

**SOLUTIONS FOR: Explorations Unlimited Round – Additive Number Theory**  
**Saturday, February 18, 2013**

1. SEQUENCES

**Problem 1** (Sequence Problem: Euler's Continued Fraction). Consider the monotone decreasing sequence given by  $a_0 = 1$ ,  $a_n = \frac{1}{1+a_{n-1}}$ . As  $n$  becomes very large (goes to infinity), what happens to  $a_n$ ? *You do not need calculus to solve this problem:* this is the same as solving for  $x$  in

$$1 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \dots}}} = x$$

**SOLUTION:**

We can write this as:

$$1 + \frac{1}{1 + 1 + \frac{1}{2 + \frac{1}{2 + \dots}}} = x$$

And since  $x = \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \dots}}}$ , we can write this as:

$$1 + \frac{1}{1 + x} = x$$

Putting everything to the same denominator, we get:

$$\frac{(1 + x + 1)}{1 + x} = x$$

$$x + 2 = x + x^2$$

$$0 = x^2 - 2$$

$$2 = x^2$$

$$x = \sqrt{2}$$

So we know that  $1 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \dots}}}$  goes to (converges to)  $\sqrt{2}$ .

**Problem 2** (Uniqueness of Infimum). Prove that if a sequence  $S$  has an infimum, which we will call  $a_n$ , then this infimum is unique.

**SOLUTION:**

Let  $a$  be an infimum of a sequence  $S$ .

Assume, for the sake of contradiction, that the infimum of  $S$  is not unique; that is, there is a  $b$  that is also an infimum of a sequence  $S$ .

Then  $a = b$ , or  $a < b$ , or  $a > b$ . If  $a < b$ , then  $b$  is not a lower bound of  $S$ . If  $a > b$ , then  $a$  is not a lower bound of  $S$ . So  $a = b$ , but then the lower bound is unique, a contradiction. So the infimum of a sequence  $S$  is unique.

**Problem 3.** Let  $S^{(1)} = \{0, 1\}$ ;  $S^{(2)} = \{0, 2\}$ . Compute  $S^{(1)} + S^{(2)}$ .

**SOLUTION:**

$$S^{(1)} + S^{(2)} = \{0, 1, 2, 3\}$$

They **do not** need to show work.

We had  $0 + 0 = 0$ ,  $0 + 2 = 2$ ,  $1 + 0 = 1$ ,  $1 + 2 = 3$ .

**Problem 4** (Sumset Lower Bound). Let  $A$  and  $B$  be non-empty sets.

- Prove that  $\min(|A|, |B|) \leq |A + B| \leq |A||B|$ .
- Explicitly give two sets  $A \subset \mathbb{N}$  and  $B \subset \mathbb{N}$  such that  $|A + B| = \min(|A|, |B|)$ .

**SOLUTION:**

- We compute every addition of the form  $a + b$  with  $a \in A$  and  $b \in B$ , and we **keep** repeats, so we will have at least  $\min(|A|, |B|)$  elements. The upper bound is obtained by noting that there are  $|A|$  choices for  $a$  and  $|B|$  choices for  $b$ , giving a maximum number of permutations at  $|A||B|$ .
- For example,  $A = \{0\}$  and  $B = \{0\}$ . We can check:  $A + B = \{0\}$ , so  $|A + B| = 1$ .  $|A| = 1$ ,  $|B| = 1$ ,  $\min(|A|, |B|) = 1$ .

## 2. BASIS AND DENSITY OF A SEQUENCE

**Problem 5.** Prove that  $0 \leq \frac{A(n)}{n} \leq 1$  for all  $n \neq 0$ .

**SOLUTION:**  $A(n)$  is at most  $n$ , because  $A$  is a sequence of natural numbers and  $A(n)$  is the number of natural numbers in the sequence  $S$  which are smaller or equal to  $n$ , which can only be equal to or less than the number of natural numbers in the interval  $[0, n]$ . So  $\frac{A(n)}{n}$  is at most 1. If  $A$  is the empty sequence, then  $A(n) = 0$ , and this is as low as  $A(n)$  can go. So  $\frac{A(n)}{n} = \frac{0}{n} = 0$  is as low as  $\frac{A(n)}{n}$  can go. So  $0 \leq \frac{A(n)}{n} \leq 1$ .

**Problem 6.** Prove that if  $S$  is a basis of order  $k$  and  $0 \in S$ , then  $mS$  is a basis for any  $m \geq k$ .

