^l[lB_{X_{\eta}}]) = H^0(X_{\theta}, \omega_{X_{\theta}}^l[lB_{X_{\theta}}]) = 0$ , and the corollary is established. So, below we suppose that  $B_{X_{\theta}}$  and  $B_{X_{\eta}}$  are  $\mathbb{Q}$ -divisors over  $\theta$ , and the bound on and kernel of  $\rho_{\theta}$  are independent on  $l$ . This is true for sufficiently divisible  $l$ . Note also that each generic flop

$g$  of  $(X_\eta, B_{X_\eta})$  is also a generic flop of  $(X_\theta, B_{X_\theta})$  and this gives a natural inclusion:

$$\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \subseteq \text{Bir}(X_\theta \rightarrow \theta/k, B_{X_\theta}).$$

Indeed, each fiberwise flop  $(X_t, B_{X_t}) \dashrightarrow (X_{g_\eta t}, B_{X_{g_\eta t}})$  is compatible with fiberwise litaka contractions:

$$\begin{array}{ccc} (X_t, B_{X_t}) & \dashrightarrow & (X_{g_\eta t}, B_{X_{g_\eta t}}) \\ \downarrow & & \downarrow \\ (X_{t,\text{lcm}}, B_{X_{t,\text{lcm}}}) & \dashrightarrow & (X_{g_\eta t,\text{lcm}}, B_{X_{g_\eta t,\text{lcm}}}) \end{array}.$$

So, the finiteness of  $\ker \rho_\theta$  implies the required finiteness of index. The index has a uniform bound independent of  $l$ .

Step 3. For a rather divisible natural number  $l$  and any rather general effective  $l$ -canonical low moduli part of adjunction  $M$ , there exists a canonical homomorphism of generic flops:

$$\gamma = \gamma_M: G \rightarrow \text{Bir}(X_{\eta,\text{lcm}} \rightarrow \eta/k, B_{X_{\eta,\text{lcm}}}), g \mapsto g_{X_{\eta,\text{lcm}}}.$$

More precisely, the flop  $g_{X_{\eta,\text{lcm}}}$  is given fiberwise by the above diagram:

$$(X_{t,\text{lcm}}, B_{X_{t,\text{lcm}}}) \dashrightarrow (X_{g_\eta t,\text{lcm}}, B_{X_{g_\eta t,\text{lcm}}}).$$

Take such a natural number  $l$  that the upper effective  $l$ -canonical moduli part of adjunction  $lM^{\text{mod}} \in |\omega_{X_\theta}^l[lB_{X_\theta}]|$  on  $X_\theta$  is mobile and  $b$ -free, that is, the trace of a  $b$ -free divisor. The moduli part is mobile even over  $\eta$ . Then  $I^*M = M^{\text{mod}}, g^*M^{\text{mod}} = M^{\text{mod}}$  and  $g_{X_{\eta,\text{lcm}}}^*M = M$ . The divisorial part of adjunction is preserved by any generic flop of  $(X_\eta \rightarrow \eta/k, B_{X_\eta})$  and of  $(X_{\eta,\text{lcm}} \rightarrow \eta/k, B_{X_{\eta,\text{lcm}}})$ .

By Corollary 1, for rather general  $M$ ,  $(X_{\eta,\text{lcm}}, B_{X_{\eta,\text{lcm}}})$  is an lcm family, where  $X_{\eta,\text{lcm}}$  is geometrically irreducible. Since the boundary  $B_{X_{\eta,\text{lcm}}}$  depends on  $M$ , for simplicity of notation, we replace it by  $B_{X_{\eta,\text{lcm}}} + M$ , where  $B_{X_{\eta,\text{lcm}}}$  denotes only the divisorial part of adjunction. We use those notation in this proof. Step 4. Let

$$G_\diamond = \{g \in G \mid \gamma_M g \text{ is almost identical}\} \subseteq \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$$

be a subgroup of  $G$  which elements induces almost identical flops of  $(X_{\eta,\text{lcm}} \rightarrow \eta/k, B_{X_{\eta,\text{lcm}}})$  for a rather general moduli part  $M$ . The group  $G_\diamond$  is independent of  $M$  and has a finite index in  $G$ . (Another more invariant description

of  $G_\diamond$  see in the next step.) So, it is sufficient to establish the finiteness of representation

$$G_\diamond \rightarrow \text{Aut } H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]), g \mapsto g^*.$$

It is sufficient the finiteness of the quotients

$$\text{Bir}(X_{\eta, \text{lcm}} \rightarrow \eta/k, B_{X_{\eta, \text{lcm}}} + M) / \text{Bir}_\diamond(X_{\eta, \text{lcm}} \rightarrow \eta/k, B_{X_{\eta, \text{lcm}}} + M)$$

for rather general  $M$ .

Indeed, the group  $\text{Bir}_\diamond(X_{\eta, \text{lcm}} \rightarrow \eta/k, B_{X_{\eta, \text{lcm}}} + M)$  acts on  $X_{\eta, \text{lcm}}$  within connected isotrivial subfamilies of the lcm family  $(X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}} + M)$ . Such a group of automorphisms is finite up to almost identical flops. The quotient of  $\text{Bir}_\diamond(X_{\eta, \text{lcm}} \rightarrow \eta/k, B_{X_{\eta, \text{lcm}}} + M)$  modulo almost identical flops has a natural identification with a subgroup of  $\text{Aut}(Y, B_Y)$ , where  $(Y, B_Y)$  is an lcm pair canonically associated with a rather general connected isotrivial subfamily  $(X_{S, \text{lcm}}/S, B_{X_{S, \text{lcm}}} + M|_{X_{S, \text{lcm}}})$  of  $(X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}} + M)$ . For general  $S$ ,  $S$  is irreducible and the subfamily reduced and geometrically irreducible over  $S$ . Any generic flop  $g \in \text{Bir}_\diamond(X_{\eta, \text{lcm}} \rightarrow \eta/k, B_{X_{\eta, \text{lcm}}} + M)$  induces a flop

$$g|_{X_{S, \text{lcm}}} \in \text{Bir}(X_{S, \text{lcm}} \rightarrow S/k, B_{X_{S, \text{lcm}}} + M|_{X_{S, \text{lcm}}}) = \text{Bir}_\diamond(X_{S, \text{lcm}} \rightarrow S/k, B_{X_{S, \text{lcm}}} + M|_{X_{S, \text{lcm}}}).$$

