

# THE HALF-SPACE PROPERTY AND ENTIRE POSITIVE MINIMAL GRAPHS IN $M \times \mathbb{R}$ .

HAROLD ROSENBERG, FELIX SCHULZE, AND JOEL SPRUCK

ABSTRACT. We show that a properly immersed minimal hypersurface in  $M \times \mathbb{R}_+$  equals some  $M \times \{c\}$  when  $M$  is a complete, recurrent  $n$ -dimensional Riemannian manifold with bounded curvature. If on the other hand,  $M$  has nonnegative Ricci curvature with curvature bounded below, the same result holds for any positive entire minimal graph over  $M$ .

## 1. INTRODUCTION

A problem that has received considerable attention is to give conditions which force two minimal submanifolds  $S_1, S_2$  of a Riemannian manifold  $N$  to intersect. If they do not intersect, does this determine the geometry of  $S_1, S_2$  in  $N$ ?

Perhaps the simplest example of this situation is when  $N$  is a strictly convex ovaloid (i.e an  $\mathbb{S}^2$  with a metric of positive curvature) and  $S_1, S_2$  are complete embedded geodesics of  $N$ . There is a three dimensional version of this simple example. Let  $N$  be a compact 3-dimensional manifold with positive sectional curvatures. Then if  $S_1, S_2$  are finite topology complete minimal surfaces embedded in  $N$ , they must intersect. This follows from the minimal lamination closure theorem [14]. There is also the classical theorem of Frankel [6] which states that if  $N$  be a closed  $n$  dimensional manifold with positive Ricci curvature and  $S_1, S_2$  are compact minimal  $(n - 1)$  dimensional submanifolds immersed in  $N$ , then they intersect. For some other results on this problem, see [3], [4], [13], [5], [9].

In this paper we consider this question when  $N = M \times \mathbb{R}$  where  $M$  is a complete  $n$  dimensional Riemannian manifold,  $S_1 = M \times \{0\}$  and  $S_2$  is a properly immersed minimal hypersurface in  $M \times \mathbb{R}_+$ . Our problem then becomes to determine what conditions on  $M$  imply that  $S = S_2$  is the totally geodesic slice  $M \times \{c\}$  for some positive  $c$ ?

Perhaps the first result in this direction was the celebrated theorem of Bombieri, De Giorgi and Miranda [1] who proved that an entire minimal positive graph over  $\mathbb{R}^n$  is a totally geodesic slice. The hyperbolic plane  $\mathbb{H}^2$  does not have this property; there are entire bounded minimal graphs that are not slices.

For a proper immersed minimal surface  $S$  in  $\mathbb{R}^3 = \mathbb{R}^2 \times \mathbb{R}_+$ , the foundational result was discovered by Hoffman and Meeks [10] who proved that  $S = \mathbb{R}^2 \times \{c\}$ ,  $c \geq 0$ . They called this the *half-space theorem*.

---

Research of the third author supported in part by the NSF and Simons Foundation.

**Definition 1.1.** We will say that  $M$  has the *half-space property* if a minimal hypersurface  $S$  properly immersed in  $M \times \mathbb{R}_+$ , equals a slice  $M \times \{c\}$ . Since there are rotationally invariant minimal hypersurfaces in  $\mathbb{R}^{n+1}$ ,  $n > 2$ , that are bounded above and below (catenoids),  $M = \mathbb{R}^n$ ,  $n > 2$  does not have the half space property but entire minimal positive graphs over  $\mathbb{R}^n$  are slices.

Hence it is interesting to find conditions on  $M$  which ensure that  $M$  has the half space property or the property that positive entire minimal graphs over  $M$  are slices. Our contributions to these questions are the following two theorems.

**Theorem 1.2.** *Let  $M^n$  be a complete recurrent Riemannian manifold with bounded sectional curvatures  $|K_\pi| \leq K_0$  for some constant  $K_0$ . Then  $M$  has the half space property.*

**Theorem 1.3.** *Let  $M^n$  be a complete Riemannian manifold with nonnegative Ricci curvature and sectional curvatures  $K_\pi \geq -K_0$  for a nonnegative constant  $K_0$ . Let  $S$  be an entire minimal graph in  $M \times \mathbb{R}$  with height function  $u \geq 0$ . Then  $S = M \times \{c\}$  for some constant  $c \geq 0$ .*

In the same spirit, an interesting question is to study those complete embedded minimal hypersurfaces in  $M \times \mathbb{R}$ , whose angle function  $\langle N, \frac{\partial}{\partial t} \rangle$  does not change sign; see [5].

**Definition 1.4.**  $M$  in Theorem 1.2 is recurrent means that for any nonempty bounded open set  $U$ , every bounded harmonic function on  $M \setminus U$  is determined by its boundary values. Furthermore, if  $M \setminus U$  is quasi-isometric to  $N \setminus V$ , then  $M$  is recurrent if and only if  $N$  is recurrent. For a detailed discussion see [8, 12].

**Example 1.5.** Some interesting examples of allowable  $M$  may be constructed as follows. Let  $N$  be a closed manifold and take  $M = N \times \mathbb{R}^2$ , or  $M = N \times \mathbb{R}$ , or  $M = N \times S$ ,  $S$  a complete surface with quadratic area growth or finite total curvature. These examples have quadratic volume growth so they are recurrent. Thus removing a bounded non-empty open set from  $M$ , then what is left is parabolic, i.e any bounded harmonic function is determined by its boundary values.

