## Introduction to Lie groups, Fall 2019: Exercises<sup>\*</sup>

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- 1. Classify all finite-dimensional representations of the group  $G = \mathbb{Z}$  over  $\mathbb{C}$ , and over an arbitrary field k. Verify explicitly that those which factor through a finite quotient  $\mathbb{Z}/n$  are semisimple, if k is of characteristic zero. Examine the case of positive characteristic.
- 2. If G is a finite group, use the Peter–Weyl theorem to deduce the following well-known formulas; here, if  $\pi_1, \ldots, \pi_r$  are representatives for the isomorphism classes of irreducible representations (over  $\mathbb{C}$ ),  $d_i = \dim(\pi_i)$  and  $\chi_i$  =the character of  $d_i$ . (Hint: identify the subspace spanned by characters on both sides of the Peter–Weyl theorem.)
  - (a)  $\sum_{i} d_i^2 = |G|.$
  - (b) r = # of conjugacy classes in G.
  - (c) "Row orthogonality"<sup>1</sup>:

$$\frac{1}{|G|} \sum_{g \in G} \chi_i(g) \overline{\chi_j(g)} = \delta_{ij}.$$

(d) "Column orthogonality":

$$\frac{1}{|G_{g_1}|} \sum_{i=1}^r \chi_i(g_1) \overline{\chi_i(g_2)} = \begin{cases} 1, \text{ if } g_1 \text{ and } g_2 \text{ are conjugate} \\ 0, \text{ otherwise.} \end{cases}$$

Here,  $G_{g_1}$  denotes the centralizer of  $g_1$ .

- 3. Use the above character relations, and possibly some natural representations that you can think of, to compute the irreducible characters of the symmetric groups  $S_3$  and  $S_4$ .
- 4. Let (V,q) be a two-dimensional real vector space, endowed with a nondegenerate quadratic form. Let G be the special orthogonal group of those linear transformations which preserve the quadratic form and act trivially on  $\bigwedge^2 V$ . Describe the group G, and classify its irreducible finitedimensional complex representations.

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 $<sup>^1\</sup>mathrm{By}$  the way the character table is usually written: one row for each character, one column for each conjugacy class.

- 5. Let  $G = SU_2 \subset SL_2(\mathbb{C})$  be the subgroup of those transformations which preserve an inner product on  $\mathbb{C}^2$ .
  - (a) Show that G acts transitively on the set of complex lines in  $\mathbb{C}^2$ , and that the stabilizer of a line is isomorphic to the compact torus group  $S^1 = \mathbb{R}/\mathbb{Z}$ . Fix such a line, with stabilizer  $T \subset G$ , and deduce:
    - every element of G is conjugate to an element of T;
    - there is a fibration  $G \to \mathbb{C}P^1$ , with fiber isomorphic to  $S^1$ .
  - (b) Identify  $\mathbb{C}^2$  with the quaternion algebra  $\mathbb{H}$ , and G with the multiplicative group of quaternions of norm one. Deduce that  $\mathrm{SU}_2$  is homeomorphic to the 3-sphere  $S^3$ . Thus, we obtain the *Hopf fibration*  $S^1 \hookrightarrow S^3 \to S^2$ .
- 6. Classify all Lie algebras of dimensions  $\leq 3$  over a field k.
- 7. Consider the "fake Lie algebra"  $\mathfrak{g}$  with generators x, y, z and bracket relations [x, y] = x, [y, z] = z, [x, z] = z. Confirm that the Jacobi identity fails, and then prove that the Poincaré–Birkhoff–Witt theorem also fails in this case: The natural surjection  $S\mathfrak{g} \to \operatorname{gr} U$ , where U is the quadratic algebra  $T(\mathfrak{g})/(R)$ , with  $R = \operatorname{span}\{x \otimes y y \otimes x z, x \otimes z z \otimes x z, y \otimes z z \otimes y z\}$ , is not an isomorphism. (You can prove, actually, that PBW fails every time that the Jacobi identity does.)
- 8. Consider the affine variety  $X = SO_2 \setminus SO_3$ . We have seen (in the discussion of spherical harmonics) that the coordinate ring  $\mathbb{R}[X]$  (or  $\mathbb{C}[X]$ ) has a natural, *G*-stable (where  $G = SO_3$ ) grading as a vector space:

$$\mathbb{R}[X] = \bigoplus_{k \ge 0} \mathbb{R}[X]_k,$$

corresponding to the degree of the spherical harmonics. Show that this fails to be an algebra grading, but it corresponds to an algebra filtration with  $F^n \mathbb{R}[X] = \bigoplus_{0 \le k \le n} \mathbb{R}[X]_k$ . Describe the *G*-variety corresponding to the associated graded  $\operatorname{gr}\mathbb{R}[X]$ , as well as the one corresponding to the Rees family  $\bigoplus_{n>0} t^n F^n \mathbb{R}[X]$ .