By Lemma 3 the family over  $S$  is mp-trivial and by definition, there exists a natural contraction (we can suppose  $S$  to be complete)

$$\varphi: (X_{S, \text{lcm}}/S, B_{X_{S, \text{lcm}}} + M|_{X_{S, \text{lcm}}}) \rightarrow Y,$$

given by the moduli part of adjunction, that is,  $Y$  is projective with a polarization  $H$  such that  $\varphi^*H$  is an upper moduli part of adjunction. So, any generic flop  $g$  of  $(X_{S, \text{lcm}}/S, B_{X_{S, \text{lcm}}} + M|_{X_{S, \text{lcm}}})$  induces a regular automorphism of  $Y$  (linear for very ample  $H$ ). For the lcm family there exists a natural boundary  $B_Y$  on  $Y$  such that

$$\varphi|_{X_{t, \text{lcm}}} : (X_{t, \text{lcm}}, B_{X_{t, \text{lcm}}}) \rightarrow (Y, B_Y)$$

is a fliz and  $g|_{X_{S, \text{lcm}}}$  induces a flop  $g_Y$  of  $(Y, B_Y)$ . As above  $B_Y$  depend on  $M$  and can be replaced by  $B_Y + M_Y$ . The almost identical flops  $g|_{X_{S, \text{lcm}}}$  induces identical automorphism on  $(Y, B_Y)$ . A fiberwise flop

$$g|_{X_{t, \text{lcm}}} : (X_{t, \text{lcm}}, B_{X_{t, \text{lcm}}}) \rightarrow (X_{g_S t, \text{lcm}}, B_{X_{g_S t, \text{lcm}}}), t \in S,$$

of almost identical flop is canonical, that, correspond to identical on a trivialization of the family. So, to be almost identical is generic deformation property for deformation of an isotrivial family. The group  $\text{Aut}(Y, B_Y + M)$  is finite and also a generic deformation invariant. Thus the almost isotrivial flops of  $(X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}} + M)$  form a finite group up to almost identical ones. Moreover, there exists a uniform bound on the flops of isotrivial subfamilies up to almost identical flops.

On the other hand, the group of generic flops modulo almost isotrivial flops is finite, because the family  $(X_{\eta, \text{lcm}}, B_{X_{\eta, \text{lcm}}} + M)$  is lcm. The bound for the quotient can be given by the degree of  $\theta \rightarrow \mathfrak{M}$ , where  $\mathfrak{M}$  is a coarse moduli for fibers and  $T \rightarrow \theta \rightarrow \mathfrak{M}$  is a Stein decomposition of the moduli morphism.

So, each subgroup

$$\{g \in G \mid \gamma_M g \text{ is almost identical} \} \subseteq \text{Bir}(X_{\eta} \rightarrow \eta/k, B_{X_{\eta}})$$

has a finite index for every  $M$ . Actually, the group is independent of  $M$ , because the isotriviality of subfamily over  $S$  and the contraction  $\varphi$  are independent of  $M$ . Indeed, if  $M'$  is another (generic) effective moduli part  $M \sim_l M' \geq 0$ , then  $M'$  is also vertical with respect to  $\varphi$  and  $0 \leq M'_Y \sim_l M_Y$ .

Step 5. The projective representation

$$G_{\diamond} \rightarrow \text{Aut} \mathbb{P}(H^0(X_{\eta}, \omega_{X_{\eta}}^m [mB_{X_{\eta}}])), g \mapsto g^*,$$

is trivial. It sufficient to verify that, for any flop  $g \in G_{\diamond}$  and any effective divisor  $D \in \left| \omega_{X_{\eta}}^m [mB_{X_{\eta}}] \right|$ ,

$$g^* D = D.$$

If  $D$  is fixed, it is sufficient to verify the same property on a rather general mp-trivial subfamily, which is invariant for  $g$ . Take a subfamily  $(X_S/S, B_{X_S})$  over a generic isotrivial family  $(X_{S, \text{lcm}}/S, B_{X_{S, \text{lcm}}} + M|_{X_{S, \text{lcm}}})$  of Step 4. The latter family is mp-trivial and so does the former one. Moreover, the effective moduli parts are the same under the Iitaka contraction  $I$ :

$$D|_{X_S} = I^*|_{X_S} D_{\text{lcm}} = I^* \varphi^* D_Y,$$

where  $D_{\text{lcm}} \in \left| \omega_{X_{S, \text{lcm}}}^m [mB_{X_{S, \text{lcm}}}] \right|$  and  $K_Y + B_Y \sim_{\mathbb{R}} D_Y \geq 0$  is an effective divisor on  $Y$ . Hence

$$g^* D|_{X_S} = I^* g^*_{X_{S, \text{lcm}}} D_{\text{lcm}} = I^* \varphi^* g^*_Y D_Y = I^* \varphi^* D_Y = D|_{X_S},$$

because  $g_Y = \text{Id}_Y$ .