**SOLUTION:**

$S$  is a basis of order  $k$ , so  $kS$  contains all the natural numbers including 0. Now  $c \in \{kS + S\}$  is of the form  $c = a + b$  with  $a \in kS$  and  $b \in S$ . Since  $0 \in S$ , let  $b = 0$ ; we now have all elements in  $kS$ , in  $kS + S$ . So  $kS + S$  has all the natural numbers. Because  $0 \in S$ , we can keep adding  $S$  and we never lose the elements that are in  $kS$ . It follows that  $kS + nS$  has all natural numbers, or, written differently, that  $mS$  is a basis for any  $m \geq k$ .

**Problem 7** (Density and natural numbers). Prove that a sequence  $S$  contains every natural number if and only if  $d(S) = 1$ .

**SOLUTION:**

If  $S$  contains every natural number, then  $S(n) = n$  for all  $n$ . Then for all  $n$ ,  $\frac{S(n)}{n} = \frac{n}{n} = 1$ . So  $d(S) = 1$ .

Now assume  $d(S) = 1$ . Then by definition there exists an  $m \in S$  such that  $\frac{S(m)}{m} = d(S)$ , and this  $m$  minimizes  $\frac{S(m)}{m}$  by definition of density. So we have:  $1 = \frac{S(m)}{m}$ , and this is the smallest value of  $\frac{S(n)}{n}$  possible. So  $S(n) \geq n$ , and by definition of  $S(n)$  this means that there are at least  $n$  natural numbers in every interval from 0 to  $n$  for every  $n$ , so  $S$  contains every natural number.

So  $S$  contains every natural number if and only if  $d(S) = 1$ .

## 3. SEVERAL RESULTS BY SCHNIRELMANN

**Problem 8** (Schnirelmann's Inequality). To streamline notation, let:  $d(A) = \alpha, d(B) = \beta, A + B = C, d(C) = \gamma, l = a_{k+1} - a_k - 1$ . Let  $B(l)$  be the number of numbers of  $B$  in the segment  $[1, l]$ . Let  $A(n)$  be the number of natural numbers in  $A$  that appear in the segment  $[1, n]$ . The key to this proof is to break down the segment  $[1, n]$  into two parts: elements in  $A$ , and segments between such elements (which are not in  $A$ ).

- Prove that  $C(n) \geq A(n) + \Sigma B(l)$ , with  $\Sigma B(l)$  meaning the sum of  $B(l)$ 's for every segment of elements that are not in  $A$  that are between two elements in  $A$ . (Remember, we broke up the interval  $[1, n]$  into different parts.)
- Prove that  $B(l) \geq \beta l$ .
- Use this to prove that  $C(n) \geq A(n) + (n - A(n))\beta$ .
- Use a similar method from (2) and (3) to prove that:  $C(n) \geq \alpha n(1 - \beta) + n\beta$ .
- Use this to prove that  $\frac{C(n)}{n} \geq \alpha + \beta - \alpha\beta$ , which completes our proof.

**SOLUTION:**

- Consider the segment  $[1, n]$ ,  $n \in \mathbb{N}$ .  
 $[1, n]$  has elements in  $A$ , and intervals of elements not in  $A$  in between.  
This has  $A(n)$  numbers from  $A$ , also appearing in  $C$ .  
Now consider  $[a_k, a_{k+1}]$ . This has at least  $B(l)$  numbers from  $B$ , because all numbers of the form  $a_k + r$  where  $r$  is in  $B$  appear in this interval (they are in  $C$  and are in this interval), and there are as many as there are numbers of  $B$  in the segment  $[1, l] : a_{k+1}, a_{k+2}, \dots, a_{k+l}$ .  
So  $C(n) \geq A(n) + \Sigma B(l)$  with the summation being over all segments which are free of the numbers appearing in  $A$ .
- By definition,  $B(l)$  is the number of natural numbers in  $B$  which do not exceed  $l$ , so  $B(l) \leq l$ .  
 $d(B) = \beta$  is the density of the sequence  $B$ . Let  $h$  be the number such that  $\frac{B(h)}{h} = d(B) = \beta$ .  
Consider:  $d(B) = \frac{B(h)}{h}(l)$ . We know that for any density, we have:  $0 \leq d(B) \leq 1$ . So  $\frac{B(h)}{h}(l) \leq l$ .  
And we had  $B(l) \leq l$ , so we have  $\frac{B(h)}{h}(l) \leq B(l)$ . Re-packing the notation on the left side gives us our desired result:  $\beta l \leq B(l)$ .
- We have  $C(n) \geq A(n) + \Sigma B(l)$  and  $B(l) \geq \beta l$ , so  $C(n) \geq A(n) + \beta \Sigma l$ .  
And  $\Sigma l$  is the sum of the lengths of all the segments free of elements in  $A$ , which is equal to the number of numbers of the segment  $[1, n]$  which are not in  $A$ . This is given by  $n - A(n)$ . So  $C(n) \geq A(n) + (n - A(n))\beta$ .
- We just need to prove  $\alpha n \leq A(n)$ . We proceed just like in 2).  
By definition,  $A(n)$  is the number of natural numbers in  $A$  which do not exceed  $n$ , so  $A(n) \leq n$ .  
 $d(A) = \alpha$  is the density of the sequence  $A$ . Let  $y$  be the number such that  $\frac{A(y)}{y} = d(A) = \alpha$ .  
Consider:  $d(A) = \frac{A(y)}{y}(n)$ . We know that for any density, we have:  $0 \leq d(A) \leq 1$ . So  $\frac{A(y)}{y}(n) \leq n$ .  
And we had  $A(n) \leq n$ , so we have  $\frac{A(y)}{y}(n) \leq A(n)$ . Re-packing the notation on the left side, we have:  $\alpha n \leq A(n)$ .  
Now with that last inequality and the one in 3), we get:  $C(n) \geq \alpha n - \alpha \beta n + n \beta$ , which after factoring, gives us  $C(n) \geq \alpha n(1 - \beta) + n \beta$ .
- We start with:  $C(n) \geq \alpha n(1 - \beta) + n \beta$ .  
Since the sequences are composed of natural numbers,  $n > 0$ . [this is very important, make sure they have this for full points]  
So  $\frac{C(n)}{n} \geq \alpha(1 - \beta) + \beta$ . Expanding, we have:  $\frac{C(n)}{n} \geq \alpha - \alpha \beta + \beta$ , which is what we needed.

