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Mod  $p$  Galois Reps and  
Abelian Varieties

Shiva Chidambaram

Junior Number Theory Days

December 4, 2020

## Preliminaries

$A$  - principally polarized abelian variety  
over  $\mathbb{P}$  of dim  $g$

Then,  $A[p] \simeq \mathbb{F}_p^{2g}$  as a vector space.

The polarization  $(\lambda: A \rightarrow A^\vee)$  induces a  
non-degenerate, alternating, bilinear  
pairing  $A[p] \times A[p] \rightarrow \mu_p$

Eg:  $g=1$ .  $E$  - elliptic curve over  $\mathbb{P}$ .

$$E[p] \simeq (\mathbb{Z}/p)^2$$

$$\rho_{E,p}: G_{\mathbb{P}} \rightarrow GL(2, \mathbb{F}_p)$$

$\det \rho_{E,p} = \chi_p =$  the mod  $p$   
cyclotomic  
character.

~~$$\rho_{E,p} = \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix}$$~~

Galois action on the torsion subgroup  $A[p]$  gives a mod- $p$  rep

$$\rho_{A,p} : G_{\mathbb{Q}} \longrightarrow \mathrm{GSp}(2g, \mathbb{F}_p)$$

If  $\chi_{\mathrm{sim}} : \mathrm{GSp}(2g, \mathbb{F}_p) \rightarrow \mathbb{F}_p^\times$  is the similitude character, then

$$\chi_{\mathrm{sim}} \circ \rho_{A,p} = \chi_p$$

because the pairing is equivariant wrt Galois action.

**Question**: Given a rep  $\rho : G_{\mathbb{Q}} \rightarrow \mathrm{GSp}(2g, \mathbb{F}_p)$

with  $\chi_{\mathrm{sim}} \circ \rho = \chi_p$ , does it arise from

an abelian variety over  $\mathbb{Q}$ ?

If yes, can we find all such abelian varieties?

	$p=2$	3	5	7	11	13	...
$g=1$	Yes						
2	Yes						
3	Yes						
4							
5							
⋮							

Not necessarily



Exactly the pairs  $(g, p)$  for which  $\mathcal{A}_g(p)$  is geometry rational.

$X(p)$  and all its twists  
 $X(e)$  are  $\simeq \mathbb{P}_{\mathbb{F}}^1$ .

Examples of modular  
 Galois reps which  
 fail Hasse bound

	$p=2$	3	5	7		$\dots$
$g=1$	[Rubin-Silverberg]			[Dieulefait] [Calegari]		
2	●					
3	●					
4	●					
⋮	●					
⋮	●					

[Calegari-C-Roberts]

Explicit formulae  
 describing all abelian  
 surfaces with fixed  
 3-torsion

[C]

There exist mod- $p$  Galois  
 reps not arising  
 from abelian varieties

# Part 1

$$\underline{(g,p) = (1,3)}$$

$X(3)$  = modular curve for full 3-level structure, i.e.,  $\rho_0 = \mathbb{Z}/3 \oplus \mu_3$

$$\begin{array}{ccc} X(3) & \xrightarrow{\sim} & \mathbb{P}_{\mathbb{F}}^1 \\ \psi & & \\ \gamma & \longmapsto & (U(\gamma) : V(\gamma)) \end{array}$$

where  $\{U, V\}$  is a basis of the space of modular forms of wt 1, level  $\Gamma(3)$

For a given  $\rho: G_{\mathbb{F}} \rightarrow GL_2(\mathbb{F}_3)$  with  $\det \rho = \chi_3$ ,  $X(\rho)$  is a twist of  $X(3)$ .

The coh. class  $\in H^1(G_{\mathbb{F}}, PGL(2, \bar{\mathbb{F}}))$  representing the twist comes from a class  $\in H^1(G_{\mathbb{F}}, SL(2, \mathbb{F}_3))$  as

$$\begin{array}{ccc} & SL(2, \mathbb{F}_3) & \\ & \downarrow & \\ \text{Aut}(X(3)) = \text{PSL}(2, \mathbb{F}_3) & \longrightarrow & PGL(2, \bar{\mathbb{F}}) \end{array}$$

So, by Hilbert 90, the class is trivial and

$$\begin{array}{ccc} X(\rho) & \xrightarrow{\sim} & \mathbb{P}_{\mathbb{F}}^1 \\ \downarrow \psi & & \\ \mathcal{X} & \xrightarrow{\sim} & (U'(\mathcal{X}) : V'(\mathcal{X})) \end{array}$$

The new coordinates  $U', V'$  are  $\bar{\mathbb{F}}$ -linear combinations of  $U$  and  $V$ .