Step 6. The scalar representation

$$G_\diamond \rightarrow \text{Aut } H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]), g \mapsto g^*,$$

is finite with a uniform bound. It is scalar by Step 5. So, for every  $g \in G_\diamond$ , there exists a constant  $e \in k^*$  such that, for every  $\omega \in H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])$ ,

$$g^*\omega = e\omega.$$

Take a rather general mp-trivial family  $(X_S/S, B_{X_S})$  of Step 5. Then, for general  $\omega \in H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])$ ,  $\omega|_{X_S} \neq 0$ . For general  $t \in S$ ,  $g_S t = s \in S$ , and there exists a flop

$$g|_{X_t} : (X_t, B_{X_t}) \dashrightarrow (X_s, B_{X_s})$$

and a canonical log isomorphism with respect to restrictions

$$c : (X_t, B_{X_t}) \dashrightarrow (X_s, B_{X_s}).$$

Then  $g_t = c^{-1}g|_{X_t}$  is a flop of  $(X_t, B_t)$  and, for even  $m$  and general  $\omega \in H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])$ ,

$$g_t^*(\omega|_{X_t}) = g|_{X_t}^* c^{-1*}(\omega|_{X_t}) = g|_{X_t}^*(\omega|_{X_s}) = (g^*\omega)|_{X_t} = e\omega|_{X_t} \text{ and } \omega|_{X_t} \neq 0.$$

For any  $m$ , we can consider  $\omega^2$  and  $e^2$ . So,  $e$  is a root of unity. There are only finitely many such roots. The number of roots depends only on  $(X_t, B_{X_t})$ . Using the Iitaka contraction  $I|_{X_t}$ , one can reduce the scalar representation to a fiber of  $I|_{X_t}$ , that is, to a fixed 0-pair (cf. Step 6 in the proof of Theorem 4).  $\square$

**Theorem 5.** *Let  $(X_\eta, \mathcal{B}_{X_\eta})$  be a generic normally lc [slc] pair with a b-boundary  $\mathcal{B}_{X_\eta}$ ,  $G \subseteq \text{Bir}(X_\eta \rightarrow \eta/k, \mathcal{B}_{X_\eta})$  be a subgroup of generic flops, and  $\mathcal{D}$  be a b-divisor of  $X_\eta$  in a decomposition*

$$r(\mathcal{K}_{X_\eta} + \mathcal{B}_{X_\eta}) \equiv \mathcal{F} + \mathcal{D},$$

where

- (1)  $r$  is nonnegative real number,
- (2)  $\mathcal{F}$  is an effective  $b$ -divisor, invariant for  $G$ , and
- (3)  $\mathcal{D}$  is an effective  $b$ -divisor, invariant up to linear equivalence for  $G$ .

Then, for any natural number  $m$ , the projective (sub)representation of generic log flops

$$G \rightarrow \text{Aut } \mathbb{P}(H^0(X_\eta, m\mathcal{D})), g \mapsto g^*,$$

is finite. Moreover, the order of representation has a uniform bound, independent of  $m, r, \mathcal{F}, \mathcal{D}, G$ .

*Proof.* Step 1. We can suppose that  $X_\eta$  is normal, irreducible and geometrically irreducible. Take a normalization  $(X_\eta^n, B_{X_\eta^n})$  and its irreducible decomposition  $(X_\eta^n, B_{X_\eta^n}) = \coprod (X_i, B_{X_i})$ . Then by definition the normal pair  $(X_\eta^n, B_{X_\eta^n})$  is lc and the decomposition of log canonical divisor is componentwise:  $r(\mathcal{K}_{X_i} + \mathcal{B}_{X_i}) \equiv \mathcal{F}_i + \mathcal{D}_i$ . But generic flops permute components, that is, a canonical homomorphism

$$G \subseteq \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \text{Aut}\{X_i\}, g \mapsto (X_i \mapsto g(X_i)),$$

is defined. On the other hand, the representation

$$G \rightarrow \text{Aut } \mathbb{P}(H^0(X_\eta, m\mathcal{D})) = \prod \text{Aut } \mathbb{P}(H^0(X_i, m\mathcal{D}_i))$$

agrees with permutations. The group of permutations is finite and the representation of kernel is in a product of restricted representations

$$\ker[G \rightarrow \text{Aut}\{X_i\}] \subseteq \prod G_i \rightarrow \prod \text{Aut } \mathbb{P}(H^0(X_i, m\mathcal{D}_i)),$$

where  $G_i = (\ker[G \rightarrow \text{Aut}\{X_i\}])|_{X_i} \subseteq \text{Bir}(X_i \rightarrow \eta/k, B_{X_i})$ . Thus it is sufficient to verify the finiteness of each factor  $G_i \rightarrow \text{Aut } \mathbb{P}(H^0(X_i, m\mathcal{D}_i))$ . This means that we can assume that  $X_\eta$  is normal and irreducible. A finite base change (Stein decomposition) allows to assume geometrical irreducibility of  $X_\eta$ . This change can increase the group of generic flops and its subgroup  $G$ , but preserves decomposition and sections. Indeed,  $K_\eta = K_\theta$  for any decomposition  $X_\eta \rightarrow \theta \rightarrow \eta$ , where  $\theta \rightarrow \eta$  is finite. Thus we can take the same decomposition  $r(\mathcal{K}_{X_\theta} + \mathcal{B}_{X_\theta}) \equiv \mathcal{F} + \mathcal{D}$ . Actually, we can replace  $G$  by a larger subgroup: the generic flops  $g$ , which preserve  $\mathcal{F}$  and preserve up to



linear equivalence  $\mathcal{D}$ . Anyway, this subgroup includes the flops from  $G$  by (2-3).

Step 2. Since the divisor  $\mathcal{F} + \mathcal{D}$  is invariant up to linear equivalence, we suppose also that  $\mathcal{F} = 0$ . By Lemma 7, (1), the representation on the subspace

$$\mathbb{P}(H(X_\eta, m\mathcal{D})) \subseteq \mathbb{P}(H^0(X_\eta, m(\mathcal{F} + \mathcal{D})), D \mapsto D + \mathcal{F},$$

is invariant and finite, if the representation is finite on the ambient space. Indeed, the lemma applies, if  $H^0(X, m\mathcal{D}) \neq 0$ . Otherwise, the representation on  $H^0(X, m\mathcal{D})$  is empty.