**Problem 9** (Generalized Schnirelmann's Inequality). Note that we can rearrange Schnirelmann's Inequality as such:  $1 - d(A + B) \leq (1 - d(A))(1 - d(B))$

Prove the case for an arbitrary number of summands:  $1 - d(A_1 + A_2 + \dots + A_k) \leq \prod_{i=1}^k (1 - d(A_i))$

**SOLUTION:**

We take care of a special case, and then we proceed by induction on  $k$ .

First note that if  $1 - d(A_i) = 0$ , then we have  $0 = 0$ , so  $1 - d(A_1 + A_2 + \dots + A_k) \leq \prod_{i=1}^k (1 - d(A_i))$ . So we can assume  $1 - d(A_i)$ , for all  $i$ , is non-zero.

**Base case  $k = 2$ :** this is Schnirelmann's Inequality, which we have just proven.

**Induction hypothesis:**  $1 - d(A_1 + A_2 + \dots + A_k) \leq \prod_{i=1}^k (1 - d(A_i))$

**Induction step  $k + 1$ :** We can write  $A_1 + A_2 + \dots + A_k + A_{k+1} = A'_k + A_{k+1}$ . By base case,  $A'_k + A_{k+1} \leq (1 - d(A'_k))(1 - d(A_{k+1}))$ . By induction hypothesis,  $1 - d(A'_k) \leq \prod_{i=1}^k (1 - d(A_i))$ . Combining both inequalities gives us:  $A'_k + A_{k+1} \leq \prod_{i=1}^k (1 - d(A_i))(1 - d(A_{k+1}))$ . Which gives us our desired result,  $1 - d(A_1 + A_2 + \dots + A_k + A_{k+1}) \leq \prod_{i=1}^{k+1} (1 - d(A_i))$ .

This completes our inductive step, which completes our proof, giving us:

$$1 - d(A_1 + A_2 + \dots + A_k) \leq \prod_{i=1}^k (1 - d(A_i))$$

**Problem 10** (Schnirelmann's Lemma). Prove that for two sequences  $A$  and  $B$  with  $0 \in A \cap B$ , if  $A(n) + B(n) \geq n - 1$ , then  $n$  occurs in  $A + B$ . Remember that if  $n$  is in  $A$  or  $B$ , then  $n$  is in  $A + B$ .

**SOLUTION:**

Because  $0 \in A \cap B$  and elements in  $A+B$  are of the form  $a+b$  with  $a \in A$  and  $b \in B$ , if  $n \in A$  or  $n \in B$ , then  $n \in A + B$ .

So assume  $n \notin A \cup B$ .

We now assume, to begin our proof, that  $A(n) + B(n) > n - 1$ .

Since  $n \notin A \cup B$ ,  $A(n) = A(n - 1)$  and  $B(n) = B(n - 1)$ , so we have  $A(n - 1) + B(n - 1) > n - 1$ .

Let us call the elements in  $A$  and in  $[1, n]$ :  $a_1, a_2, \dots, a_r$ .

Similarly, let us call the elements in  $B$  and in  $[1, n]$ :  $b_1, b_2, \dots, b_s$ .

By definition, we now have  $A(n - 1) = r$  and  $B(n - 1) = s$ .