## 2. LOCAL FORMULAS FOR MINIMAL GRAPHS

Let  $u$  be the height function of an  $n$  dimensional minimal graph  $S = \{(x, u(x)) : x \in B_R(p)\}$  in  $M^n \times \mathbb{R}$  where  $M$  is complete with non-negative Ricci curvature and  $B_R(p)$  is a geodesic ball of radius  $R$  about  $p$ . If  $ds^2 = \sigma_{ij} dx_i dx_j$  is a local Riemannian metric on  $M$ , then  $M \times \mathbb{R}$  is given the product metric  $ds^2 + dt^2$  where  $t$  is a coordinate for  $\mathbb{R}$ . Then the height function  $u(x) \in C^2(\Omega)$  satisfies the divergence form equation

$$(2.1) \quad \operatorname{div}^M \left( \frac{\nabla^M u}{\sqrt{1 + |\nabla^M u|^2}} \right) = 0$$

where the divergence and gradient  $\nabla^M u$  are taken with respect to the metric on  $M$ . Equivalently, equation (2.1) can be written in non-divergence form

$$(2.2) \quad \frac{1}{W} g^{ij} D_i D_j u = 0, \quad \text{where } W = \sqrt{1 + |\nabla^M u|^2},$$

$D$  denotes covariant differentiation on  $M$  and

$$g^{ij} = \sigma^{ij} - \frac{u^i u^j}{W^2}, \quad u^i = \sigma^{ij} D_j u.$$

This can be seen as follows. Let  $x_1, \dots, x_n$  be a system of local coordinates for  $M$  with corresponding metric  $\sigma_{ij}$ . Then the coordinate vector fields for  $S$  and the upward unit normal to  $S$  is given by

$$(2.3) \quad X_i = \frac{\partial}{\partial x_i} + u_i \frac{\partial}{\partial t}$$

and

$$(2.4) \quad N = \frac{1}{W} \left( -u^j \frac{\partial}{\partial x_j} + \frac{\partial}{\partial t} \right), \quad u^i = \sigma^{ij} u_j.$$

The induced metric on  $S$  is then

$$(2.5) \quad g_{ij} = \langle X_i, X_j \rangle = \sigma_{ij} + u_i u_j$$

with inverse

$$(2.6) \quad g^{ij} = \sigma^{ij} - \frac{u^i u^j}{W^2}.$$

It is easily seen that

$$(2.7) \quad g = \det(g_{ij}) = \sigma W^2, \quad \sigma = \det(\sigma_{ij}).$$

The second fundamental form  $b_{ij}$  of  $S$  is given by ( $\bar{D}$  is covariant differentiation on  $M \times \mathbb{R}$ )

$$(2.8) \quad \begin{aligned} b_{ij} &= \langle \bar{D}_{X_i} X_j, \nu \rangle = \left\langle D_{\frac{\partial}{\partial x_i}} \frac{\partial}{\partial x_j} + u_{ij} \frac{\partial}{\partial t}, N \right\rangle \\ &= \left\langle \Gamma_{ij}^k \frac{\partial}{\partial x_k} + u_{ij} \frac{\partial}{\partial t}, \nu \right\rangle = \frac{1}{W} \left( -\Gamma_{ij}^k u^l \sigma_{kl} + u_{ij} \right). \end{aligned}$$

Hence,

$$(2.9) \quad b_{ij} = \frac{D_i D_j u}{W}$$

and so the mean curvature  $H$  of  $S$  is then given by

$$(2.10) \quad nH = \frac{1}{W} g^{ij} D_i D_j u.$$

The area functional of  $S$  is given in local coordinates by

$$A(S) = \int W \sqrt{\sigma} \, dx.$$

As a functional of  $u$ , this gives the Euler-Lagrange equation

$$(2.11) \quad \operatorname{div}^M \left( \frac{Du}{W} \right) = \frac{1}{\sqrt{\sigma}} D_i \left( \sqrt{\sigma} \frac{u^i}{W} \right) = 0.$$

It is easily seen that (2.2) is the non-divergence form of (2.11).

We will also need the well known formulae

$$(2.12) \quad \Delta^S u = 0$$

$$(2.13) \quad \Delta^S W^{-1} = -(|A|^2 + \widetilde{\text{Ric}}(N, N))W^{-1},$$

where  $|A|$  is the norm of the second fundamental form of  $S$ ,  $\widetilde{\text{Ric}}$  is the Ricci curvature of  $M \times \mathbb{R}$ , and  $\Delta^S$  is the Laplace-Beltrami operator of  $S$  given in local coordinates by

$$(2.14) \quad \Delta^S \equiv \text{div}^S(\nabla^S \cdot) = \frac{1}{\sqrt{g}} D_i(\sqrt{g} g^{ij} D_j \cdot) = g^{ij} D_i D_j \cdot.$$

Since  $\tau := \frac{d}{dt}$  is a Killing vector field on  $M \times \mathbb{R}$ ,  $W^{-1} = \langle N, \tau \rangle$  is a Jacobi field and so satisfies the Jacobi equation (2.13). For a clean derivation of (2.13) using moving frames see [16, section 2] where  $M$  is three dimensional but the derivation is valid in all dimensions. Equation (2.12) is easily seen to be equivalent to (2.2).