Step 3. We can suppose that  $(X_\eta, B_{X_\eta})$  is wlc. Indeed, by our assumptions, it is an initial model. Hence we can apply the LMMP. If the resulting model  $(X_\eta/\theta, B_{X_\eta})$  is a Mori fibration, then b-divisors  $\mathcal{K}_{X_\eta} + \mathcal{B}_{X_\eta}$  and  $\mathcal{D}$  are negative with respect to the fibration and, for  $r > 0$ ,  $H^0(X_\eta, m\mathcal{D}) = 0$  and the representation is empty. Otherwise, in the Mori case,  $r = 0$  by (1) and  $\mathcal{D} \equiv 0$ . So, the representation is empty or trivial, respectively, for  $H^0(X_\eta, m\mathcal{D}) = 0$  or  $= k$ .

Therefore, the nontrivial cases are possible only for a wlc resulting model. Note that the sections, the numerical equivalence and representation will be preserved under the LMMP modifications. (Even the subgroup  $G$  of generic flops under (2-3) can be increased.)

Step 4. Finally, we derive the required finiteness from Corollary 5 and Lemma 8. By Corollary 5, the (sub)representation of  $G \subseteq \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$  on  $H^0(X_\eta, \omega_{X_\eta}^l[lB_{X_\eta}])$  is finite for any natural number  $l$ . The projective representations of  $G \subseteq \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$  on  $\mathbb{P}(H^0(X_\eta, \omega_{X_\eta}^l[lB_{X_\eta}]))$  and on  $\mathbb{P}(H^0(X, l(\mathcal{K}_{X_\eta} + \mathcal{B}_{X_\eta})))$  are canonically isomorphic and finite. Thus by Lemma 8 the representation on  $H^0(X, m\mathcal{D})$  is finite too. A uniform bound can be found by Corollary 5.  $\square$

**Corollary 6.** *Let  $(X_\eta, B_{X_\eta})$  be a generic slc pair with a boundary  $B_{X_\eta}$ . Then, for any natural number  $m$ , the canonical representation of generic log flops on differentials*

$$\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \text{Aut } H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]), g \mapsto g^*,$$

*is finite. Moreover, the order of representation has a uniform bound, independent of  $m$ .*

Actually, the slc property of the statement can be replaced by many similar ones, e.g., normally lc, seminormal lc, etc. Then a proof should only explain what is a meaning of differentials or of  $H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])$  and of flops. If this is natural, then a proof goes as below in the slc case. For instance, generic flops should preserve such differentials for every  $m$ .

*Proof.* This proof uses the reduction to geometrically wlc pairs, which can be done as in Theorem 5. After that for the linear representation we can apply Corollary 5.

Take a normalization  $(X_\eta^n, B_{X_\eta^n})$  and its irreducible decomposition  $(X_\eta^n, B_{X_\eta^n}) = \coprod (X_i, B_{X_i})$ . Then by definition there exists a natural imbedding

$$H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}]) \hookrightarrow H^0(X_\eta, \omega_{X_\eta}^m[m\mathcal{B}_{X_\eta}]) = H^0(X_\eta^n, \omega_{X_\eta^n}^m[mB_{X_\eta^n}]) = \prod H^0(X_i, \omega_{X_i}^m[mB_{X_i}]),$$

where the differentials for the b-boundary  $\mathcal{B}_{X_\eta}$  are defined on the normalization. This an imbedding of linear representation too. Thus by Theorem 5 the projective representation

$$\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \text{Aut } \mathbb{P}(H^0(X_\eta, \omega_{X_\eta}^m[mB_{X_\eta}])), g \mapsto g^*,$$

is uniformly finite.

Actually, the big linear representation on the product is also uniformly finite. It is sufficient to verify for an irreducible component and wlc by the MMMP. The required finiteness in this case follows from Corollary 5.  $\square$

**Corollary 7.** *Let  $(X_\eta, B_{X_\eta})$  be a generic slc pair with a boundary  $B_{X_\eta}$ , and  $\mathcal{M}$  be an upper maximal (canonical) moduli part of adjunction. Then, for any natural number  $m$ , the projective representation of generic log flops*

$$\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \text{Aut } \mathbb{P}(H^0(X_\eta, m\mathcal{M})), g \mapsto g^*,$$

*is finite. Moreover, the order of representation has a uniform bound, independent of  $m, \mathcal{M}$ .*

*Proof.* Immediate by Theorem 5. By definition a b-divisor  $\mathcal{M}$  is a mobile part of a mobile decomposition:

$$\mathcal{K}_{X_\eta} + \mathcal{B}_{X_\eta} \sim \mathcal{F} + \mathcal{M}.$$

Then we use the invariance of  $\mathcal{F}$  and invariance up to linear equivalence of  $\mathcal{K}_{X_\eta} + \mathcal{B}_{X_\eta}$  and  $\mathcal{M}$  with respect to generic flops.  $\square$

**Corollary 8.** *Let  $(X_\eta, B_{X_\eta})$  be a generic klt pair with a boundary  $B_{X_\eta}$ ,  $G \subseteq \text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$  be a subgroup of generic flops, and  $\mathcal{D}$  be a b-divisor of  $X_\eta$  as in Theorem 5. In addition, we assume either  $\mathcal{D}$  is  $G$ -invariant, or it is a  $G$ -invariant b-divisorial sheaf. Then, for any natural number  $m$ , the linear representation of generic log flops*

$$G \rightarrow \text{Aut } H^0(X_\eta, m\mathcal{D}), g \mapsto g^*,$$

*is finite. Moreover, the order of representation has a uniform bound, independent of  $m, G$ .*

The bound on order can depend on  $r, \mathcal{F}, \mathcal{D}$ .

*Proof.* Immediate by Theorem 5 and the finiteness of scalar representations in the klt case.

We can suppose that  $H^0(X_\eta, m\mathcal{D})$  is not empty for some  $m \geq 1$ . Otherwise all representations are empty. Taking such a minimal natural  $m$  and replacing  $\mathcal{D}$  by  $m\mathcal{D}$  (respectively,  $r$  by  $mr$  etc), we suppose that  $H^0(X_\eta, \mathcal{D}) \neq 0$ . So, there exists a nonzero rational function  $F \in k(X_\eta)$  such that  $F \in H^0(X_\eta, \mathcal{D})$ .