Now note that since  $b_1, b_2, \dots, b_s$  are all in  $[1, n]$  and all less than  $n$ , we have  $n - b_1, n - b_2, \dots, n - b_s$  in  $[1, n]$ .

And as already mentioned, we have  $a_1, a_2, \dots, a_r$  also in  $[1, n]$ .

There are  $r + s = A(n - 1) + B(n - 1)$  of these numbers, and we know  $r + s > n - 1$  by assumption, so one of the  $n - b_i$ 's is equal to one of the  $a_j$ 's (with  $i \leq r$  and  $j \leq s$ ). So we have:  $a_j = n - b_i$ , so  $a_j + b_i = n$ . So  $n$  is in  $A + B$ , which completes our proof.

**Problem 11** (Schnirelmann's Theorem). Let  $kA = A'$ . It can be proven that if  $d(A) > 0$ , then  $d(A') > \frac{1}{2}$  for sufficiently large  $k$ .

Using the previous results and this given fact, prove that any sequence  $A$  with  $d(A) > 0$  is a basis for the sequence of natural numbers.

**SOLUTION:**

Let  $A' = kA$ .

By the given fact, we have:  $\frac{A'(n)}{n} > \frac{1}{2}$

$A'(n) > \frac{n}{2}$

$A'(n) > \frac{1}{2}(n - 1)$

So  $A'(n) + A'(n) \geq n - 1$

And by Schnirelmann's Lemma, this means that  $n$  appears in  $A' + A'$ , and this  $n$  is arbitrary. So  $kA + kA = 2kA$  contains every natural number, meaning  $A$  is a basis for the sequence of natural numbers.

#### 4. MANN'S THEOREM

**Problem 12** (Dyson Transform Property 1). Prove that  $0 \in A' \cup B'$ .

**SOLUTION:**

$0 \in A \cap B$ , so  $0 \in A$  and  $0 \in B$  by definition.

And  $A' = A \cup \{B + \{e\}\}$ , so  $0 \in A'$ . So  $0 \in A' \cup B'$ .

**Problem 13** (Dyson Transform Property 2). Prove that  $d(B') \leq d(B)$ .

**SOLUTION:**

If  $b \in B \cap \{A - \{e\}\}$ ,  $b \in B$  by definition.

If  $b \notin B$ ,  $b \notin B \cap \{A - \{e\}\}$  by definition.

So the subsequence  $\{1, \dots, m\}$  with  $m$  s.t.  $d_m(B) = d(B)$  can only lose elements after the Dyson transform.

So  $d(B') \leq d(B)$ .

**Problem 14** (Dyson Transform Property 3). Prove that  $|A'| + |B'| = |A| + |B|$ . (assuming  $A$  and  $B$  are finite sequences, so our definition of cardinality works)

**SOLUTION:**

We need to know how many elements are in  $\{B + \{e\}\}$  and in  $A$  at the same time, so in  $A \cap \{B + \{e\}\}$ .

By problem 14), we know that this is at most  $|B| - |B'|$ .

So we can expand and simplify:  $|A'| + |B'| = (|A| + |B| - |B'|) + |B'| = |A| + |B|$ .

**Problem 15** (Dyson Transform Property 4). Prove that  $A' + B' \subset A + B$ .

**SOLUTION:**

For  $a \in A, b \in B, c \in A + B$  is of the form  $c = a + b$ .

For  $a' \in A', b' \in B', c' \in A' + B'$  is of the form  $c' = a' + b'$ .

Now  $b' \in B$  by definition of  $B'$ , so let us only consider elements of the form  $a' \notin A$ .

And  $b' = a - e$ . If  $a' \notin A$ , then  $a' \in \{B + \{e\}\}$  by definition of  $A'$ . So  $a' = b + e$ .

And so  $a' + b' = b + e + a - e = a + b$ . So any element in  $A' + B'$  will also be in  $A + B$ .

**Problem 16** (A piece of Mann's Theorem). Assume  $\frac{A(m)+B(m)}{m} \geq 1$ . Prove Mann's Theorem for this case.

**SOLUTION:**

Assume  $\frac{A(m)+B(m)}{m} \geq 1$ .

Of course,  $\frac{A(m)+B(m)}{m} = \frac{A(m)}{m} + \frac{B(m)}{m} = d(A) + d(B)$ . So we have:  $\frac{A(m)+B(m)}{m} \geq 1$ . By Problem 10 (Schnirelmann's Lemma), all  $n \geq 1$  is in  $A + B$  (and we already know 0 is in  $A + B$  since  $0 \in A$  and  $0 \in B$ ). So  $A + B$  contains all natural numbers. So by Problem 7,  $d(A + B) = 1$ . Since  $1 \geq 1$ , we're done.