From (2.14) follows the important formulae

$$(2.15) \quad \Delta^S \varphi(x) = g^{ij} D_i D_j \varphi$$

and

$$(2.16) \quad \Delta^S g(\varphi) = g'(\varphi) \Delta_S \varphi + g''(\varphi) g^{ij} D_i \varphi D_j \varphi.$$

This implies that

$$\Delta^S W = 2W^{-1} |\nabla^S W|^2 + W(|A|^2 + \widetilde{\text{Ric}}(N, N)).$$

Let us for the moment assume that at a point  $p \in S$  the normal  $N$  is not equal to  $\tau$ . We let

$$\gamma := \frac{p^{TM}(N)}{|p^{TM}(N)|},$$

where  $p^{TM}$  is the projection to the tangent space of the horizontal plane through  $p$  in  $M \times \mathbb{R}$ . It then holds that

$$\widetilde{\text{Ric}}(N, N) = \text{Ric}^M(p^{TM}(N), p^{TM}(N)) = (1 - W^{-2}) \text{Ric}^M(\gamma, \gamma).$$

Noting that this is still trivially true if  $N = \tau$ , we arrive at

$$(2.17) \quad \Delta^S W = 2W^{-1} |\nabla^S W|^2 + W|A|^2 + W(1 - W^{-2}) \text{Ric}^M(\gamma, \gamma).$$

Now let  $h(x) = \eta(x)W(x)$  with  $\eta \geq 0$  smooth. Then using (2.17), a simple computation gives

$$(2.18) \quad \begin{aligned} Lh &:= \Delta^S h - 2g^{ij} \frac{D_i W}{W} D_j h \\ &= \eta(\Delta^S W - \frac{2}{W} g^{ij} D_i W D_j W) + W \Delta^S \eta \\ &= W(\Delta^S \eta + \eta(|A|^2 + (1 - W^{-2}) \text{Ric}^M(\gamma, \gamma))). \end{aligned}$$

## 3. THE RECURRENT CASE

The original proof by Hoffman-Meeks of the half-space theorem in  $\mathbb{R}^3$  used the family of minimal surfaces obtained from a catenoid by homothety. We will use a discrete family of minimal graphs in  $M \times \mathbb{R}$ , like the catenoids in  $\mathbb{R}^3$ .

Let  $D_1 \subset M$  be open and bounded with  $\partial D_1$  smooth. Since  $M$  has bounded sectional curvatures, we can apply Theorem 0.1 of Cheeger and Gromov [2] to assert the existence of an exhaustion of  $M$ ,  $D_1 \subset D_2 \subset \dots \subset D_n \subset \dots$  by domains with smooth boundaries, such that the norm of the second fundamental form of the boundaries  $\partial D_i$  is uniformly bounded by  $C_1$  and  $\bar{D}_i \subset D_{i+1}$ . We denote  $\partial D_n$  by  $\partial_n$  and by  $A_n$  the annular-type domain  $D_n \setminus \bar{D}_1$ , with  $\partial A_n = \partial_1 \cup \partial_n$ .

$A_n$  is a stable minimal hypersurface of  $M \times \mathbb{R}$  (it is totally geodesic) so any sufficiently small smooth perturbation of  $\partial A_n$  to  $\Gamma_{n,t}$  gives rise to a smooth family of minimal hypersurfaces  $S_{n,t}$  with  $\partial S_{n,t} = \Gamma_{n,t}$ , and  $S_{n,0} = A_n$ . The  $S_{n,t}$  are smooth up to their boundary (we will use  $C^2$ ).

We apply this to the deformation of  $\partial A_n$  which is the graph over  $\partial A_n$  given by  $\partial_1 \cup (\partial_n \times \{t\})$ , for  $t \geq 0$ . Then for  $t$  sufficiently small,  $S_{n,t}$  is the graph of a function smooth  $u_{n,t}$  defined on  $A_n$ , with boundary values 0 on  $\partial_1$  and  $t$  on  $\partial_n$ . Note that  $u_{n,t}$  satisfies the minimal surface equation on  $A_n$  and by the maximum principle we have  $0 \leq u_{n,t} \leq t$ . Furthermore, as long as  $|\nabla^M u_{n,t}|$  is uniformly bounded, the DeGiorgi-Nash-Moser and Schauder estimates imply uniform estimates for all higher derivatives up to the boundary. Thus to apply the method of continuity, we need only show uniform gradient estimates.

We will first present a maximum principle for the function

$$W = \sqrt{1 + |\nabla^M u|^2}$$

on  $S = \text{graph}(u) \subset M \times \mathbb{R}$ , where we assume that  $u : \Omega \rightarrow \mathbb{R}$  is a solution to the minimal surface equation on  $\Omega \subset M$ . From (2.17), we see that if the Ricci curvature of  $M$  is non-negative then  $W$  is bounded on  $S$  by its maximum on  $\partial S$ . To treat the case that the Ricci curvature of  $M$  is only bounded from below we consider the function

$$h = \eta \cdot W, \quad \eta = e^{\alpha u}$$

where  $\alpha > 0$ . From (2.12), (2.16) we find

$$\Delta^S \eta = \alpha^2 \eta |\nabla^S u|^2 = \alpha^2 (1 - W^{-2}) \eta.$$

Then using (2.18) we have

$$(3.1) \quad Lh = h \left( |A|^2 + (1 - W^{-2})(\alpha^2 + \text{Ric}^M(\gamma, \gamma)) \right).$$

This implies the following estimate.

**Lemma 3.1.** *Let  $\Omega \subset M$  be open and bounded and let  $u \in C^2(\Omega) \cap C^1(\bar{\Omega})$  be a solution of the minimal surface equation in  $\Omega$ . Then*

$$\sup_{\Omega} \sqrt{1 + |\nabla^M u|^2} \leq \sup_{\Omega} e^{-\alpha u} \cdot \sup_{\partial \Omega} \left( e^{\alpha u} \sqrt{1 + |\nabla^M u|^2} \right),$$

where  $\alpha^2 = \sup \{ \max \{ -\text{Ric}^M(\gamma, \gamma), 0 \} \mid \gamma \in T_p M, |\gamma| = 1, p \in \Omega \}$ .