By Theorem 5 the subgroup

$$G_\diamond = \{g \in G \mid \text{for all } m, g^* \text{ is identical on } \mathbb{P}(H^0(X_\eta, m\mathcal{D}))\} \subseteq G$$

of the scalar representation has a finite index, uniformly bounded with respect to  $m$ . So,  $g^*F = c_g F, c_g \in k^*$ , for all  $g \in G_\diamond$  and it is sufficient to establish the finiteness for the scalar representation. The scalar representation

$$G_\diamond \mapsto k^*, g \mapsto g^* = c_g,$$

depends on  $F$  and is finite, that is,  $c_g$  belongs to a finite set of roots of unity. This implies the required finiteness of linear representation uniformly for all  $m$ .

The finiteness of the scalar representation of  $G_\diamond$  on  $F\mathcal{O}_X = \mathcal{O}((F))$  follows from the klt property of  $(X_\eta, B_{X_\eta})$ . The question can be reduced to situation with the scalar representation for a klt 0-pair  $(X, B)$ . Moreover, this follows from the finiteness of the linear canonical representations of  $(X, B + \varepsilon \text{Supp}(F))$ , where  $0 < \varepsilon \ll 1$  is a small positive (rational) real number.

The similar approach works for the b-divisorial sheaves  $\mathcal{O}_X(\mathcal{D})$ .  $\square$

**Corollary 9.** *Let  $(X_\eta, B_{X_\eta})$  be a generic wlc pair. Then there are only finitely many generic log flops of  $(X_\eta \rightarrow \eta/k, B_{X_\eta})$  up to mp-autoflops, with respect to a maximal moduli part of adjunction, that is, the group*

$$\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) / \mathrm{Bir}_{\mathrm{mp}}(X_\eta \rightarrow \eta/k, B_{X_\eta})$$

*is finite.*

*Proof.* Step 1. After a finite base change (extension) we can suppose that  $X_\eta$  is geometrically irreducible. For a base change, the group of generic flops increases, but the group of mp-autoflops decreases.

Step 2. After an appropriate perturbation we can suppose that  $B_{X_\eta}$  is a  $\mathbb{Q}$ -divisor and  $\mathcal{M}$  is a  $\mathbb{Q}$ -divisor too. By definition the b-divisor  $\mathcal{M}$  is a moduli part of adjunction for a maximal model. It exists. The moduli part of adjunction is invariant of generic flops:  $g^* \mathcal{M} \sim_m \mathcal{M}$  for any rather divisible natural number  $m$ .

Now, for such a number  $m$ , take the canonical semirepresentation of generic log flops

$$\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \mathrm{Aut} H^0(X_\eta, m\mathcal{M}), g \mapsto g^*.$$

A posteriori we can convert it into a noncanonical representation.

Step 3. The kernel of representation is  $\mathrm{Bir}_{\mathrm{mp}}(X_\eta \rightarrow \eta/k, B_{X_\eta})$  for any rather divisible natural number  $m$ . Indeed, consider the morphism

$$\varphi: X_\eta \rightarrow \mathbb{P}(H^0(X_\eta, m\mathcal{M})^v)$$

given by the linear system  $|m\mathcal{M}|$ . The above representation gives a canonical representation on the projectivisation:

$$\mathrm{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta}) \rightarrow \mathrm{Aut} \mathbb{P}(H^0(X_\eta, m\mathcal{M})^v), g \mapsto g^*.$$

The rational morphism  $\varphi$  is equivariant with respect to the action of generic flops, and, for any rather divisible  $m$ , is actually a morphism and a contraction. The kernel of representation can be determined on (finitely many) rather general fibers  $\varphi^{-1}x \in \varphi(X_\eta)$ . Those fibers are irreducible and the kernel acts within them. On the other hand,  $\mathcal{M}|_{\varphi^{-1}x} \sim_m 0$  and by adjunction the restriction is also a maximal moduli part of adjunction on the subfamily for  $\varphi^{-1}x$ . Thus the kernel consists of mp-autoflops. The converse holds as well.

So, for such a natural number  $m$ , the image of projective representation is isomorphic to the quotient group in the statement.

Finally, the image is finite by Corollary 7.  $\square$

## 9 Bounding flops

**Conjecture 1** (Kawamata [ISh, Conjecture 3.16]). The number of projective klt wlc models in a given log birational class is always finite up to log isomorphisms.

*Example 9* (Pjateckii-Shapiro and Shafarevich). Let  $X$  be a nonsingular K3 surface. Conjecture 1 holds for  $X$ . That is,  $X$  has finitely many wlc klt models  $Y$  up to isomorphism. Actually, each model  $Y$  is a 0-pair with  $B = 0$  and only Du Val singularities. The polarized lattices  $\Lambda^+Y \subset \Lambda(Y)$  of models  $Y$  have finitely many types. This implies that the models have bounded polarization.

The same holds for (genus 1) fibrations  $Y \rightarrow T$ . There are only finitely many fibrations up to isomorphism, where  $Y$  is a wlc klt model of  $X$ .

In terms of  $\text{Aut}(X)$  these facts means that there are finitely many orbits of exceptional curves (not necessarily irreducible) and finitely many orbits of fibrations. All these follows the Torelli theorem for K3 surfaces [PShSh].

So, the group of automorphisms  $\text{Aut}(X)$  is infinite if  $X$  has infinitely many exceptional curves or/and fibrations. The converse does not hold in general.

Let  $(X, B)$  be a pair with a boundary  $B$ . Denote by  $\text{Models}(X, B)$  the category of projective klt wlc models  $(Y, B_Y^{\log})$  of  $(X, B)$  with their log flops  $(Y, B_Y^{\log}) \dashrightarrow (Y', B_{Y'}^{\log})$  as morphisms which are considered up to log isomorphisms. For example, if  $A$  is an Abelian variety and  $B = 0$  then  $\text{Models}(A, 0)$  is equivalent to a trivial one, a category with a single object  $A$  and with only the identical morphism.