$$\text{i.e., } U', V' \in \bar{\mathbb{F}} \otimes \{U, V\}$$

By Weierstrass uniformization,

$$\mathcal{H} \ni \mathcal{X} \longleftrightarrow \mathbb{C} / \underbrace{\mathbb{Z} \oplus \mathbb{Z} \cdot \mathcal{X}} \xrightarrow{\sim} E_{\mathcal{X}} : y^2 = x^3 + 432 E_4(\mathcal{X}) x + 3456 E_6(\mathcal{X})$$

and the fact that  $\mathbb{P}[U, V] \stackrel{SL(2, \mathbb{F}_3)}{=} \mathbb{P}[E_4, E_6] = \text{modular forms of level 1,}$

we get

Thm (Lario-Rio) Let  $E : y^2 = x^3 + ax + b$ . Then for any  $(s:t) \in \mathbb{P}^1(\bar{\mathbb{F}})$  the ell. curve  $E_{s,t} : y^2 = x^3 + A(a,b,s,t)x + B(a,b,s,t)$  has isomorphic 3-torsion rep. for

$$\begin{aligned} 3A(a,b,s,t) &= 3as^4 + 18bs^3t - 6a^2s^2t^2 - 6abst^3 - (a^3 + 9b^2)t^4 \\ 9B(a,b,s,t) &= 9bs^6 - 12a^2s^5t - 45abs^4t^2 - 90b^2s^3t^3 + 15a^2bs^2t^4 \\ &\quad - 2a(2a^3 + 9b^2)st^5 - 3b(a^3 + 6b^2)t^6 \end{aligned}$$



$(g, p) = (2, 3)$ : Let  $P: G_{\mathbb{F}} \rightarrow GSp(4, \mathbb{F}_3)$  with  $\chi_{\text{sim}} \circ P = \chi_3$ .

Even though  $\mathcal{V}_2(3)$  is rational ( $\cong \mathbb{P}_{\mathbb{F}}^1$ ),

Subtlety: we have to pass to a degree 6 cover  $\mathcal{V}_2^w(3)$  to have equivariant rationality.

Take-aways • There is an analogous 4-dim irrep

from

$(g, p) = (1, 3)$ :

$$\pi: Sp(4, \mathbb{F}_3) \rightarrow GL(4, \overline{\mathbb{F}})$$

- Let  $L = \overline{\mathbb{F}}^{\ker P}$ . The new coordinates parametrizing  $\mathcal{V}_2^w(P)$  are  $L$ -linear combinations of the coordinates parametrizing  $\mathcal{V}_2^w(3)$ .

This suggests that we try

to find  $\pi^v$ -isotypical component inside  $L$

because for any  $G$ -irrep  $\pi$ , we have

$$(\mathbb{F}[G] \otimes \pi)^{G_1} = \left[ \left( \begin{array}{c} \pi^v\text{-isotypical comp.} \\ \text{of } \mathbb{F}[G] \end{array} \right) \otimes \pi \right]^{G_1}$$

# Lucky coincidences

1. If  $C: y^2 = x^5 + ax^3 + bx^2 + cx + d$  is a curve of genus 2 s.t.  $\text{Jac}(C)[\mathbb{Z}] \cong \mathcal{P}$ , then Shioda's work on Mordell-Weil lattices gives a polynomial

$$P_{240}(x) = x^{240} + 15120ax^{228} + 2620800bx^{222} + \dots$$

whose roots generate a copy of  $\pi^\vee \subset L$ .

2.  $\pi$  and  $\pi^\vee$  extend to complex reflection representations of  $\text{Sp}(4, \mathbb{F}_3) \times \mathbb{Z}/3$ .

This is good because invariant theory of complex reflection groups is very nice.

If  $(G_1, V)$  is a complex reflection group, then  $\text{Sym}(V)^{G_1}$  is a polynomial algebra and

$$\text{Sym}(V) / \text{Sym}(V)^{G_1} \simeq \mathbb{C}[G_1].$$

Invariant theory  $\Rightarrow$  The copies of  $\pi^\vee$  inside  $\text{Sym}(\pi^\vee)$  are in degrees 1, 7, 13, 19.

$\mathcal{M}_2^w(\mathbb{F})$  - moduli space of curves  $C$  of genus 2 with a Weierstrass point and a symplectic isomorphism  $P \simeq \text{Jac}(C)[3]$

Thm (Calegari - C-Roberts)

Let  $C: y^2 = x^5 + ax^3 + bx^2 + cx + d$  be a smooth genus 2 curve over  $\mathbb{F}$ . Let  $P = \text{Jac}(C)[3]$ .