*Proof.* By our choice of  $\alpha > 0$ , we see from (2.18) that  $Lh \geq 0$ . The result now follows from the maximum principle.  $\square$

*Remark 3.2.* In the case that  $S$  has constant mean curvature  $H$  one can compute that

$$Lh = h \left( \alpha \frac{nH}{W} + |A|^2 + (1 - W^{-2})(\alpha^2 + \text{Ric}^M(\gamma, \gamma)) \right).$$

By considering  $-u$  instead of  $u$  if necessary, we can assume that  $H \geq 0$  and arrive at the same gradient estimate as before.

Lemma 3.1 implies that to use the method of continuity for the surfaces  $S_n(t)$  we only need a priori gradient bounds on  $\partial A_n$ .

For convenience of notation, assume the sectional curvatures of  $M$  are bounded from above by  $K_0 = 1$ . Then the Riccati comparison estimates imply that for any point  $p$  in  $M$ , the exponential map  $\exp_p : T_p M \supset B_\pi(0) \rightarrow B_\pi(p)$  is a local diffeomorphism. Let us for the moment also assume that the injectivity radius of  $M$  is greater or equal to 1, i.e. the exponential map  $\exp_p : T_p M \supset B_1(0) \rightarrow B_1(p)$  is actually a diffeomorphism.

We now almost explicitly construct a catenoid like supersolution  $w = w(r; r_0, p)$  of the minimal surface equation in an annulus  $A(p) := B_{4r_0}(p) \setminus B_{2r_0}(p)$  of height  $2\delta_0$  where  $r = d(x, p)$  is the distance function from  $x$  to  $p$ . Here  $r_0$  will be chosen sufficiently small depending on the bound  $K_0 = 1$  for sectional curvature of  $M$  and the lower bound 1 for the injectivity radius of  $M$ .

**Lemma 3.3.** *For  $r_0$  sufficiently small, there exists  $w = \varphi(r) - \varphi(2r_0)$  satisfying*

$$(3.2) \quad \text{div}^M \left( \frac{\nabla^M w}{\sqrt{1 + |\nabla^M w|^2}} \right) < 0 \quad \text{in } A(p)$$

$$(3.3) \quad w = 0 \quad \text{on } r = 2r_0$$

$$(3.4) \quad w = 2\delta_0 := \varphi(4r_0) - \varphi(2r_0) \quad \text{on } r = 4r_0$$

where  $\varphi'(r) > 0$ ,  $\varphi(r_0) = 0$ ,  $\varphi'(r_0) = +\infty$  and the inverse function  $r = \gamma(s)$  of  $\varphi(r)$  is implicitly defined by

$$(3.5) \quad s = \int_{r_0}^{\gamma} \frac{dt}{\sqrt{\left(\frac{t}{r_0}\right)^{2n} - 1}}$$

*Proof.* From (2.2) it suffices to show that in  $A(p)$

$$(3.6) \quad Mw := \left( \sigma^{ij} - \frac{w^i w^j}{W^2} \right) D_i D_j w < 0, \quad \text{where } W = \sqrt{1 + |\nabla^M w|^2}.$$

When  $w = \varphi(r)$  we easily find from (3.6) that

$$(3.7) \quad Mw = \varphi'(r) \Delta^M r + \frac{\varphi''(r)}{1 + \varphi'^2(r)}$$

We fix  $r_0$  small enough that  $\Delta^M r < \frac{n}{r}$  in  $B_{4r_0}(p)$ . Then from (3.7),

$$(3.8) \quad Mw < \frac{\varphi''(r)}{1 + \varphi'^2(r)} + \frac{n}{r} \varphi'(r)$$

and it suffices to solve

$$(3.9) \quad \frac{\varphi''}{1 + \varphi'^2} + \frac{n}{r}\varphi' = 0$$

But (3.9) is the ode for the height function of the top half of the catenoid in  $\mathbb{R}^{n+1} \times \mathbb{R}$  over  $\{r > r_0\} \subset \mathbb{R}^{n+1}$  and its solution is well known to be given as described.  $\square$

*Remark 3.4.* Using the continuity method, it is immediate that we can deform  $w$  to an exact solution of the minimal surface equation in  $A(p)$ .

We now use the barrier  $Z_{r_0,p} = \text{graph}(w)$  near the boundary of  $A_n$  to obtain a gradient bound for  $S_n(t)$ , provided  $0 \leq t \leq \delta_0$ . Let  $p_0 \in \partial A_n$ . Since the norm of the second fundamental form of each component  $\partial A_n$  is bounded by  $C_1$  there is a  $p_1 \in M$  such for  $r_0$  sufficiently small depending only on  $C_1$ ,  $B_{2r_0}(p_1)$  touches  $A_n$  from the outside at  $p_0$ . Note that  $B_{2r_0}(p_1)$  still might intersect  $A_n$ , but it touches  $A_n$  in  $p_0$  from the outside. We now consider the part of  $Z_{r_0,p_1}$  which is a graph over the connected component of  $(B_{4r_0}(p_1) \setminus B_{2r_0}(p_1)) \cap A_n$  which has  $p_0$  in its boundary. Suppose first  $p_0 \in \partial_1$ . Note that on its boundary  $Z_{r_0,p_1}$  always lies above  $S_{n,t}$ , as long as  $0 \leq t \leq \delta_0$ . By the maximum principle this implies that  $Z_{r_0,p_1}$  lies above  $S_n(t)$ , which in turn implies a gradient bound for  $u_{n,t}$  at  $p_0$ . By reflecting  $Z_{r_0,p}$  at the plane of height 0 in  $M \times \mathbb{R}$  and translating up by  $t$ , we can do a similar construction at the outer boundary  $\partial_n$  of  $A_n$  for  $S_{n,t}$  and obtain a gradient bound for  $u_{n,t}$  which is uniform in  $n$  and  $t$ .