The category  $\text{Models}(X, B)$  is well-defined. If an initial model  $(X, B)$  is fixed, then there exists a *canonical* strict subcategory with pairs  $(X', B')$  having  $k(X') = k(X)$ .

Note that the concept of a strict flop is not categorical and an association of a strict flop is not functorial. Indeed, each  $\text{Models}(X, B)$  is equivalent to its skeleton, a small category with one representative for every pair up to isomorphisms. Sometimes the last category is useful. For instance, a category is of *finite type* if it is finitely generated up to equivalence. Equivalently, the skeleton is finitely generated.

**Definition 6** (Bounded flops). Let  $d$  be a natural number. A log flop of projective klt wlc models  $(X_1, B_{X_1}) \dashrightarrow (X_2, B_{X_2})$  is *bounded with respect to*

$d$ , if there are very ample divisors  $D_1, D_2$  on  $X_1, X_2$  respectively of degree  $\leq d$  and of mutual degrees  $\leq d$ . A *set* (or *class*) of log flops is *bounded*, if there exists a natural number  $d$  with respect to which the flops are bounded. A *category of log flops* is of *bounded type*, if the category has a bounded *set* (or *class*) of generators.

A *model*  $(X, B)$  is bounded with respect to  $d$ , if the identical flop  $(X, B) \rightarrow (X, B), x \mapsto x$ , so does. A *set* (or *class*) of *pairs*  $(X, B)$  is bounded, if there exists a natural number  $d$  with respect to which the pairs are bounded.

We denote by  $\text{Models}^b(X, B) \subseteq \text{Models}(X, B)$  a subcategory of bounded type of log flops up to log isomorphisms. For example, for any pair  $(Y, B_Y^{\log})$  in  $\text{Models}(X, B)$ , the subcategory of log isomorphisms  $(Y_1, B_{Y_1}) \rightarrow (Y_2, B_{Y_2})$ , where  $(Y_1, B_{Y_1}), (Y_2, B_{Y_2})$  are log isomorphic to  $(Y, B_Y^{\log})$ , is of bounded type.

According to Corollary 10 below Conjecture 1 is equivalent to each of the following one.

**Conjecture 2.** The category  $\text{Lattices}(X, B)$  is of finite type.

**Conjecture 3.** The models of  $\text{Models}(X, B)$  are bounded.

**Conjecture 4.** The category  $\text{Models}(X, B)$  is of bounded type.

Note that, in general,  $(X, B)$  may not have a projective klt wlc model at all. Then the conjectures are empty. However, if  $(X, B)$  has a projective klt wlc model then any other resulting projective model  $(Y, B_Y^{\log})$  is also klt wlc.

**Theorem 6.** *Any category of bounded type  $\text{Models}^b(X, B)$  is of finite type.*

**Corollary 10.** *Conjectures 1, 2, 3 and 4 are equivalent.*

*Proof.* Conjecture 1 implies Conjecture 2. Consider a subcategory of bounded type  $\text{Models}^b \subseteq \text{Models}(X, B)$  with finitely many objects  $(Y, B_Y^{\log})$  such that each wlc model of  $(X, B)$  is isomorphic to one of in the subcategory. Thus the subcategory is equivalent to the whole one. Actually, the subcategory is of finite type. The generators are projective  $\mathbb{Q}$ -factorializations, elementary contractions and flops. There are only finitely many such transformations. Up to log isomorphisms, they belong to  $\text{Models}^b$  and every flop of  $\text{Models}^b$  can be factorize into them [ShC]. Hence the image of the lattice functor

$$\text{Models}(X, B) \rightarrow \text{Lattices}(X, B), (Y, B_Y^{\log}) \mapsto \Lambda(Y),$$

is of finite type too, Conjecture 2. Indeed, the image is equivalent to the image of  $\text{Models}^b$ .

Conjecture 2 implies Conjecture 3. The former implies that there are finitely many types of polarized lattices  $\Lambda^+ \subset \Lambda$  for models  $(Y, B_Y^{\log})$  of  $\text{Models}(X, B)$ . For every polarization type, take a polarization  $H \in \Lambda^+$ . So, each model in  $\text{Models}(X, B)$  has a bounded polarization by the effective ampleness: there exists a natural number  $N$  such that  $NH$  is very ample for every  $(Y, B_Y^{\log})$  of type  $H \in \Lambda^+ \subset \Lambda$ . Hence each model of  $\text{Models}(X, B)$  is bounded, Conjecture 3.

Conjecture 3 implies Conjecture 4. The former implies that the objects are bounded, that is, each model  $(Y, B_Y^{\log})$  has a bounded polarization  $H_Y$ . Equivalently, the models belong to pairs of finitely many families of triples. By [ShC] projective  $\mathbb{Q}$ -factorializations, elementary contractions and flops are generators of the generalized log flops. Those generators are bounded by Noetherian induction for above families. Indeed, a relative  $\mathbb{Q}$ -factorialization can be done for klt families generically. So, the  $\mathbb{Q}$ -factorializations are bounded. Each elementary contraction  $(Y_1, B_{Y_1}^{\log}) \rightarrow (Y_2, B_{Y_2}^{\log})$  can be treated as a crepant elementary blowup of an exceptional divisor  $E \subset Y_1$  with  $b_E = \text{mult}_E B$ . There are only finitely many such exceptional b-divisors for  $Y_2$ . Again, by Noetherian induction, the blowups form finitely many projective families and are bounded. Each elementary flop  $(Y_1, B_{Y_1}^{\log}) \dashrightarrow (Y_2, B_{Y_2}^{\log})$  can be factorize into an elementary flopping contraction  $(Y_1, B_{Y_1}^{\log}) \rightarrow (Y, B_Y^{\log})$  and a small blowup  $(Y, B_Y^{\log}) \leftarrow (Y_2, B_{Y_2}^{\log})$ . Both are projective  $\mathbb{Q}$ -factorializations with two possible polarizations. So, their composition is also bounded.