Then  $\mathcal{M}_2^w(\mathbb{F}) = \text{Proj } \mathbb{F}[s, t, u, v] \setminus \underbrace{Z_{a,b,c,d}}_{\substack{\text{a discriminant} \\ \text{locus}}}$

Furthermore, there are explicit polynomials  $A, B, C, D \in \mathbb{F}[a, b, c, d, s, t, u, v]$  homogenous of degrees 12, 18, 24, 30 in the variables  $s, t, u, v$  parametrizing all such curves giving rise to isomorphic 3-torsion representation.

$$(s:t:u:v) \longleftrightarrow C_{\text{new}}: y^2 = x^5 + Ax^3 + Bx^2 + Cx + D$$

$$\mathbb{P}^3(\mathbb{F})$$

## Remarks:

1. This describes the universal curve over  $\mathcal{M}_2^w(\mathbb{P})$ .
2. When  $(s:t:u:v) = (1:0:0:0)$ ,  $C_{\text{new}} = C$ .
3. The polynomials are homogenous of weight zero wrt the weight assignment  $(12, 18, 24, 30, -1, -7, -13, -19)$  to  $(a, b, c, d, s, t, u, v)$ .
4. The polynomials are huge:
  - they have 14604, 112763, 515354 and 1727097 terms respectively.
  - largest absolute value of all numerators is  $\approx 10^{45}$ .
  - the coefficients are in  $\mathbb{Z}[\sqrt{5}]$ .

## Corollary:

Together with [Boxer-Calegari-Gee-Pilloni], this allows us to produce infinitely many examples of modular abelian surfaces with  $\text{End}_{\mathbb{C}} = \mathbb{Z}$

## Part 2:

Thm (C): Let  $g \geq 2$  and  $(g, p) \neq (2, 2), (2, 3), (3, 2)$ .

Then there exists  $\rho: G_{\mathbb{F}} \rightarrow GSp(2g, \mathbb{F}_p)$  with  $\chi_{\text{sim}} \circ \rho = \chi_p$   
not arising from any abelian variety.

Proof Sketch:

**Step 1: Inertial condition.**

$A$  -  $g$  dim abelian variety /  $\mathbb{F}$ .

$\rho = \rho_{A, p}$ .  $l \neq p$  is a prime.

Semistable reduction theorems:

\*  $A$  attains semistable reduction at  $l$  over  $\mathbb{F}(A[m])$  for  $m \geq 2$  and  $l \nmid m$ .

\* If  $A$  has semistable reduction at  $l$  over the field  $K$ , then  $I_{K, l}$  acts unipotently on  $A[p]$

In particular,  $|\rho_{A, p}(I_{K, l})|$  is a power of  $p$ .

So, prime-to- $p$  part of  $|\rho(I_l)|$  must divide  $|GSp(2g, \mathbb{F}_q)|$  for all primes  $q > 2$ ,  $q \neq l$ .

So, prime-to- $p$  part of  $|\rho(I_l)|$  must divide  $K_g = \prod_{\substack{q \text{ prime} \\ q > 2}} |GSp(2g, \mathbb{F}_q)|$

Lemma: All the primes dividing  $K_g$  are less than or equal to  $2g+1$ .

Strategy:

**Step 2**: Construct small subgrps  $G \subset \mathrm{GSp}(2g, \mathbb{F}_p)$  with some large prime  $q$  dividing  $|G|$ .  
( $> 2g+1$ )

**Step 3**: Realize  $G$  as

$$G \xrightarrow{\sim} \mathrm{Gal}(K/\mathbb{F}) \quad \text{with}$$

$$|I_{K, \ell}| = q \quad \text{for some prime } \ell.$$

Step 2

$$l = \mathbb{F}_{p^{2g}} \quad k = \mathbb{F}_{p^g} \quad l \simeq k \oplus k \text{ as } k\text{-vector spaces.}$$

$$\Lambda: l \times l = k^2 \times k^2 \longrightarrow k \xrightarrow{\text{Tr}} \mathbb{F}_p$$

$$\begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} c \\ d \end{pmatrix} \longmapsto ad - bc$$

induces an inclusion  $SL(2, k) \subset Sp(2g, \mathbb{F}_p)$ .