9. In this exercise we will describe the irreducible representations of the symmetric group  $S_d$ .

We consider  $G = S_d$  as the permutation group on the set  $\Sigma = \{1, \ldots, d\}$ , and will say "partition of d" for every sequence  $\lambda : \lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_n > 0$ , of positive integers with  $\sum \lambda_i = d$ . We will call " $\lambda$ -partition of  $\Sigma$ " be a disjoint decomposition  $\Sigma = \bigsqcup_i \Sigma_i$ , with  $|\Sigma_i| = \lambda_i$ . (In particular, these subsets are indexed with decreasing size, but we distinguish between subsets of the same size.)

The group G acts on the space  $\Sigma_{\lambda}$  of  $\lambda$ -partitions; we define this action as a right action. To invoke a vocabulary similar to that of Lie groups, although it is not standard in this case, let us say that a "parabolic subgroup" of G is the stabilizer of a  $\lambda$ -partition, for some  $\lambda$ . Hence, a parabolic subgroup is a subgroup of the form  $S_{\Sigma_1} \times \cdots \times S_{\Sigma_n}$ , where  $\Sigma = \bigsqcup_i \Sigma_i$  is a  $\lambda$ -partition as before, and  $S_{\Sigma_i}$  denotes the group of permutations of the subset  $\Sigma_i$ .

Thus, the homogeneous space  $\Sigma_{\lambda}$  is isomorphic to  $P \setminus G$ , where P is the stabilizer of the "standard"  $\lambda$ -partition, where the integers are placed in the subsets  $\Sigma_{\lambda}$  in order.

We can think of  $\lambda$  as a Young diagram, that is, the diagram consisting of a row of  $\lambda_1$  squares stacked over  $\lambda_2$  squares (aligned on the left), etc, and we can also think of Young tableaux, which are ways to populate the squares of a given Young diagram with the elements of  $\Sigma$  (without repetitions):

5	6	3	7
1	2	4	
8			

Then, the parabolic P mentioned above is the stabilizer of the rows of the standard Young tableau, where the integers are placed in order.

The dual partition to  $\lambda$  is partition  $\lambda^* : \lambda_1^* \geq \lambda_2^* \geq \ldots \lambda_m^* > 0$  of d counting the sizes of the columns of the Young diagram of  $\lambda$ . The space  $\Sigma_{\lambda^*}$  of  $\lambda^*$ -partitions of  $\Sigma$  is the homogeneous space  $Q \setminus G$ , where  $Q = G_{\lambda^*}$  is the stabilizer of the columns of the standard Young tableau.

We will construct the irreducible representations of G by inducing the trivial and sign representation from the groups P and Q. None of them is irreducible, but they share a unique irreducible component. Everything will be based on the following combinatorial lemma, which is the first thing that you are asked to prove:

**Lemma 1.** If  $\lambda, \mu$  are two partitions of d, and  $(\sigma, \tau)$  is a pair consisting of a  $\lambda$ -partition  $\sigma$  of  $\Sigma$  and a  $\mu^*$ -partition  $\tau$  of  $\Sigma$  with no pair (k, l) of elements of  $\Sigma$  in the same subset of  $\sigma$  and of  $\tau$ , then  $\tau$  is the refinement of a  $\lambda^*$ -partition of  $\Sigma$ ; that is, there is a Young tableau, whose rows are the subsets of the partition  $\sigma$ , and whose columns are unions of subsets of the partition  $\tau$ .

In particular,  $\mu^* \leq \lambda^*$ , or equivalently,  $\mu \geq \lambda$ , in the lexicographic order, i.e.,  $\mu = \lambda$  or at the first index i where  $\mu_i \neq \lambda_i$  we have  $\mu_i > \lambda_i$ .