Conjecture 4 implies Conjecture 1. By the former conjecture we can take  $\text{Models}^b(X, B) = \text{Models}(X, B)$ . Then by Theorem 6 the category  $\text{Models}(X, B)$  is of finite type. In particular,  $\text{Models}(X, B)$  is equivalent to a category with finitely many objects, Conjecture 1.  $\square$

*Proof of Theorem 6.* Consider a bounded category  $\text{Models}^b = \text{Models}^b(X, B)$  of klt wlc models  $(Y, B_Y^{\log})$  of a pair  $(X, B)$ . Since the category is bounded, there exists a bounded coarse muduli  $\mathfrak{M}$  of triples  $(X, B, H)$ , where now  $(X, B)$  denotes a klt wlc model with a polarization  $H$ , such that the bounded models of  $\text{Models}^b$  belong to  $\mathfrak{M}$ .

Step 1. We can suppose that the models of  $\text{Models}^b$  are Zariski dense in  $\mathfrak{M}$ . This means that triples  $(X, B, H)$  with a pair  $(X, B)$  in  $\text{Models}^b$  form a dense subset in  $\mathfrak{M}$ . Otherwise, we replace  $\mathfrak{M}$  by a Zariski closure of

those triples. A polarization  $H$  is considered here as an invertible sheaf up to algebraic equivalence, that is,  $H \in \text{NS } X = \text{Pic } X / \approx = \text{Pic } X / \text{Pic}_0 X$ . The corresponding b-sheaf modulo  $\approx$  will be denoted by  $\mathcal{H} \in \text{b-NS } X$ .

We assume also that the moduli is irreducible because it is sufficient to establish the theorem for pairs of each irreducible component. So, there is a bounded reduced irreducible family  $(X/T, B, H)$  of  $\mathfrak{M}$  such that it contains up to a log isomorphism a dense subset of pairs of Models<sup>b</sup>. That is, for such a pair  $(X_t, B_{X_t})$  there exists a polarization  $H_t$  on  $X_t$  such that  $(X_t, B_{X_t}, H_t)$  belongs to  $(X/T, B, H)$ .

Step 2. There is such a family  $(X/T, B, H)$  with a finite set of b-polarizations  $\mathcal{D}_i \subset X$  over  $T$  such that each flop of Models<sup>b</sup> can be given by some of those divisors. This means that, if  $t, s \in T$  and  $g_t: (X_t, B_{X_t}) \dashrightarrow (X_s, B_{X_s})$  is flops of Models<sup>b</sup>, then, for some b-divisor  $\mathcal{D}_i$ , the flop is given (as directed) for  $\mathcal{D}_{t,i}$ . More precisely, we suppose that  $g(\mathcal{D}_{t,i}) = \mathcal{H}_s$ . By the boundedness of flops, the restriction  $\mathcal{D}_{t,i}$  is bounded with respect to  $H_t$ . Each  $\mathcal{D}_i$  is defined over a locally closed algebraic subvariety  $T_i \subseteq T$ . By the irreducibility of  $T$  and the dense property of Step 1, at least one  $T_i$  is dense:  $\overline{T_i} = T$ , equivalently,  $\mathcal{D}_i$  is dominant over  $T$ . By Noetherian induction, it is sufficient to verify the finiteness of Models<sup>b</sup> for flops given by the dominant  $\mathcal{D}_i$ . Since the set of b-divisors  $\mathcal{D}_i$  is finite, we can suppose that each  $\mathcal{D}_i$  is flat over  $T$  and surjective to  $\mathfrak{M}$ . By construction each  $\mathcal{D}_i$  is a b-polarization over  $T$ , that is, it is big and semiample over  $T$ .

Each b-polarization  $\mathcal{D} \in \text{b-NS } X/T$  gives a canonical log flop  $c = c_{\mathcal{D}}: (X/T, B, H) \dashrightarrow (X'/T, B_{X'}, H')$  with  $\mathcal{H}' = c_*\mathcal{D}$ , equivalently,  $\mathcal{D} = \overline{H'}$  as b-divisors. In general, the second family does not belong to  $\mathfrak{M}$ . Moreover, that can happen with flops for  $\mathcal{D}_i$ . However, there exists the image of  $(X'/T, B_{X'}, H')$  in  $\mathfrak{M}$ : the image of subfamily over

$$T' = \{t \in T \mid (X'_t, B_{X'_t}, H'_t) \in \mathfrak{M}\} \subseteq T.$$

Again by the dense property we can suppose that  $T_i = T'$  for some  $\mathcal{D} = \mathcal{D}_i$  is dense in  $T$ . If  $\mathcal{D}$  is flat over  $T$  then the dense property implies the equality:  $T' = T$ . By Noetherian induction we can suppose that, for each  $\mathcal{D}_i$ ,  $T_i$  is dense in  $T$  and, actually, each  $T_i = T$ . Thus each  $(X_i/T, B_{X_i}, H_i) = (X'/T, B_{X'}, H')$  belongs to  $\mathfrak{M}$ , that is,  $(X_i, B_{X_i}, H_i) \in \mathfrak{M}$ . In other words, each  $\mathcal{D}_i$  gives a (surjective) flop over  $\mathfrak{M}$ . In general, we say that  $\mathcal{D}$  is *flopping* over  $\mathfrak{M}$  if  $(X', B_{X'}, H') \in \mathfrak{M}$ . This property is compatible with algebraic equivalence over  $T$ . For  $g$  given by  $\mathcal{D}$ , the induced map of b-sheaves transforms the polarization  $\mathcal{H}'$  into the b-polarization  $\mathcal{D} = c^*\mathcal{H}'$  over  $T$ . The same



holds for generic  $\mathcal{D} \in \text{b-NS } X_\eta$ . We suppose that all  $\mathcal{D}_i \in \Lambda$  and  $\Lambda$  is also invariant under every  $g^*$ , it is automatically under  $c^*$ . The latter means that  $c$  determines a unique lattice  $\mathcal{H}' \in \Lambda' = c_*\Lambda$ . On each rather general special fiber  $X_t$ , there exists a natural lattice structure

$$\Lambda \hookrightarrow \text{b-NS } X_t, \mathcal{D} \mapsto \mathcal{D}_t = \mathcal{D}|_{X_t}$$

with the image  $\Lambda = \Lambda_t \subseteq \text{b-NS } X_t$ . (This is actually injection for connected families.) By construction  $\mathcal{H}_t \in \Lambda_t$  and  $(X/T, B, H)$  is a family of triples  $(X_t, B_t, H_t \in \Lambda_t)$ . The flop  $c$  in  $\mathcal{D} \in \Lambda$  is a flop of such families that can be extended by  $g|_{X'}$  into families of the moduli of triples with a lattice structure.