Non-split Cartan:

$$l^\times \subset GL(2, k)$$

$\cup$

$$C = l^\times_{Nm_k \in \mathbb{F}_p^\times} \subset GL(2, k) \xrightarrow{\det \in \mathbb{F}_p^\times} \subset GSp(2g, \mathbb{F}_p)$$

$\cup$

$\cup$

$\cup$

$$l^\times_{Nm_k=1} \subset SL(2, k) \subset Sp(2g, \mathbb{F}_p)$$

$C$  is cyclic of order  $e = (p^g + 1)(p - 1)$ .

$$N = \text{Normalizer}(C) = \left\langle x, y \mid \begin{matrix} x^e = y^{4g} = 1, \\ x^{e/2} = y^{2g}, \\ yxy^{-1} = x^p \end{matrix} \right\rangle$$

$$(*) \quad 0 \rightarrow [N, N] = \mathbb{Z}/p^g \rightarrow N \rightarrow N^{ab} = \mathbb{Z}/p-1 \times \mathbb{Z}/2g \rightarrow 0 \quad (*)$$

Since  $GSp(2g, \mathbb{F}_p) \supset GSp(2d, \mathbb{F}_p)$  for  $1 \leq d \leq g$ ,  
we actually have groups  $N$  of order  
 $(p^d+1)(p-1)2d$  for each  $1 \leq d \leq g$ .

Zsigmondy's thm says that each number in  
the sequence  $p+1, p^2+1, p^3+1, \dots, p^g+1$   
has a new prime factor (except for  $p=2$   
 $3, 5, 9, 17, 33, \dots$ )

If  $g > 6$ , then  $\pi(2g+1) < g$ .

So, one of these  $p^d+1$  has a prime factor  
 $q > 2g+1$ , and we proceed to **Step 3**  
with the corresponding group  $N$  of order  
 $(p^d+1)(p-1)2d$ .



### Step 3

We want  $\rho: G_{\mathbb{Q}} \rightarrow N$  s.t.

$$\begin{array}{ccccccc}
 & & & & G_{\mathbb{Q}} & & \\
 & & & & \swarrow & & \\
 0 & \rightarrow & \mathbb{Z}/p^{g+1} & \rightarrow & N & \rightarrow & \mathbb{Z}/p^{-1} \times \mathbb{Z}/2g \rightarrow 0 \\
 & & & & & & \downarrow \\
 & & & & & & \mathbb{Z}/p^{-1} \leftarrow \chi_p
 \end{array}$$

and s.t.  $|\rho(I_{\ell})| = q$ .

Embedding problem:

$$\begin{array}{ccccccc}
 & & & & G_{\mathbb{Q}} & & \\
 & & & & \downarrow \phi & & \\
 \text{Given} & & & & & & \text{s.t. } \Gamma = \text{Gal}(K/\mathbb{Q}), \\
 0 & \rightarrow & A & \rightarrow & G_{\Gamma} & \rightarrow & \Gamma \rightarrow 0
 \end{array}$$

does there exist  $\ell | k$  s.t.

$$\begin{array}{ccc}
 \text{Gal}(\ell/\mathbb{Q}) & \xrightarrow{\tilde{\phi}} & G_{\Gamma} \\
 \downarrow & \wr & \downarrow \\
 \text{Gal}(k/\mathbb{Q}) & \longrightarrow & \Gamma \quad ?
 \end{array}$$

If yes,  $\tilde{\phi}$  is called a solution.

If  $\text{Gal}(\ell/\mathbb{Q}) \cong G_{\Gamma}$ ,  $\tilde{\phi}$  is called a proper solution.

## General approach to embedding problems:

- The ses corresponds to a class  $\xi \in H^2(\Gamma, A)$ .
- A solution exists if and only if
$$\phi^* \xi = 0 \in H^2(G_{\mathbb{Q}}, A).$$

1. Choose a cyclic number field  $F$  of deg  $2g$  s.t.  $\phi: G_{\mathbb{Q}} \rightarrow \text{Gal}(F(\zeta_p)/\mathbb{Q}) \simeq \mathbb{Z}/p-1 \times \mathbb{Z}/2g$
2. Choose  $F$  so that all local obstructions  $\text{res}_\ell(\phi^* \xi) \in H^2(G_{\mathbb{Q}_\ell}, A)$  are zero.
3. Show Hasse principle holds.

$$\ker(H^2(G_{\mathbb{Q}}, A) \rightarrow \prod_{\ell} H^2(G_{\mathbb{Q}_\ell}, A)) = 0.$$

- Solution space is a homogenous space over  $H^1(G_{\mathbb{Q}}, A)$ . So, we twist using classes in  $H^1(G_{\mathbb{Q}}, A)$  to try to obtain a proper solution.

Choose appropriate local classes  $H^1(G_{\mathbb{Q}_\ell}, A)$  so that twisting gets us properness, and also  $|\mathcal{P}(\mathcal{I}_\ell)| = q$

Thank You