If  $\mu = \lambda$  and there is no pair (k, l) in the same subset of  $\sigma$  and of  $\tau$ , then  $\sigma, \tau$  are the rows, resp. columns, of a single Young tableau.

Now, we let  $M_{\lambda}$ , resp.  $A_{\lambda}$ , be the *G*-equivariant complex line bundles over the (discrete) space  $\Sigma_{\lambda}$  which are induced, respectively, from the trivial, resp. sign character, of the stabilizers. Explicitly, sections of  $M_{\lambda}$ are left-*P*-invariant functions on *G*, i.e., left-*P*-invariant elements of  $\mathbb{C}[G]$ , while sections of  $A_{\lambda}$  are functions on *G* which vary by the sign character under left translation by *P*. For notational simplicity, we will identify the bundles with their space of sections. The next exercise is just asking you to recall the concept of induction:

**Lemma 2.** Show that (the spaces of sections of)  $M_{\lambda}$ ,  $A_{\lambda}$  are the induced representations  $Ind_{P}^{G}(1)$ ,  $Ind_{P}^{G}(sgn)$ , using the universal property of induction as the definition.

Now we come to the beef:

**Proposition 3.** We have  $dimHom_G(M_{\lambda}, A_{\lambda^*}) = 1$ .

If  $\lambda > \mu$  (in the lexicographic order), we have dimHom<sub>G</sub>( $M_{\lambda}, A_{\mu^*}$ ) = 0.

To prove it, think of (not necessarily *G*-equivariant) maps from  $M_{\lambda}$  to  $A_{\lambda^*}$  as "kernel functions": Those are sections, over  $\Sigma_{\lambda} \times \Sigma_{\mu^*}$ , of the line bundle  $L := M_{\lambda} \otimes A_{\mu^*}$ , where a kernel function *K* corresponds to the operator

$$T_K(f)(y) = \sum_{x \in \Sigma_{\lambda}} f(x) K_f(x, y).$$

Then, the space of G-morphisms  $\operatorname{Hom}_G(M_\lambda, A_{\mu^*})$  is identified with the space of  $G^{\operatorname{diag}}$ -invariant sections of L. Use Lemma 1 to deduce that, when  $\lambda > \mu$ , there are no nonzero invariant kernels, and when  $\lambda = \mu$ , there is a one-dimensional space of invariant kernels, supported on the unique G-orbit corresponding to Young tableaux.

Finally, use the previous proposition to prove:

**Theorem 4.** The image of a nonzero G-morphism  $M_{\lambda} \to A_{\lambda^*}$  is an irreducible representation  $V_{\lambda}$ . For  $\lambda, \mu$  different partitions,  $V_{\lambda}, V_{\mu}$  are non-isomorphic, and these are all the irreducible representations of  $S_d$ .

10. In this exercise, we establish Schur–Weyl duality, which refers to a correspondence between representations of symmetric groups and general linear groups (or their Lie algebras), realized inside the tensor powers  $V^{\otimes^d}$  of a vector space.

It is based on the following theorem from linear algebra, which you are asked to prove:

**Theorem 5** (Double centralizer theorem). If V is a finite-dimensional complex vector space,  $A \subset End_B(V)$  is a semisimple subalgebra of operators, and  $B = End_A(V)$  is its commutant, then

- (a) B is semisimple.
- (b)  $A = End_B(V)$ .
- (c) There is a bijection  $M_i \leftrightarrow N_i$  between isomorphism classes of simple A-modules and isomorphism classes of simple B-modules, and an isomorphism of  $A \otimes B$ -modules

$$V = \bigoplus_i M_i \otimes N_i.$$

To start the proof, let  $M_i$  range over all isomorphism classes of simple A-modules. Since V is A-semisimple,

$$V = \bigoplus_{i} M_i \otimes \operatorname{Hom}_A(M_i, V), \tag{1}$$

and  $N_i = \text{Hom}_A(M_i, V)$  is a module for the centralizer *B* of *A*. Now use the Artin–Wedderburn theorem, and Schur's lemma, to explicitly identify *B*, and its centralizer, inside of End(V).