Under canonical isomorphism of lattices:  $c^*\mathcal{H}' = \mathcal{D}$ .

Step 3. We can convert a flop  $c: (X/T, B, H) \dashrightarrow (X'/T, B_{X'}, H')$  into an autoflop over  $T$ , if there exists an isomorphism  $g|_{X'}: (X'/T, B_{X'}, H') \rightarrow (X/T, B, H)$ . Then the composition gives a flop  $g = g|_{X'}c: (X/T, B, H) \dashrightarrow (X/T, B, H)$ . This flop is fiberwise in the following sense: there exists an isomorphism  $g_T: T \rightarrow T$  such that, for each  $t \in T$ ,  $g(X_t) = X_{g_T t}$  and  $g$  induces the log flop

$$g|_{X_t}: (X_t, B_{X_t}) \dashrightarrow (X_{g_T t}, B_{X_{g_T t}}).$$

Typically this (existence) does not hold even for a universal family  $(X/T, B, H)$  of fine moduli. If  $c$  preserves a universal family then we have an isomorphism  $g|_{X'}$ , and a generic flop  $g = g|_{X'}c$ .

This can be done for a fine moduli with lattice:  $(X_t, B_t, H_t \in \Lambda_t)$ . Indeed, if  $(X_t, B_t, H_t \in \Lambda_t) = (X_s, B_s, H_s \in \Lambda_s)$  is an isomorphism (unique and canonical for fine moduli). Then the canonical identification  $\Lambda_t = \Lambda = \Lambda_s$  is given, that is, the log isomorphism  $h_{t,s}: (X_t, B_t, H_t \in \Lambda_t) = (X_s, B_s, H_s \in \Lambda_s)$  transforms each sheaf  $\mathcal{D}_s \in \Lambda_s$  into sheaf  $h_{t,s}^*\mathcal{D}_s = \mathcal{D}_t$  (modulo algebraic equivalence), in particular, the polarization  $\mathcal{H}_t = h_{t,s}^*\mathcal{H}_s$ . Thus isomorphic triples go under  $\mathcal{D}$ -flop into isomorphic triples and the same for  $g^{-1}$  given by  $g_*\mathcal{H}$ . Note also that such a flop changes the polarization:  $\mathcal{H}' = \mathcal{D}$  (usually  $\neq \mathcal{H}$ ) and  $H' = \mathcal{H}'_{X'}$  is the polarization of  $(X'/T, B_{X'}, H' \in \Lambda')$ ,  $c^*\Lambda' = \Lambda$ . Thus a universal family will be preserved for triples with the lattice structure.

Step 4. Any moduli of lattice triples  $(X, B, H \in \Lambda)$  can be converted into fine moduli adding an extra rigidity structure  $R$ . It is sufficient that  $\text{Aut}(X, B, H \in \Lambda) = \{\text{Id}_X\}$ . The group  $\text{Aut}(X, B, H \in \Lambda)$  is tame by

Theorem 1. E.g., if  $R \in X$  will be a rather general  $l$ -tuple of points in  $X$ . Then  $\text{Aut}(X, B, H \in \Lambda, R) = \{\text{Id}_X\}$  and generically the moduli  $\mathfrak{M}$  of such quadruples are fine. The family  $(X/T, B, H \in \Lambda)$  of Step 3 can be converted into a family of quadruples  $(X/T, B, H \in \Lambda, R)$  which is dominant on  $\mathfrak{M}$ . This can be done by an appropriate base change and taking an open subfamily after that. E.g., for the moduli with a  $l$ -tuple  $R$ , take a base change under the fiber power  $f^l: X_T^l \rightarrow T$  over  $T$  and then an open subset in  $X_T^l$  corresponding to  $l$ -tuples  $R \in X_T^l$  with  $\text{Aut}(X_t, B_{X_t}, H_t \in \Lambda_t, R) = \{\text{Id}_{X_t}\}, t = f^l(R)$ .

Now we can suppose that each flop given by  $\mathcal{D}_i$  and all other flopping divisors  $\mathcal{D}$  can be extended to a generic flop of  $(X_\eta \rightarrow \eta/k, B_{X_\eta})$ . In general, the group of generic flops can be infinite.

Step 5. The required finiteness of Models<sup>b</sup> follows from the finiteness of the quotient group of Corollary 2 and thus by it. Indeed, the objects of Models<sup>b</sup> are given by isomorphism classes of pairs  $(X_t, B_{X_t})$  in the orbit of sufficiently general fiber  $(X_t, B_{X_t}, H_{X_t})$  under the action of  $\text{Bir}(X_\eta \rightarrow \eta/k, B_{X_\eta})$ . (A chain of bounded flops.) Such a fiber exists by the dense property of Step 1 and, if Models<sup>b</sup> is infinite up to isomorphism, then the orbit is well-defined for most (a dense subset) of such objects. (Actually it is possible to make for all points using klt limits of 0-pairs.)

By definition of  $\text{Bir}_\diamond(X_\eta \rightarrow \eta/k, B_{X_\eta})$  the orbit for this subgroup of almost autoflops is isotrivial: a single pair  $(X_t, B_{X_t})$  up to isomorphism for rather general  $t$ . Thus by the corollary the set of pairs in the orbit up to log isomorphism for the whole group is finite too.

Finally, a bounded set of flops of a finite set of pairs is finite up to log isomorphisms.  $\square$

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