In the construction above, we have assumed that the injectivity radius of  $M$  is bounded from below by 1. In the case that there is no positive lower bound for the injectivity radius of  $M$ , we proceed as follows. As pointed out earlier,  $\exp_p : T_p M \supset B_\pi(0) \rightarrow B_\pi(p)$  is a local diffeomorphism. Thus we can pull back the metric of  $M$  to  $B_\pi(0) \subset T_p M$ . It is then easy to see that  $\exp_p : T_p M \supset B_2(0) \rightarrow B_2(p)$  is a local Riemannian covering map, and the injectivity radius at 0 of  $B_\pi(0) \subset T_p M$  is  $\pi$ . To obtain the gradient bounds at  $p_0 \in \partial A_n$  as discussed above, we can lift the whole construction, including  $A_n$  and  $S_{n,t}$  locally to  $B_1(0) \subset T_p M$  and again use  $Z_{r_0,p}$  to obtain the same gradient bound for the lift of  $u_{n,t}$ . But this implies the gradient bound for  $u_{n,t}$  itself. This gives

**Lemma 3.5.** *For every  $0 \leq t \leq \delta_0$  the surfaces  $S_{n,t}$  exist and are smooth graphs of  $u_{n,t}$  over  $\bar{A}_n$  satisfying*

$$(3.10) \quad 0 < u_{n,t} < t \quad \text{in } A_n$$

$$(3.11) \quad |\nabla^M u_{n,t}| \leq C_3 \quad \text{on } \bar{A}_n$$

for all  $0 \leq t \leq \delta_0$  and  $n \in \mathbb{N}$ , with  $C_3$  independent of  $n$  and  $t$ .

*Proof.* By comparing with planes of constant height zero and  $\delta_0$ , the height of the surfaces  $S_{n,t}$  is bounded from below by zero and from above by  $\delta_0$ . The above construction of barriers at the boundary implies that

$$|\nabla^M u_{n,t}| \leq |\nabla^M w| = \varphi'(2r_0) = C_2$$

on  $\partial A_n$ , independent of  $n$  and  $t$ . By Lemma 3.1, this implies the stated a priori gradient bound for  $u_{n,t}$  on  $\bar{A}_n$ . The DeGiorgi-Nash-Moser and Schauder estimates then imply a priori bounds of all higher derivatives of  $u_{n,t}$  on  $\bar{A}_n$ . Thus we obtain existence by the method of continuity.  $\square$

*Remark 3.6.* Note that to get the existence of the surfaces  $S_{n,t}$ ,  $0 \leq t \leq \delta$  just for an implicit  $0 < \delta \leq \delta_0$ , one can argue that by the stability of  $S_{1,0}$ , the graphs  $S_{1,t}$  exist for  $t \in [0, \delta]$  and have bounded gradient. One can then use  $S_{1,\delta}$  as an upper barrier for the surfaces  $S_{n,t}$  on the inner boundary  $\partial_1$  to obtain an a priori gradient estimate there.

By construction, we have that  $S_{n,t}$  lies above  $S_{m,t}$  on  $A_n$  for  $m > n$ . Since for  $0 \leq t \leq \delta_0$  the surfaces have uniform gradient bounds, the DeGiorgi-Nash-Moser and Schauder estimates imply locally uniform estimates for all higher derivatives. We fix  $t \in (0, \delta_0]$  and take the limit  $n \rightarrow \infty$  of the surfaces  $S_{n,t}$  to obtain a limit surface  $S$ , which is a minimal graph over  $M \setminus D_1$  and has boundary value 0 on  $\partial_1$ . Furthermore, the height function  $u$  is bounded by  $\delta_0$  and the gradient of  $u$  by  $C$ .

Since the gradient of  $u$  is bounded,  $S = \text{graph}(u)$  is quasi-isometric to  $M \setminus D_1$ , hence it is parabolic. Thus the height function  $u$  on  $S$  is a bounded harmonic function on  $\text{graph}(u)$  and so must be constant, equal to zero. That is  $u \equiv 0$  and the graphs  $S_{n,t}$  converge locally uniformly to zero.

Now we can prove the half-space theorem.

*Proof of Theorem 1.2.* Suppose  $S$  is a minimal hypersurface properly immersed in  $M \times (-\infty, c)$ . Lowering  $M \times \{c\}$  until it “touches”  $S$ , we can suppose  $S$  is asymptotic to  $M \times \{c\}$  at infinity. More precisely, if  $M \times \{\tau\}$  touches  $S$  for the first time at some point of  $S$  then  $S = M \times \{\tau\}$  by the maximum principle and we are done. Otherwise the first contact is at infinity so we can assume  $S$  is asymptotic to  $M \times \{c\}$ . By translating  $S$  vertically we can assume that  $c = 0$ .