Now we apply this to  $S_d$  and  $\mathfrak{gl}(V)$ :

**Theorem 6** (Schur–Weyl duality). Consider the space  $V^{\otimes^d}$  under the commuting actions of  $S_d$  and  $\mathfrak{gl}(V)$ , i.e., as a representation of the algebra  $A \otimes B$ , where  $A = \mathbb{C}[S_d]$  and  $B = U(\mathfrak{gl}(V))$ . Then, the images  $\overline{A}$ ,  $\overline{B}$  of A and B in  $End(V^{\otimes^d})$  are each others' commutants, that is,

$$\bar{A} = End_B(V^{\otimes^d}), \text{ and}$$
  
 $\bar{B} = End_A(V^{\otimes^d}).$ 

We have a decomposition

$$V^{\otimes^d} = \bigoplus_{\tau} \tau \otimes \theta(\tau), \tag{2}$$

where  $\tau$  ranges all isomorphism classes of irreducible representations of  $S_d$ , and the  $\theta(\tau) := \operatorname{Hom}_{S_d}(\tau, V^{\otimes^d})$  are either zero, or distinct irreducible representations of  $\mathfrak{gl}(V)$ .

Notice that the action of  $\mathfrak{gl}(V)$  on  $V^{\otimes^d}$  is defined as we define tensor products of representations of Lie algebras, i.e., the image of  $e \in \mathfrak{gl}(V)$  in  $\operatorname{End}(V^{\otimes^d})$  is the element  $\mathcal{S}_d e := \sum_{i=1}^d 1 \otimes \cdots \otimes e$  (*i*-th factor)  $\otimes \cdots \otimes 1$ .

Since both subalgebras are semisimple (complete reducibility), by Theorem 5 it is enough to prove the second claim.

Do some linear algebra to identify  $\operatorname{End}_A(V^{\otimes^d})$  with the *d*-th symmetric power of the endomorphism ring (viewed as a vector space),  $S^d \operatorname{End}(V)$ . The *d*-th symmetric power of any vector space *E* is spanned by the symmetric tensors  $e \otimes \cdots \otimes e$ , for  $e \in E$ . Use the theory of symmetric polynomials to deduce that, for all  $e \in \operatorname{End}(V)$ , the element  $e \otimes \cdots \otimes e$  is in the subalgebra generated by the images  $S_d(x)$  of elements  $x \in \mathfrak{gl}(V)$ .

Finally, prove:

**Lemma 7.** Every irreducible representation of  $\mathfrak{gl}_n$  restricts irreducibly to  $\mathfrak{sl}_n$ .

*Proof.* We have  $\mathfrak{gl}_n = \mathfrak{z} \oplus \mathfrak{sl}_n$ , where  $\mathfrak{z}$  is the center, but the center acts by a scalar, by Schur's lemma, so any  $\mathfrak{sl}_n$ -invariant subspace is also  $\mathfrak{gl}_n$ -invariant.

Therefore, the irreducible representations of  $\mathfrak{gl}_n$  constructed in Theorem 6 are also irreducible for  $\mathfrak{sl}_n$ .

11. This exercise combines the previous two.

For the Lie algebra  $\mathfrak{gl}_n$  with the standard Cartan of diagonal elements and the standard Borel of upper triangular elements, the dominant, integral weights are of the form

$$\operatorname{diag}(z_1, z_2, \dots, z_n) \mapsto \lambda_1 z_1 + \dots + \lambda_n z_n,$$

with  $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n$  some integers.

For the Lie algebra  $\mathfrak{sl}_n$ , the positive, integral weights are described similarly, except that the  $\lambda_i$ 's are determined modulo the operation of adding the same constant to all of them. To reduce ambiguity, we can always take  $\lambda_n = 0$  (but won't be doing that).

Prove that, if  $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n \geq 0$  are integers,  $d = \sum_i \lambda_i$ ,  $\tau = V_{\lambda}$  is the irreducible representation of  $S_d$  constructed in Exercise 9 (ignoring the  $\lambda_i$ 's which are zero), and V is an n-dimensional vector space, then the irreducible representation  $\theta(\tau)$  of Schur–Weyl duality (2) has heighest weight  $\lambda$ .

On the other hand, if  $\lambda_n > 0$  and V is a vector space of dimension < n, then  $\theta(\tau) = 0$ , i.e.,  $\tau$  does not appear in the decomposition of  $V^{\otimes^d}$ . [Some hints for this exercise will be added later.]