Since  $S$  is proper, we can assume that there is a point  $p_0 \in M$  and a cylinder  $C = B_{r_0}(p_0) \times (-r_0, 0)$  for some  $r_0 > 0$  such that  $S \cap C = \emptyset$ . We can assume that  $r_0$  is less than the injectivity radius at  $p$ . In our construction of the surfaces  $S_{n,t_0}$ , we choose  $D_1 = B_{r_0/2}(p_0)$  and  $t_0 = \min\{\delta_0, r_0/2\}$ . Note that translating  $S_{n,t_0}$  vertically downwards by an amount  $t_0$  keeps the boundaries of the translates of  $S_{n,t_0}$  strictly above  $S$ . Thus by the maximum principle all the translates remain disjoint from  $S$ . We call  $S'_{n,t_0}$  this final translate. Note that all the surfaces  $S'_{n,t_0}$  lie above  $S$  and converge as  $n \rightarrow \infty$  to the plane  $M \times \{-t_0\}$ . Thus  $S$  lies below  $M \times \{-t_0\}$  which contradicts that  $S$  is asymptotic to  $M \times \{0\}$ .  $\square$

#### 4. THE GRAPHICAL CASE

**Theorem 4.1.** *Assume  $M$  is complete with nonnegative Ricci curvature and sectional curvatures  $K_\pi \geq -K_0$  for a nonnegative constant  $K_0$ . Let  $S = \text{graph}(u)$  be a minimal graph in  $M \times \mathbb{R}$  over  $B_R(p)$  with  $u \geq 0$ . Then*

$$|\nabla^M u(p)| \leq C_1 e^{C_2 u^2(p) \frac{\Psi(R)}{R^2}}$$

where  $\Psi(R) = (n-1)\sqrt{K_0}R \coth(\sqrt{K_0}R) + 1$ .

*Proof.* Let  $h(x) = \eta(x)W(x)$  with  $W = \sqrt{1 + |\nabla^M u|^2}$ ,  $\eta(x) = g(\varphi(x))$ ,  $g(t) = e^{Kt} - 1$ ,  $\varphi(x) = (-u(x)/2u(p) + (1 - \frac{d(x,p)^2}{R^2}))^+$  where  $+$  denotes the positive part. Let  $C(p)$  denote the cut locus of  $p$  and  $\mathcal{U}(p) = B_R(p) \setminus C(p)$  be the set of points  $q \neq p$  in  $B_R(p)$  for which there is a unique minimal geodesic  $\gamma$  joining  $p$  and  $q$  with



$q$  not conjugate to  $p$  along  $\gamma$ . It is well-known that  $d(x, p)$  is smooth on  $\mathcal{U}(p)$  which is open. Note that  $d(x, p)^2$  and so  $h(x)$  is smooth in a neighborhood of  $p$ .

*Case 1:* The max of  $h$  occurs at a point  $q \in \mathcal{U}(p)$

From (2.18) we find since  $M$  has nonnegative Ricci curvature,

$$(4.1) \quad Lh := \Delta^S h - 2g^{ij} \frac{D_i W}{W} D_j h \geq K e^{K\varphi} W (\Delta_S \varphi + K g^{ij} D_i \varphi D_j \varphi).$$

The point is now to choose  $K$  so that  $\Delta^S \varphi + K g^{ij} D_i \varphi D_j \varphi > 0$  on the set where  $h > 0$  and  $W$  is large. We will need a standard comparison lemma [11].

**Lemma 4.2.** *Suppose  $M$  has sectional curvatures  $K_\pi \geq -K_0$  for a non-negative constant  $K_0$ . Let  $q \in \mathcal{U}(p)$  Then the (nonzero) eigenvalues of  $D^2 d(p, x)$  at  $q$  (principal curvatures of the local distance sphere through  $q$ ) are bounded above by those of the corresponding distance sphere in the hyperbolic space of curvature  $-K_0$ .*

We have  $\Delta^S u = 0$  so

$$\Delta^S \varphi = -\frac{2}{R^2} (d(x, p) \Delta^S d(x, p) + g^{ij} D_i d(x, p) D_j d(x, p)).$$

Using Lemma 4.2 and (2.14) we see that

$$(4.2) \quad \Delta^S \varphi \geq -\frac{\Psi(R)}{R^2}$$

where  $\Psi(R) = (n-1)\sqrt{K_0}R \coth(\sqrt{K_0}R) + 1$  at a point  $q \in \mathcal{U}(p)$ .

We next compute

$$\begin{aligned} g^{ij} D_i \varphi D_j \varphi &= g^{ij} D_i \left( \frac{u(x)}{2u(p)} + \frac{2d(x, p)}{R^2} D_i d(x, p) \right) D_j \left( \frac{u(x)}{2u(p)} + \frac{2d(x, p)}{R^2} D_j d(x, p) \right) \\ &= \frac{|\nabla u|^2}{4u(p)^2 W^2} + \frac{4d^2(x, p)}{R^4} \left( 1 - \left\langle \frac{\nabla u}{W}, \nabla d(x, p) \right\rangle_M^2 \right) + \frac{2d(x, p)}{u(p)R^2} \frac{\langle \nabla u, \nabla d(x, p) \rangle_M}{W^2}. \end{aligned}$$

Hence

$$(4.3) \quad g^{ij} D_i \varphi D_j \varphi \geq \left( \frac{|\nabla u|}{2u(p)W} - \frac{2}{RW} \right)^2.$$

Now assume that

$$(4.4) \quad W(q) \geq \max \left\{ \frac{2}{\sqrt{3}}, \frac{16u(p)}{R} \right\}.$$

Then from (4.3) and (4.4),

$$(4.5) \quad g^{ij} D_i \varphi D_j \varphi \geq \frac{1}{64u(p)^2}.$$

Therefore from (4.2), (4.5),

$$(4.6) \quad (\Delta^S \varphi + K g^{ij} D_i \varphi D_j \varphi)(q) \geq -\frac{\Psi(R)}{R^2} + \frac{K}{64u^2(p)}$$

Now choose

$$(4.7) \quad K = 64u^2(p) \frac{\Psi(R)}{R^2} + 2.$$

Then (4.2) and (4.6) imply  $Lh(q) > 0$  contradicting the maximum principle. Hence (4.4) cannot hold and so

$$(4.8) \quad W(q) \leq \max \left\{ \frac{2}{\sqrt{3}}, \frac{16u(p)}{R} \right\}.$$

Therefore  $h(p) = (e^{\frac{K}{2}} - 1)W(p) \leq (e^K - 1) \max \left\{ \frac{2}{\sqrt{3}}, \frac{16u(p)}{R} \right\}$ . After some manipulation we see that Theorem 4.1 follows.

*Case 2:  $q \notin \mathcal{U}(p)$ .*

**Lemma 4.3.** *a) Suppose the maximum of  $h$  in  $B_R(p)$  occurs at  $q$ . Then there is a unique minimal unit speed geodesic  $\gamma(s)$  joining  $p$  and  $q$ .*

*b) For any  $\varepsilon > 0$ , let  $p^\varepsilon = \gamma(\varepsilon)$ . Then  $d(x, p^\varepsilon)$  is smooth in a neighborhood of  $q$ .*

*Proof.* a) Suppose the maximum of  $h$  occurs at  $q \neq p$ . Then since  $h(x) \leq h(q)$  and

$$d(x, p) = R \sqrt{1 - \frac{u(x)}{2u(p)} - \frac{1}{K} \log \left( 1 + \frac{h(x)}{W(x)} \right)},$$

we see that

$$d(x, p) \geq \psi(x) := R \sqrt{1 - \frac{u(x)}{2u(p)} - \frac{1}{K} \log \left( 1 + \frac{h(x)}{W(x)} \right)}$$

with equality at  $q$ . Note that  $\psi(x)$  is possibly only well defined locally in a small neighborhood  $B_{2\rho}(q)$ . In this case we can let  $\bar{\psi}(x) = \lambda(x)\psi(x)$  where  $0 \leq \lambda(x) \leq 1$  is a smooth cutoff function with

$$\lambda(x) = \begin{cases} 1 & x \in B_\rho(q) \\ 0 & x \in B_R(p) \setminus B_{2\rho}(q) \end{cases}$$

Then  $d(x, p) \geq \bar{\psi}(x)$  in  $B_R(p)$  with equality at  $q$ .

Hence we may assume  $\psi(x)$  is smooth on  $B_R(p)$  and so

$$\psi(x) - \psi(q) \leq d(x, p) - d(q, p) \leq d(x, q);$$

hence  $|\nabla^M \psi(q)| \leq 1$ .

Now let  $\gamma(s)$  be a unit speed minimal geodesic joining  $p$  to  $q$ . Then

$$\psi(\gamma(s)) \leq s.$$

and

$$\psi(\gamma(d(q, p))) = \psi(q) = d(q, p).$$

Hence  $\nabla^M \psi(q) = \gamma'(d(q, p))$  and so there is only one minimal geodesic joining  $p$  and  $q$ .

b) Clearly  $q$  is not conjugate to  $p^\varepsilon$ . Moreover since  $d(x, p^\varepsilon) + \varepsilon \geq d(x, p) \geq \psi(x)$  with equality at  $q$ , the argument of part a) shows that  $\gamma$  is the unique minimal geodesic joining  $p^\varepsilon$  and  $q$ . Hence  $q \in \mathcal{U}(p^\varepsilon)$  so  $d(x, p^\varepsilon)$  is smooth in a neighborhood of  $q$ .  $\square$

We now complete the proof of case 2. Define

$$\varphi^\varepsilon = -\frac{u(x)}{2u(p)} + \left(1 - \frac{(d(x, p^\varepsilon) + \varepsilon)^2}{R^2}\right)^+, \quad \eta^\varepsilon = g(\varphi^\varepsilon), \quad h^\varepsilon = \eta^\varepsilon W.$$

Then since  $d(x, p^\varepsilon) + \varepsilon \geq d(x, p) \geq \psi(x)$  with equality at  $q$ , we have that

$$\varphi^\varepsilon \leq \varphi, \quad \eta^\varepsilon \leq \eta, \quad h^\varepsilon \leq h.$$

with equality at  $q \in \mathcal{U}(p^\varepsilon)$ . Thus by Lemma 4.3 we may apply case 1 (and let  $\varepsilon \rightarrow 0$ ) to complete the proof.  $\square$

**Corollary 4.4.** *Let  $M$  be as in Theorem 4.1. If  $S$  is a complete minimal graph with height function  $u \geq 0$ , then  $|\nabla^M u| \leq C_1$ .*

*Proof.* Let  $R \rightarrow \infty$  in Theorem 4.1.  $\square$

*Remark 4.5.* As in [17], there is a version of Theorem 4.1 for graphs with constant or variable mean curvature  $H(x)$  assuming the sectional curvatures of  $M$  are bounded below with no assumption on Ricci curvature. In particular Corollary 4.4 holds for bounded solutions under these hypotheses. The method presented here sharpens the result of [17] in that no control of injectivity radius is needed.

Set  $m(R) = \inf_{B_R(p)} u$ . Then more generally we have

**Corollary 4.6.** *Let  $M$  be as in Theorem 4.1 and let  $S$  is a complete minimal graph with height function  $u$ .*

- a) *If  $K_0 > 0$  assume  $\limsup_{R \rightarrow \infty} \frac{m^2(R)}{R} = 0$ .*
- b) *if  $K_0 = 0$  assume  $\limsup_{R \rightarrow \infty} \frac{|m(R)|}{R} = 0$ .*

*Then  $|\nabla^M u| \leq C_1$ .*

We can now use the Moser technique as developed by Saloff-Coste [15] and Grigor'yan [7] to prove Theorem 1.3, which is an extension of the corresponding result of Bombieri, De Giorgi and Miranda [1] for  $M = \mathbb{R}^n$ .

Assume that  $S$  is an entire minimal graph with height function  $u \geq 0$ . According to Corollary 4.4,  $|\nabla^M u| \leq C_1$  globally on  $M$ . Thus the induced metric  $g_{ij}$  given by (2.5) is uniformly elliptic and the Laplacian  $\Delta^S$  on  $S$  given by (2.14) is a divergence form uniformly elliptic operator. We may by translation assume  $\inf_M u = 0$ . Thus given any  $\varepsilon > 0$  there is a point  $p \in M$  with  $u(p) \leq \varepsilon$ . Applying the Harnack inequality Theorem 7.4 of [15] yields for all  $R$

$$\sup_{B_R(p)} u \leq C \inf_{B_R(p)} u \leq C\varepsilon$$

for a uniform constant  $C$  independent of  $R$ . Letting  $R \rightarrow \infty$  and then  $\varepsilon \rightarrow 0$  gives  $u \equiv 0$ .

*Remark 4.7.* Theorem 1.3 can be improved somewhat to allow  $\limsup_{R \rightarrow \infty} \frac{|m(R)|}{R^\alpha} = 0$  for some controlled small  $\alpha \in (0, \frac{1}{2})$ .

## REFERENCES

- [1] E. Bombieri, E. De Giorgi, and M. Miranda, *Una maggiorazione a priori relativa alle iper-superfici minimali non parametriche*, Arch. Rational Mech. Anal. **32** (1969), 255–267.
- [2] J. Cheeger and M. Gromov, *Chopping Riemannian manifolds*, Differential geometry, Pitman Monogr. Surveys Pure Appl. Math., vol. 52, Longman Sci. Tech., Harlow, 1991, pp. 85–94.
- [3] B. Daniel and L. Hauswirth, *Half-space theorem, embedded minimal annuli and minimal graphs in the Heisenberg group*, Proc. Lond. Math. Soc. (3) **98** (2009), no. 2, 445–470.
- [4] B. Daniel, W. H. Meeks, III, and H. Rosenberg, *Half-space theorems for minimal surfaces in  $\text{Nil}_3$  and  $\text{Sol}_3$* , J. Differential Geom. **88** (2011), no. 1, 41–59.
- [5] J. M. Espinar and H. Rosenberg, *Complete constant mean curvature surfaces and Bernstein type theorems in  $M^2 \times \mathbb{R}$* , J. Differential Geom. **82** (2009), no. 3, 611–628.
- [6] T. Frankel, *On the fundamental group of a compact minimal submanifold*, Ann. of Math. (2) **83** (1966), 68–73.
- [7] A. A. Grigor'yan, *The heat equation on noncompact Riemannian manifolds*, Mat. Sb. **182** (1991), no. 1, 55–87.
- [8] A. A. Grigor'yan, *Analytic and geometric background of recurrence and non-explosion of the Brownian motion on Riemannian manifolds*, Bull. Amer. Math. Soc. (N.S.) **36** (1999), no. 2, 135–249.
- [9] L. Hauswirth, H. Rosenberg, and J. Spruck, *On complete mean curvature  $\frac{1}{2}$  surfaces in  $\mathbb{H}^2 \times \mathbb{R}$* , Comm. Anal. Geom. **16** (2008), no. 5, 989–1005.
- [10] D. Hoffman and W. H. Meeks, III, *The strong halfspace theorem for minimal surfaces*, Invent. Math. **101** (1990), no. 2, 373–377.
- [11] H. Karcher, *Riemannian comparison constructions*, Global differential geometry, MAA Stud. Math., vol. 27, Math. Assoc. America, Washington, DC, 1989, pp. 170–222.
- [12] P. Li, *Geometric analysis*, Cambridge Studies in Advanced Math, vol. 134, Cambridge University Press, New York, 2012.
- [13] L. Mazet, *A general halfspace theorem for constant mean curvature surfaces*, 2010, [arXiv:1007.2559](https://arxiv.org/abs/1007.2559).
- [14] W. H. Meeks, III and H. Rosenberg, *The minimal lamination closure theorem*, Duke Math. J. **133** (2006), no. 3, 467–497.
- [15] L. Saloff-Coste, *Uniformly elliptic operators on Riemannian manifolds*, J. Differential Geom. **36** (1992), no. 2, 417–450.
- [16] R. Schoen and S. T. Yau, *Proof of the positive mass theorem. II*, Comm. Math. Phys. **79** (1981), no. 2, 231–260.
- [17] J. Spruck, *Interior gradient estimates and existence theorems for constant mean curvature graphs in  $M^n \times \mathbb{R}$* , Pure Appl. Math. Q. **3** (2007), no. 3, Special Issue: In honor of Leon Simon. Part 2, 785–800.

HAROLD ROSENBERG: INSTITUTO NACIONAL DE MATEMÁTICA PURA E APLICADA (IMPA), ESTRADA DONA CASTORINA 110, 22460 RIO DE JANEIRO - RJ, BRAZIL

*E-mail address:* `rosen@impa.br`

FELIX SCHULZE: FREIE UNIVERSITÄT BERLIN, ARNIMALLEE 3, 14195 BERLIN, GERMANY

*E-mail address:* `Felix.Schulze@math.fu-berlin.de`

JOEL SPRUCK: DEPARTMENT OF MATHEMATICS, JOHN HOPKINS UNIVERSITY, BALTIMORE, MD 21218, USA

*E-mail address:* `js@math.jhu.edu`